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# Application of Genomic and Expression Arrays for Identification of new Cancer Genes

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### **Abstract**

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Copy number variation (CNV) comprises a recently discovered kind of variation involving deletion and duplication of DNA segments of variable size, ranging from a few hundred basepairs to several million. By altering gene dosage levels or disrupting proximal or distant regulatory elements CNVs create human diversity. They represent also an important factor in human evolution and play a role in many disorders including cancer. Array-based comparative genomic hybridization as well as expression arrays are powerful and suitable methods for determination of copy number variations or gene expression changes in the human genome. In paper I we established a 32K clone-based genomic array, covering 99% of the current assembly of the human genome with high resolution and applied it in the profiling of 71 healthy individuals from three ethnic groups. Novel and previously reported CNVs, involving ~3.5% of the genome, were identified. Interestingly, 87% of the detected CNV regions overlapped with known genes indicating that they probably have phenotypic consequences. In papers II through IV we applied this platform to different tumor types, namely two collections of brain tumors, glioblastoma (paper II) and medulloblastoma (paper III), and a set of bladder carcinoma (paper IV) to identify chromosomal alterations at the level of DNA copy number that could be related to tumor initiation/progression. Tumors of the central nervous system represent a heterogeneous group of both benign and malignant neoplasms that affect both children and adults. Glioblastoma and medulloblastoma are two malignant forms. Glioblastoma often affects adults while the embryonal tumor medulloblastoma is the most common malignant brain tumor among children. The detailed profiling of 78 glioblastomas, allowed us to identify a complex pattern of aberrations including frequent and high copy number amplicons (detected in 79% of samples) as well as a number of homozygously deleted loci. These regions encompassed not only previously reported oncogenes and tumor suppressor genes but also numerous novel genes. In paper III, a subset of 26 medulloblastomas was analyzed using the same genomic array. We observed that alterations involving chromosome 17, especially isochromosome 17q, were the most common genomic aberrations in this tumor type, but copy number alterations involving other chromosomes: 1, 7 and 8 were also frequent. Focal amplifications, on chromosome 1 and 3, not previously described, were also detected. These loci may encompass novel genes involved in medulloblastoma development. In paper IV we examined for the presence of DNA copy number alterations and their effect on gene expression in a subset of 21 well-characterized Ta bladder carcinomas, selected for the presence or absence of recurrences. We identified a number of novel genes as well as a significant association between amplifications and high-grade and recurrent tumors which might be clinically useful.

The results derived from these studies increase our understanding of the genetic alterations leading to the development of these tumor forms and point out candidate genes that may be used in future as targets for new diagnostic and therapeutic strategies.

**Keywords:** Array-CGH, Expression array, Copy number variation, Glioblastoma, Medulloblastoma, Bladder carcinoma, Oncogenes, Tumor suppressor genes

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*Till Patrik och Tindra*



# List of Papers

This thesis is based on the following papers, which are referred to in the text by their Roman numerals.

- I Díaz de Ståhl T, Sandgren J, Piotrowski A, **Nord H**, Andersson R, Menzel U, Bogdan A, Thuresson A-C, Poplawski A, von Tell D, Hansson C.M, Elshafie A.I, ElGhazali G, Imreh S, Nordenskjöld M, Upadhyaya M, Komorowski J, Bruder C.E.G, Dumanski J.P. Profiling of copy number variations (CNVs) in healthy individuals from three ethnic groups using a human genome 32 K BAC-clone-based array. *Hum Mutat.* 2008 Mar;29(3):398-408.
- II **Nord H**, Hartmann C, Andersson R, Menzel U, Pfeifer S, Piotrowski A, Bogdan A, Kloc W, Sandgren J, Olofsson T, Hesselager G, Blomquist E, Komorowski J, von Deimling A, Bruder C.E.G , Dumanski J.P and Díaz de Ståhl T. Characterization of novel and complex genomic aberrations in glioblastoma using a 32K BAC array. *Neuro Oncol.* 2009 Dec;11(6):803-18.
- III **Nord H**, Pfeifer S, Nistér M, Nilsson P, Strömberg B, Dumanski J.P, Alafuzoff I and Díaz de Ståhl T. Novel amplicons in pediatric medulloblastoma identified by high-resolution genomic analysis. *Manuscript*.
- IV **Nord H**, Segersten U, Sandgren J, Wester K, Busch C, Menzel U, Komorowski J, Dumanski J.P, Malmström P-U and Díaz de Ståhl T. Focal amplifications correlate with high-grade and recurrences in stage Ta bladder carcinoma. *Int J Cancer.* 2010 Mar 15;126(6):1390-402.

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## Related papers by the author

- i* Andersson R, Bruder C.E.G , Piotrowski A, Menzel U, **Nord H**, Sandgren J, Hvidsten T.G, Díaz de Ståhl T, Dumanski J.P, Komorowski J. A Segmental Maximum A Posteriori Approach to Genome-wide Copy Number Profiling. *Bioinformatics* 2008;24(6):751-8.
  
- ii* Sandgren J, Díaz de Ståhl T, Andersson R, Menzel U, Piotrowski A, **Nord H**, Bäckdahl M, Kiss N, Braukhoff M, Dralle H, Hessman O, Larsson C, Åkerström G, Bruder C.E.G, Dumanski J.P, Westin G. Recurrent genomic alterations in sporadic benign and malignant pheochromocytomas and paragangliomas revealed by whole-genome array-CGH analysis. *Submitted manuscript*.

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# Abbreviations

Array-CGH	Array-comparative genomic hybridization
AT/RT	Atypical Teratoid/Rhabdoid tumor
BAC	Bacterial Artificial Chromosome
BER	Base-excision repair
bp	Base pair
cDNA	Complementary DNA
CNS	Central nervous system
CNV	Copy number variation
CSC	Cancer stem cell
DNA	Deoxyribonucleic acid
DWH	Data ware house
FISH	Fluorescent in situ hybridization
FoSTeS	Fork stalling and template switching
kb	Kilobase
LINE	Long interspersed nuclear element
LOH	Loss of heterozygosity
Mb	Megabase
MMR	Mismatch repair
MOR	Minimal overlapping region
mRNA	Messenger ribonucleic acid
NAHR	Non-allelic homologous recombination
NER	Nucleotide-excision repair
NHEJ	Non-homologous end joining
PCR	Polymerase chain reaction
PNET	Primitive neuro-ectodermal tumor
qPCR	Quantitative PCR
RT-PCR	Real-time PCR
SMAP	A segmental maximum a posteriori
SNP	Single nucleotide polymorphism
TSG	Tumor suppressor gene
WHO	World Health Organization



# Introduction

## Genetic variation

Human genetic variation is defined as the extent of genetic differences between human genomes, and this variation can be observed within a single subject, between individuals and between different human populations. The characterization of human genetic variation has advanced over the last few years thanks to improvements in DNA analysis technology. Techniques such as microarrays and massively parallel DNA sequencing has allowed for analysis of the entire human genome at very high resolution. Many studies have revealed the extent of genetic variation, and also mapped the exact position of the observed genetic differences. Genetic variations range from single nucleotide changes to large, microscopically visible chromosome anomalies (1-4).

One of the best explored types of variation is at the level of single nucleotides, so called single nucleotide polymorphisms (SNPs). This variation occurs when one base (A, T, C or G) in the DNA sequence differs between individuals, or between homologous chromosomes in an individual. In order to be categorized as a SNP, the sequence variant must present with a frequency of greater than 1% in the human population, otherwise the variant is described as a single nucleotide variant. Sequencing efforts have at present estimated that the human genome contains at least 10-11 million SNPs, encompassing ~0.3 % of the genome (4). It is assumed that the majority of SNPs do not have any phenotypic consequences. However, the impact of SNPs on the phenotype is dependent on the location of the polymorphism in the DNA sequence; whether it falls within the coding or non-coding regions, and numerous common known trait-associated SNPs have been identified (4-7). For example, a SNP variant in the *SLC24A4* (*solute carrier family 24, member 4* on 14q32.12) gene is associated with eye and hair color (8) and recent studies have identified SNPs in *FGFR2* (*fibroblast growth factor receptor 2* on 10q26), *TNRC9* (*trinucleotide repeat containing 9* on 16q12.1) and *MAP3K1* (*mitogen-activated protein kinase kinase kinase 1* on 5q11.2) genes associated with increased breast cancer risk in the general population (9).

Variants that distinguish two genomes in one species and include more than one single nucleotide are broadly defined as structural variations. They include insertions, deletions, translocations, duplications and inversions. Several studies suggest that these structural variants account for at least 70% of all variant bases in the human genome, and for any given individual, structural variations constitute between ~0.5 to 1% of the genome. All these variations very likely contribute to both human diversity and disease susceptibility due to altered gene dosage levels or by disruption of proximal or distant regulatory regions (3, 4, 7, 10, 11).

Copy number variations (CNVs) are usually defined as being larger than 1 kb in size and present in variable copy number on comparison with a reference genome. They include deletions, duplications and insertions. CNV can be inherited through the germline or occur sporadically as de novo aberrations (2, 3, 11-13). Improvement of microarray technologies as well as development of massively parallel DNA sequencing over the past five years has allowed the analysis of the human genome with unprecedented resolution, which has improved our knowledge about DNA variation and its connection to disease. In the 1980s, the frequency of CNVs was assumed to be low and directly related to specific genomic disorders (5, 14, 15). Today, it has been shown that CNVs encompass higher number of nucleotides and occur more frequently than SNPs (13). It is estimated that up to 12-13% of the human genome is subject to CNVs (2, 13). Larger copy number aberrations affecting numerous genes have been associated with many phenotypic traits and disease susceptibility, such as deletion of 22q11.2 which is associated with Di George syndrome and Prader-Willi syndrome caused by a 15q11-q13 deletion, to name but a few examples (2, 7, 15). There are also numerous examples of copy number aberrations encompassing only single genes, with a clear link to specific phenotypes or diseases. Deletion of the *NF1* (*neurofibromin 1* on 17q11.2) gene causes neurofibromatosis type 1 (16, 17), deletion of the *IRGM* (*immunity-related GTPase family, M* on 5q33.1) gene is associated with Crohn's disease (18) and copy number differences of the *CCL3L1* (*chemokine (C-C motif) ligand 3-like 1* on 17q11.2) gene are associated with markedly enhanced HIV/acquired immunodeficiency syndrome (AIDS) susceptibility (19).

The mechanisms of CNV formation are not completely understood. It has been observed that CNVs often occur in regions carrying, or flanked by, large segmental duplications (1, 5, 11, 20). Segmental duplications (also called low-copy repeats) are blocks of repeated genomic DNA, often with more than 95% identity, that occur twice or more times in the haploid genome. They typically range in size between 1-400 kb and constitute ~5% of the sequence of the human genome (3, 21, 22). Several studies have noted that the presence of these segmental duplications predisposes these regions

to chromosomal instability and rearrangements (22-25). CNVs associated with segmental duplications are likely to arise by the mechanism of non-allelic homologous recombination (NAHR) (11, 13, 22, 26-28). In NAHR the recombination takes place between lengths of homologies at different genomic positions on a pair of chromosomes. NAHRs between homologies in direct orientation located on the same chromosome (intrachromosomal-NAHR) result in duplications or deletions, whereas NAHRs between inverted oriented homologies on the same chromosome lead to inversions. Recombination between homologies located on different chromosomes (interchromosomal-NAHR) result in reciprocal translocations (11, 29). The majority of rearrangements resulting from NAHR are recurrent and they can occur during meiosis as well as mitosis (11, 13, 22, 26-28).

CNVs can also be formed by non-homologous end joining (NHEJ), a mechanism also normally involved in the repair of double-strand breaks in DNA. In NHEJ, double strand breaks are bridged, modified and ligated. The product of repair often contains additional nucleotides at the DNA end junctions. In contrast to NAHR, this process is not dependent on segmental duplications to mediate the recombination. Instead, NHEJ has been observed in the vicinity of for instance identical *Alu* repeats, which are a family of short interspersed sequences common in the human genome, and between LINE elements (long interspersed nuclear element), which are a class of moderately repetitive transposable sequences lacking long terminal repeats. Non-recurrent rearrangements are thought to arise by NHEJ (5, 11, 13, 29, 30).

In addition to NAHR and NHEJ, a replication-error mechanism has recently been implicated in the formation of complex and non-recurrent chromosomal rearrangements. This mechanism is called fork stalling and template switching (FoSTeS). Rearrangements are formed when the DNA replication fork stalls - which leads to lagging strand disengagement from the original template and annealing, by micro homology at the 3' end, of another adjacent replication fork, and re-initiation of DNA synthesis. FoSTeS can result in deletions and/or duplications interrupted by either normal copy number or triplicates. The mechanism can create not only large genomic duplications of several Mb but also minor duplications/triplications and even rearrangements within single exons. FoSTeS has been implicated in gene duplication and exon shuffling which drives gene and genome evolution (13, 31-33). Characteristic features for each of the three rearrangement mechanisms are shown in Table 1.

**Table 1.** Comparison of the three major mechanisms that underlie human genetic rearrangements and CNV formation: non-allelic homologous recombination (NAHR), non-homologous end joining (NHEJ) and fork stalling and template switching (FoSTeS).

	NAHR	NHEJ	FoSTeS
<b>Structural variation type</b>	Duplications, Deletions, Inversions	Duplications, Deletions	Duplications, Deletions, Inversions, Complex
<b>Homology flanking breakpoint (before rearrangement)?</b>	Segmental duplications	<i>Alu</i> repeats, LINE elements	No
<b>Breakpoint</b>	Inside homology	Addition or deletion of basepairs, or microhomology	Microhomology

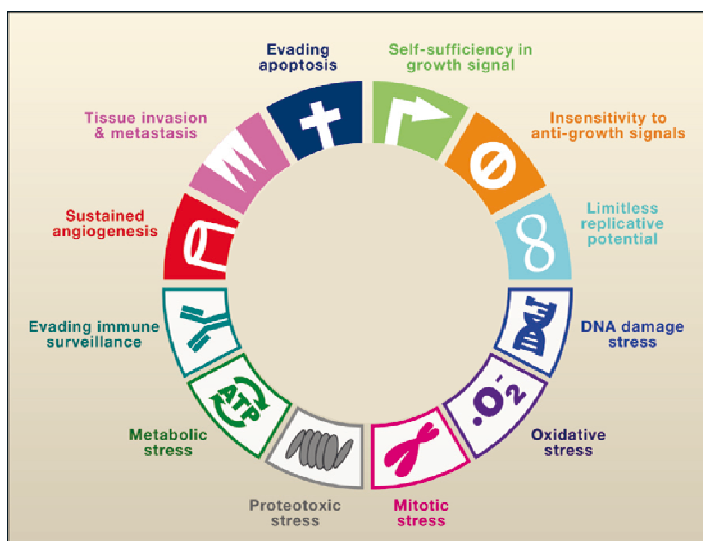
All of these mechanisms occur both in germ cells, where the rearrangements can be associated with genomic disorders, and in somatic cells, where the rearrangements can cause somatic diseases like cancer. Future development of assays with increased sensitivity will most certainly provide a more extensive overview of the structural variants in the human genome and increase our knowledge about their role in phenotypic variation and disease predisposition.

# Cancer

Cancer is a large and heterogeneous group of genetic disorders that affect somatic cells. As a disease, it is responsible for one in eight deaths worldwide; it can develop at all ages; however, the risk increases with age. The definition cancer in fact includes over 100 distinct diseases with diverse risk and epidemiology and different tumor subtypes can even be found within specific tissues and organs. A tumor can be either benign, defined as a localized lesion that does not grow into adjacent tissues, or malignant, that invades nearby tissues and spreads colonies throughout the body, known as metastasis (34-36).

Cancer cells display an uncontrolled proliferation due to defects in the regulatory circuits that govern normal cell growth and differentiation. Cancer can originate from most of the cell types and organs of the body and thus the heterogeneity of cancer is at the level of cellularity, genomic complexity and diverse clinical behavior (34, 37). Ten years ago, Hanahan and Weinberg proposed six hallmarks of cancer (Figure 1, top). These capabilities are *i*) self-sufficiency in growth signals, *ii*) insensitivity to growth-inhibitory signals, *iii*) evasion of programmed cell death, *iv*) limitless replicative potential,

v) sustained angiogenesis and vi) tissue invasion and metastasis. These hallmarks were described as being abilities shared in common by most cancers; however the order in which these abilities are acquired by the cancer cells seems to differ between various tumors and their subtypes (34). Very recently, additional hallmarks have been proposed (38). These include vii) evasion of immune surveillance, viii) metabolic stress, ix) proteotoxic stress, x) mitotic stress, xi) oxidative stress and, xii) DNA damage stress (Figure 1, bottom). However, these hallmarks differ from the original hallmarks proposed by Hanahan and Weinberg in that they do not describe functional capabilities, but rather the state of cancer cells. In addition Negrini *et al.* recommended oxidative stress and proteotoxic stress to be secondary hallmarks of cancer, meaning that the proteotoxic stress may be secondary to aneuploidy which is manifested by genomic instability, and oxidative stress may be secondary to oncogenic signaling and metabolic stress (39).



**Figure 1.** Capabilities and states acquired by cancer cells. In addition to the six hallmarks originally proposed by Hanahan and Weinberg (top half, (34)), the genomes of cancer cells obtain a set of additional hallmarks (lower half, (38)). Reprinted from *Cell*, 136, Luo, J. *et al.*, Principles of Cancer Therapy: Oncogene and Non-oncogene Addiction, 823-837, 2009, with permission from Elsevier.

These capabilities are acquired by changes occurring in the DNA sequence of cancer cells. Theodor Boveri suggested more than 100 years ago that abnormalities of the chromosomes have a central role in tumor formation (40, 41). The cancer genome is often said to be aneuploid, which means that it contains an abnormal number of chromosomes (41-43). Other alterations that can be found in cancer are: point mutations, inter- or intrachromosomal rearrangements, copy number changes, including gains and deletions but

also so-called epigenetic alterations (40, 44). Epigenetics is the study of heritable changes in gene expression that are not due to any alteration in the DNA sequence (45). DNA methylation, when a methyl group is added to a cytosine that precedes a guanine, constitutes one of the best known epigenetic modifications in the human genome (46). Hypermethylation of CpG islands in the promoters of genes is associated with transcriptional silencing of tumor suppressor genes in many cancers. Loss of methylation (hypomethylation), in contrast, can lead to gene activation and chromosomal instability (47). Other epigenetic changes that can contribute to carcinogenesis include the modifications of histones, involving the addition of different chemical groups e.g. methyl, acetyl and phosphate. These modifications can have a variety of effects on the cell, such as alteration of gene transcription and DNA repair (46). In addition to these alterations, the cancer cell can acquire completely new DNA sequences from exogenous sources, notably from viruses. Viruses can modify the gene expression in the host cell and thereby promote cell proliferation and contribute to several types of cancer (40, 48).

Today it is believed that cancer is caused by an accumulation of somatic mutations in a series of genes over time rather than a single mutation in one gene (40, 49). The exact number of such critical mutations is not known and is likely cancer type-specific. Some of these mutations may be acquired by the ancestor of the cancer cell yet remain biologically normal, and lacking any specific phenotype. Subsequent mutations may be induced by mutagens of both internal and external origin. These mutations are usually repaired by different DNA repair processes, namely the earlier described process of NHEJ. However sometimes these processes can fail and mutations go unrepaired in the DNA. Some examples of external mutagenic agents are; tobacco smoke carcinogens which contribute to both lung and urinary bladder cancer, naturally occurring compounds, such as aflatoxins produced by fungi, which are associated with liver cancer and finally, ultraviolet light which is strongly associated with skin cancer (40, 50, 51).

Nevertheless, cancers do not always arise sporadically; cancer predisposition can also be inherited through the germline, by inheritance of a mutated cancer associated gene from either parent. It is estimated that 5-10% of all cases of cancer are hereditary (36). The most common alterations occurring in germline are point mutations or small deletions or insertions. These mutations do not cause cancer *per se*, but they confer an increased relative risk of cancer among carriers. Individuals with germline mutations often develop multiple tumors early in life compared to persons whose mutations have occurred somatically (43, 52). One example of cancer with hereditary linkage is breast cancer, in which a defective copy of *BRCA1* (*breast cancer 1* on 17q21.31) or *BRCA2* (*breast cancer 2* on 13q13.1) genes confer a >90% risk of breast cancer development among carriers (53). Other examples in-

clude syndromes like Li-Fraumeni and Gorlin, linked to germline mutations in the *TP53* tumor suppressor gene and *PTCH* (the human homolog of the *Drosophila* "patched" gene *PTC*), respectively, where affected individuals have a greatly increased susceptibility to several kinds of cancer (54, 55).

Mutations in cancer may be broadly classified into two categories; driver or passenger mutations. Driver mutations are said to confer selective growth advantage for the cancer cell. These mutations are by definition found in cancer genes of which approximately 400 have been identified to date (<http://www.sanger.ac.uk/genetics/CGP/Census/>). Driver mutations are those mutations which are positively selected during the evolution of the cancer. Passenger mutations on the other hand, do not really contribute to cancer development because they have not been subject of selection. Neither do they confer a growth advantage. Nonetheless, passenger mutations are frequently found within cancer genomes and are 'carried along' in the clonal expansion process (40, 44, 50). The genes frequently found to be involved in cancer are generally divided into two major categories; oncogenes and tumor suppressor genes. Oncogenes and tumor suppressor genes operate in the same physiologic manner: they drive tumorigenesis by increasing the tumor cell number through stimulation or inactivation of different pathways regulating cell division, cell death or cell cycle arrest (34, 40, 43, 49, 52, 56).

## Oncogenes

Oncogenes are forms of proto-oncogenes whose normal activity control growth signaling and anti-apoptotic pathways, yet due to mutation are no longer capable of responding to normal regulatory signals. They form a very heterogeneous group of genes that can be divided into five classes: secreted growth factors (e.g. *PDGF*), cell surface receptors (e.g. *EGFR*), tyrosine kinases (e.g. *SRC*), membrane associated G-proteins (e.g. *RAS* genes) and nuclear transcription factors (e.g. *MYC* genes). Mutations leading to oncogene activation are dominant, meaning that one single hit in one allele is generally enough to confer a selective growth advantage to the cell. Oncogene activation can result from chromosomal translocations, gene amplifications, mutations or by hypomethylation of CpG islands in the promoter region (43, 57). Either of these mechanisms can result in an alteration of proto-oncogene structure or an increase of proto-oncogene expression, or alternatively, both mechanisms might collaborate to create an oncogene (43).

Chromosomal translocations can lead to transcriptional activation of proto-oncogenes or to the creation of aberrant fusion proteins. The fusion of *abl* (*Abelson murine leukemia viral oncogene homolog 1*, *v-abl* on 9q34.12) and *bcr* (*breakpoint cluster region* on 22q11.23) proto-oncogenes creates the Philadelphia chromosome, which is implicated in chronic myeloid leukemia

(58). Gene amplification is defined as the increase in copy number of a gene within the genome of a cell and leads to increased gene expression. Gene amplification produces karyotypic abnormalities such as double-minute chromosomes and homogeneous staining regions. Double-minute chromosomes are characteristic minichromosome structures without centromeres. Homogeneous staining regions are segments of chromosomes uniformly stained after G banding. Both double-minute chromosomes and homogeneous staining regions contain up to several hundred copies of a gene. An example of oncogene activation by amplification is the case of *EGFR* (*epidermal growth factor receptor* on 7p11.2), an aberration that is frequently observed in glioblastoma (59).

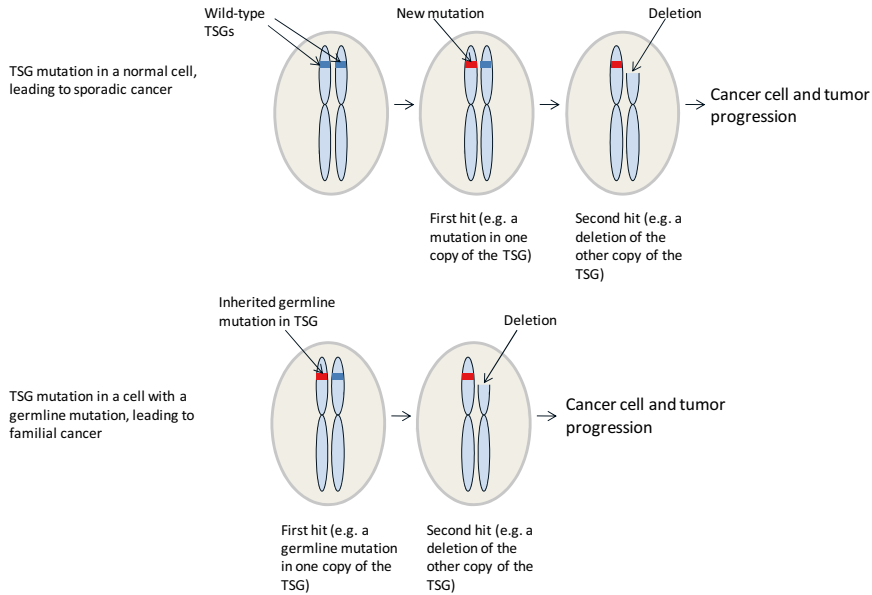
Mutations may activate proto-oncogenes through structural alterations in their encoded proteins. These modifications usually involve important protein regulatory regions and often lead to the uncontrolled, constitutive activity of the defective protein. Several types of mutations, such as point mutations (changing a single amino acid within the protein), deletions, and insertions, are capable of activating proto-oncogenes. Point mutations are for example frequently detected in the ras family of proto-oncogenes (K-ras, H-ras, and N-ras) (60). It has been estimated that as many as 15% to 20 % of human tumors may contain a ras mutation. Ras mutations have been linked to carcinogen exposure, and point mutations within the 12<sup>th</sup> codon of H-Ras (*Harvey rat sarcoma viral oncogene homolog*, *v-Ha-ras* on 11p15.5), are a common event in urinary bladder carcinoma (61). In contrast, oncogene activation by DNA hypomethylation has been identified in gastric cancer, where the R-Ras (*related RAS viral r-ras, oncogene homolog* on 19q13.3-q13.4) gene is found to be hypomethylated (62).

## Tumor suppressor genes (TSGs)

The TSGs also control cell-growth and proliferation but they have the opposite role of oncogenes in that they inhibit or tightly control cell growth in their normal state. Mutations in TSGs reduce the activity of the gene product or result in a complete loss of the protein. Inactivation can occur by point mutations striking many sites of the coding sequence of the gene, by random deletions, insertions or by epigenetic changes such as hypermethylation of CpG islands in the gene promoters that silence transcription of the gene (43, 46, 49). Once these growth-suppressing genes are inactivated, the proliferation of the cancer cells may be accelerated, no longer being held back by the actions of their transcripts (43). TSGs generally follow the Knudson two-hit hypothesis that requires mutations on each of the two alleles of the gene to promote tumor development. For individuals with a germline mutation on one of the alleles, often only one hit on the other allele is sufficient for tumorigenesis (Figure 2) (63). However haploinsufficiency, when the total level



of a gene product produced by the cell is about half of the normal level (due to one copy being inactivated by mutation), may in some cases not be sufficient to permit the cell to function normally and thereby contribute to tumor development (43, 64). Haploinsufficiency *PTEN* (*phosphatase and tensin homolog* on 10q23.31) is known to accelerate the transformation of astrocytomas from low-grade to high grade (65).



**Figure 2.** Knudson's two-hit hypothesis for tumorigenesis involving a tumor suppressor gene.

TSGs are broadly divided into two types: gatekeeper and caretaker genes. The genes acting as gatekeepers directly control cellular proliferation by inducing cell death or cell cycle arrest. The *RB1* (*retinoblastoma 1* on 13q14.2), *CDKN2A* (*cyclin-dependent kinase inhibitor 2A* on 9p21.3) and *TP53* (*tumor protein p53* on 17p13.1) are three examples of gatekeeper genes, whose malfunction is frequently involved in many types of cancer. Caretaker genes in contrast, do not directly regulate proliferation; they act to maintain the integrity of the genome and thereby prevent tumor development. Owing to the intrinsic chemical instability of DNA, all cells are under constant threat of acquiring mutations caused by factors such as exposure to genotoxic metabolic or environmental agents. Caretaker genes keep genetic alterations to a minimum, but when present in a defective form the mutation rate is increased, affecting all genes including gatekeeper genes, which can directly regulate tumor growth. Caretaker genes include mismatch repair (MMR), nucleotide-excision repair (NER) and base-excision (BER) genes

which are responsible for the repair of DNA replication errors and the genes controlling processes such as mitotic recombination and chromosomal segregation. *BRCA1* and *BRCA2*, which are strongly associated with breast cancer, and *MLH1* (*mutL homolog 1, colon cancer, nonpolyposis type 2* on 3p22.2) involved in colon and uterus cancer are both examples of deregulated caretaker genes playing a significant role in tumor development (39, 43, 66, 67).

## CNS tumors

Tumors of the central nervous system (CNS) are a heterogeneous group of both benign and malignant neoplasms. Brain tumors occur in children as well as adults (68, 69). Their classification, according to the World Health Organization (WHO 2007) system, is based on cell morphology and the primary site of the affected organ. CNS tumors are named after the cell type that tumor cells resemble. Based on this, the tumors can be divided in three distinct groups: **a)** gliomas (astrocytoma, oligodendroglial tumors and ependymoma); **b)** meningiomas; and **c)** embryonal tumors (medulloblastoma, primitive neuro-ectodermal tumors (PNET) and Atypical Teratoid/Rhabdoid Tumor (AT/RT)). Furthermore, brain tumors are also graded according to malignancy. Grade I tumors are applied to neoplasms with low proliferation potential and are often correlated with good prognosis whereas grade IV tumors are highly-proliferative and mitotically active and these tumors are often fatal (55).

**a) Gliomas** present with a morphology and gene-expression characteristics similar to glia, astrocyte and oligodendrocyte cells, which together constitute the supporting tissue in the brain. This large group of brain tumors is further divided into three groups: astrocytomas, oligodendroglial tumors and ependymomas. Patients with gliomas often develop symptoms that include headaches and seizures. Speech and visual loss can also occur. The majority of gliomas arise sporadically and a predisposition does not usually run within families, however, there are examples of families with more than one affected member (55, 70).

*Astrocytomas* are the most common type of all gliomas and account for approximately 60% of all primary tumors of the CNS. They are comprised of cells which resemble the supporting astrocyte cells of the brain. The cellular origin of astrocytomas is still unknown, although it is believed that they arise from astrocyte precursors or stem cells (70, 71). Astrocytomas show different degrees of malignancy and can be classified as; pilocytic astrocytoma (grade I), mainly occurring in children and young adults and low grade diffuse astrocytoma (grade II), typically affecting young adults. In contrast,

anaplastic astrocytoma (grade III) and glioblastoma (grade IV) primarily occur in adults (55). Grade I tumors are almost always curable by surgery. Grade II and III patients have a mean survival of 10-15 and 2-3 years respectively while glioblastomas, being the most malignant grade of tumour, grow rapidly and patients generally have a mean survival of about 1 year (55, 70). Glioblastomas can be divided into two groups: primary and secondary glioblastoma, depending on the clinical history of the patient (72). Glioblastoma will be discussed in greater detail in a subsequent section, since it is the focus tumor type studied in paper II. Several different genetic aberrations are frequently observed in astrocytomas. Gains of chromosome 5 and 7 are often found in pilocytic astrocytoma, *TP53* mutations, overexpression of *PDGFRA* (platelet-derived growth factor receptor alpha on 4q12), gain of 7q and 8q, loss of heterozygosity (LOH) on 22q and deletion of chromosome 6 are often seen in low grade diffuse astrocytoma. *TP53* and *PTEN* mutations, LOH of 6q, 10q, 17p, 19q and 22q are detected in anaplastic astrocytoma while gain of chromosome 7, loss of chromosome 10 and deletion of 9p are frequent events in glioblastoma.

*Oligodendroglial tumors* include oligodendrogliomas and oligoastrocytomas. Oligodendrogliomas are divided into two categories; grade II and anaplastic grade III (55). Oligodendrogliomas are more common in adults and account for only 2% of all brain tumors in children. The tumors are composed of cells that resemble oligodendroglial cells. These tumors are frequently observed with combined loss of 1p and 19q, which is strongly associated with favorable outcome for the patient (73). Up to 90% of all oligodendrogliomas carry this alteration (55, 74). Oligoastrocytomas are similarly divided into the two categories of grade II and anaplastic grade III. They are composed of a mixture of oligodendroglioma and diffuse astrocytoma cells and usually develop in middle-aged individuals (55). The genetic picture of these tumors is similar to that of oligodendrogliomas, the combination of 1p and 19q loss being found in approximately 50% of tumors (55, 74).

*Ependymal tumors*, as their name suggests, resemble the ependymal cells of the brain and can occur both in the spinal canal and in the ventricular system. While such tumors may develop at all ages, tumors located in the spinal canal develop more frequently in adults, whereas intracranial tumors predominate in children. Ependymal tumors are further subdivided into subependymoma (grade I), myxopapillary ependymoma (grade I), ependymoma (grade II) and anaplastic ependymoma (grade III) (55). The most frequent genetic alteration in sporadic ependymoma is monosomy 22 and the *NF2* (neurofibromin 2) gene on chromosome 22 is clearly involved in ependymoma tumorigenesis (55, 75-77). Gain of chromosomes 1, 7 and 9p as well as losses of chromosomes 17, 6q and 9q are also common (78, 79).

**b) Meningiomas** develop from the meninges which is the membrane that surrounds the brain and spinal cord. This tumor is considered to be derived from neoplastic meningotheelial or arachnoidal cells. The tumors are usually benign (grade I) and generally slowly growing. However, meningiomas with a greater likelihood of recurrence and/or aggressive behavior are graded as grade II or III. Meningiomas typically occur sporadically in adults, although they can also develop in association with the Neurofibromatosis type 2 (NF2) syndrome. The most common genetic aberration associated with both sporadic and NF2-associated meningiomas is deletion of chromosome 22. Biallelic inactivation of the *NF2* gene is found in meningiomas of all grades and is thought to be an early event in tumorigenesis. Other genetic aberrations associated with meningioma are deletion of 1p and loss of chromosomes 6, 10, 14, 18 and 19 (55).

**c) Embryonal tumors** of the CNS are the most common malignant brain tumors affecting children. Embryonal tumors derive from the embryonic (fetal) tissue and are most common in children or young adults. This group of brain tumors includes medulloblastoma (grade IV), primitive neuroectodermal tumors (PNET) (grade IV) and atypical teratoid/rhabdoid tumor (AT/RT) (grade IV) (55).

*Medulloblastoma* is a highly malignant, invasive tumor of the cerebellum affecting mainly children and adolescents. This is the most common malignant brain tumor in children (80, 81). The most frequent cytogenetic abnormality in medulloblastoma is isochromosome 17q (55). Medulloblastoma will also be discussed more detail further below as it is the tumor type studied in paper III of this thesis.

*PNETs* are located in the cerebrum, but they can also be encountered in the spinal cord or suprasellar region. These tumors also occur predominantly in children or adolescents and they are composed of undifferentiated or poorly differentiated neuroepithelial cells. Loss of 4q, 9p, 14q and 19q has been identified in PNETs (55, 82).

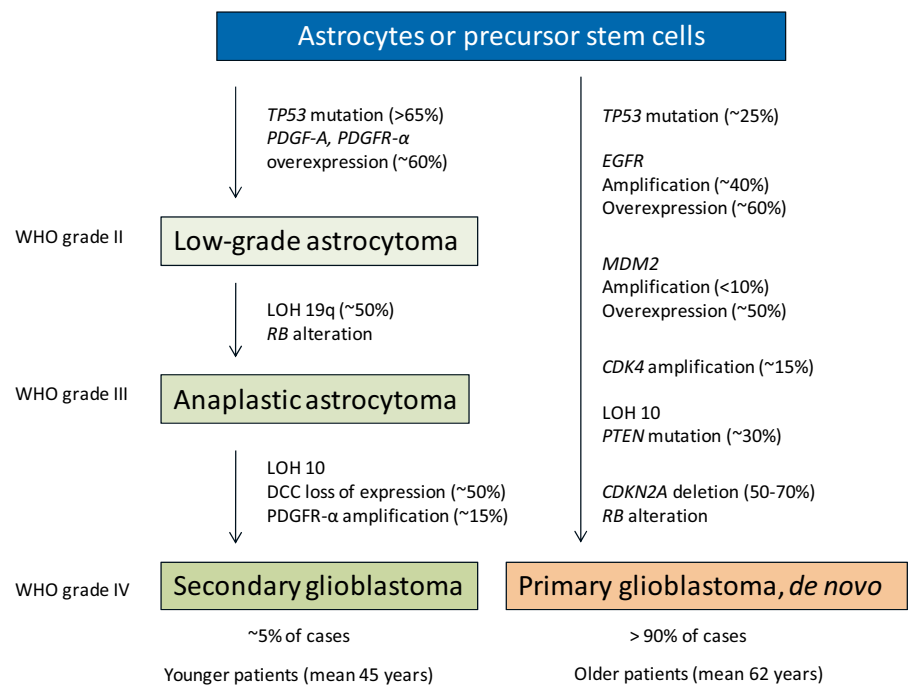
*AT/RT* tumors are highly malignant and predominantly (50%) located in the posterior fossa. The remaining AT/RT tumors can arise in the supratentorial, pineal, multifocal or spinal locations. This tumor is most common in younger children (<3 years) and composed of neoplastic rhabdoid cells. Mutation or loss of *INI1* (*integrator interactor 1* on 22q11.23) is the genetic hallmark of AT/RT tumors (55).

## Glioblastoma

Glioblastoma is the most frequent primary brain tumor affecting adults and the disease is invariably fatal (55, 70). The peak incidence occurs in the age range of 45-75 years and the incidence in Europe and North America is 3-4 new cases per 100 000 population per year. The tumor is most often located in the subcortical white matter of the cerebral hemispheres and rarely metastasizes via the cerebrospinal fluid. However, the tumor has a very high proliferative rate and widespread microvascular proliferation. Necrotic areas are also often observed. The clinical symptoms for glioblastoma include often headache, nausea/vomiting due to raised intracranial pressure and one third of the patients experience epileptic seizures (55, 83). Besides surgical resection, the current standard care for glioblastoma patients is treatment by adjuvant radiation and chemotherapy with the use of alkylating agents such as Temozolomide. However, the prognosis for glioblastoma is very poor and little improvement in the median survival has been observed over the past 25 years (84, 85). Patients without surgical resection have a median survival of only 2.5 months from the time of diagnosis. Those who undergo surgical resection demonstrate a median survival of 7.9 months and the addition of Temozolomide to adjuvant radiotherapy after surgery extends median survival to 14.6 months (72). Thus, the inclusion of Temozolomide in treatment regimes was shown to improve the two year survival rate from 10.4 to 26.5%, representing the most important therapy advancement to date (86). The poor outcome observed in glioblastoma patients is largely due to tumor recurrence. Two alternative models (accounting for all cancers) have been proposed to explain the chemo- and radiotherapy resistance developed by these tumors (87): *i*) the clonal evolution hypothesis (88) and *ii*) the cancer stem cell hypothesis (89-91). The clonal evolution hypothesis suggests that subpopulations of tumor cells with different mutations continuously arise during tumor evolution. These subpopulations are selected by the tumor microenvironment during the progression and treatment of the tumor. Each subpopulation can therefore become predominant after radiotherapy and/or chemotherapy due to the selection pressure. The subpopulations which are most resistant to treatment will be selected for and can potentially re-initiate the tumor. Alternatively, the cancer stem cell (CSC) hypothesis suggests that the CSCs which exhibit stem cell-like characteristics such as self-renewal and multipotency, can propagate and or re-initiate the tumor. Several properties allow CSCs to survive conventional chemo- and radiotherapies and re-establish tumor growth after treatment. However, the CSC hypothesis remains controversial (87).

The majority of glioblastomas are sporadic although there are cases of occurrence in more than one family member. This is most often seen within inherited tumor syndromes including Turcot and Li-Fraumeni syndromes, neuro-

fibromatosis type 1 and multiple enchondromatosis (55, 92). Most glioblastomas (>90%) develop *de novo* without evidence of pre-malignant precursor lesions and tend to have a short clinical history. These tumors are classified as primary glioblastoma and they are typically observed in older patients, with a mean age of diagnosis of 62 years. Secondary glioblastomas develop more slowly, often by progression from diffuse astrocytoma grade II or anaplastic astrocytoma grade III and are typically identified in younger patients, mean age of diagnosis, 45 years (72, 93, 94). The genetic pathways leading to glioblastoma differ between these two groups (Figure 3).



**Figure 3.** Genetic pathways operative in the evolution of secondary and primary (*de novo*) glioblastomas.

Primary glioblastoma is characterized by amplification and over-expression of the *EGFR* and *MDM2* (*mouse double minute 2* on 12q15) genes, deletion of the *CDKN2A* gene, *LOH* on 10p and 10q and *PTEN* mutation. In secondary glioblastoma, the major alterations observed are over-expression of the *PDGFRA* gene, *TP53* and *PTEN* mutations, *DCC* (*deleted in colorectal carcinoma* on 18q21.1), promoter methylation of the *RB1* gene and finally loss of expression and/or *LOH* of 19q and 10q (55, 68, 72, 95, 96). Recently, mutations in the *IDH1* (*isocitrate dehydrogenase 1* on 2q33.3) gene have also been shown to be implicated in the development of secondary glioblas-

toma (97). Almost all glioblastomas that develop in children are primary glioblastoma. Pediatric glioblastoma however, presents with different genetic profiles than that observed in adults; they often display a high frequency of *TP53* mutations (40%), low frequency of *EGFR* amplifications (6%) or *CDKN2A* deletions (19%) and absence of *MDM2* amplifications (55).

## Genetic pathways in glioblastoma

Different pathways, such as the RAS, TP53, PI3K (*phosphoinositide-3-kinase*) and the cell cycle control pathways are known to be disrupted in this tumor type (98, 99). The RAS pathway is frequently activated by amplification of growth factor receptor genes such as *EGFR* (7p11) or *PDGFRA* (4q12). Between 30-50% of glioblastoma tumors show *EGFR* amplification (59, 99). *PDGFRA* amplification is less common and is identified in approximately 15 % of glioblastomas. The TP53 pathway is often disrupted by *TP53* (17p13.1) mutations which is the main genetic hallmark of secondary glioblastoma (identified in >65% of cases). *TP53* mutations are also observed, although less frequently (25% of cases), in primary glioblastoma. The TP53 pathway can also be disrupted by *MDM2* (12q15) amplification which is observed in approximately 10% of glioblastomas, or by loss of *CDKN2A* (9p21) which is frequently (76%) observed in this tumor. Both *MDM2* amplification and *CDKN2A* loss are observed in primary as well as secondary glioblastomas. The PI3K/Akt pathway is also involved in glioblastoma development through aberrant growth factor signaling and loss of *PTEN* (10q23) which is mutated in 15-40% of primary glioblastoma. The cell cycle control pathways can also be disrupted in glioblastoma by loss of *CDKN2A* (9p21), amplification of *CDK4* (*cyclin-dependent kinase 4* on 12q14) or *RBI* alterations (13q14). Amplification of *CDK4* is observed in 15% of tumors and LOH at 13q including the *RBI* locus is detected in 12% of primary glioblastomas and 38% of secondary glioblastomas (55, 70, 97, 99). Among the many aberrations found in glioblastoma, hypermethylation of the *MGMT* (*O*-6-methylguanine-DNA methyltransferase on 10q26.3) promoter is also a common alteration in both primary and secondary glioblastomas. The *MGMT* gene codes for a DNA repair protein involved in cellular defense against mutagens (100). Promoter methylation of *MGMT* is then associated with longer survival of glioblastoma patients since the tumor cells have a suppressed ability to protect themselves against alkylating agents such as Temozolomide, frequently used in glioblastoma treatments (95, 101, 102).

## Medulloblastoma

Medulloblastoma is an invasive embryonal tumor that predominantly manifests in children and adolescents. Seventy percent of medulloblastomas occur in children younger than 16 years with a peak incidence at the age of 7. The incidence has been estimated to be about ~1 new case per 100 000 population per year. Approximately 65% of patients affected by medulloblastoma are males. The tumor arises in the cerebellum from cerebellar stem and precursor cells, including immature granule cells, and has a tendency to metastasize via cerebrospinal fluid pathways (55, 103-105). The majority of medulloblastomas arise in the cerebellar vermis, but a few are located in the cerebellar hemispheres. The clinical symptoms of medulloblastoma include truncal ataxia, disturbed gait, intracranial hypertension, headache and vomiting (55). Almost all medulloblastomas are sporadic, although a few cases of familial medulloblastomas have been reported, again associated within familial cancer predisposition syndromes like Li-Fraumeni, Gorlin, Turcot and Rubinstein-Taybi syndromes (54, 55). Medulloblastoma are divided into three histological subtypes; classic-, desmoplastic/nodular- and anaplastic/large cell medulloblastoma(55, 106, 107). The classic medulloblastomas are more frequent than the other subtypes and account for approximately 65% of all cases. This subtype consists of sheets of small round cells and displays neuronal differentiation (55, 108). The desmoplastic/nodular subtype is characterized by nodular, reticulin-free zones surrounded by densely packed highly proliferative cells. This subtype account for 25% of all medulloblastoma tumors and is more commonly seen in patients with Gorlin syndrome. Patients with desmoplastic/nodular medulloblastoma display better prognosis than classical and anaplastic/large cell subtypes, and in particular, younger children with this subtype have a better outcome (106, 109, 110). Anaplastic/large cell medulloblastoma is the most undifferentiated subtype and the cells display significant nuclear pleomorphism, prominent nucleoli and abundant mitoses. This subtype has been reported to occur in 5-10% of tumors. Individuals with the anaplastic subtype usually have a worse outcome than the other two subtypes (55, 108).

A 5-year survival rate of 60 to 80% can be achieved with current management strategies, which involve maximal surgical tumor resection, adjuvant chemotherapy and craniospinal irradiation (111-113). Unfortunately, a significant proportion of survivors suffer severe long-term neurocognitive sequelae due to the intensive chemotherapeutic and radiotherapy regimes employed in treatment (114). Patients are today classified in standard- or high-risk groups, with the high-risk including patients younger than 3 years with incomplete tumor resection and/or with evidence of metastasis (55). However, different reports indicate that these clinical variables are insufficient in



defining disease risk and additional biomarkers for improvement of outcome prediction are desirable (112, 115).

## Genetic pathways in medulloblastoma

Several cellular signaling pathways are reported to be frequently disrupted in medulloblastoma (Table 2). The Hedgehog signaling pathway, which plays an important role in cerebellar development, is often involved in medulloblastoma tumorigenesis. The most common alterations hitting this pathway are mutations of *Ptch1* (*patched homolog 1* on 9q22.1-q31) gene, which have been described in up to 20% of medulloblastomas. Other genetic alterations affecting the Hedgehog pathway include activating mutations of the *Smoh* (*smoothened homolog* on 7q31) and *Sufu* (*suppressor of fused homolog* on 10q24.32) genes and deletion of the tumor suppressor gene *REN* (*renin* on 17p13.2), which maps to a region commonly affected by deletions in medulloblastoma. Activation of Wnt and APC signaling pathways have also been observed, mainly due to mutations of the  $\beta$ -catenin (on 3p21), *APC* (*adenomatous polyposis coli* on 5q21-q22) and *AXIN2* (on 17q23-q24) genes, and also by deletions of the *AXIN1* (on 16p13.3) gene. The Notch pathway may also play a role in medulloblastoma development. Overexpression of Notch1 (on 9q34.3) and Notch2 (on 1p13-p11), which are receptors involved in normal development, cell-fate determination, proliferation and survival, have been observed. Several other signaling ‘pathways’ have also been reported to be involved in medulloblastoma development and progression: c-myc signaling and receptor tyrosine kinase signaling by ErbB, c-met, IGF-R and TrkC (55, 116-118).

The most frequent chromosomal aberration detected in medulloblastoma is isochromosome 17q, which is present in 30-50% of tumors (55, 119). Loss of 17p and gain of 17q occurring independently are also common as well as trisomy 7, gain of 1q and amplification of *MYCN* (*v-myc myelocytomatosis viral related oncogene* on 2p24.1), *ERBB2* (*v-erb-b2 erythroblastic leukemia viral oncogene homolog 2* on 17q11.2-q12) or *hTERT* (*telomerase reverse transcriptase* on 5p15.33) (68, 80, 116, 120). Additionally, loss of chromosomes 6, 7q, 8, 9q, 10q, 11 and 16q have also been reported in medulloblastoma (120-122).

**Table 2.** Summary of the important signaling pathways in medulloblastoma development.

Pathway	Deregulation	Association with medulloblastoma subtype	Functional effects
Hedgehog	Activating mutation of <i>Ptch1</i> (20%), <i>Sufu</i> (9%), <i>Smoh</i> (<5%), and deletion of <i>REN</i>	Desmoplastic/nodular	Cell cycle progression and induction of growth factors
Notch	Over-expression of Notch1 and Notch2	Not known	Progenitor cell survival
Wnt	Activating mutation of $\beta$ -catenin (9%), <i>APC</i> (4%), <i>AXIN1</i> (12%)	Classic	Not known
c-met	Over-expression	Anaplastic/large cell	Tumor cell proliferation, cell cycle progression, cell survival, migration, invasion, cell size
erbB2	Over-expression	Not known	Cell migration, invasion and metastasis
TrkC	Over-expression	Not known	Apoptosis and maybe invasion
IGF-R	Over-expression and phosphorylation	Not known	Progenitor cell proliferation
c-Myc	Over-expression (64%) and amplification (15%)	Anaplastic/large cell	Cell proliferation, cell cycle progression and cell size regulation

## Urinary bladder carcinoma

Bladder cancer is the fourth most common malignant disease in Europe, with 91 000 new cases diagnosed each year and causing approximately 37 000 deaths (123). The risk of developing bladder cancer increases with age, with a peak incidence between 50 and 70 years. The disease is also three times more common among men than women (124, 125). Occupational exposures, tobacco use and pharmaceutical drug use are all risk factors for developing cancer of the urinary bladder (126). Bladder cancer is a heterogeneous disease and is classified into several stages, Ta, T1-T4 and Carcinoma in situ (CIS), based upon the extent of invasion into the surrounding tissues (127). Approximately 70% of these patients present with papillary non-invasive, stage Ta tumors, with low risk for progression and death. However, as many as 50-70% of patients diagnosed with stage Ta will suffer from tumor recurrences after initial resection of the lesion. This high percentage of patients affected by tumor recurrences makes this tumor type one of the most prevalent neoplasms. The prevalence is estimated to be three to eight times higher than the incidence which poses this disease as a major burden on the health care system. More importantly, the high risk of recurrence also affects the

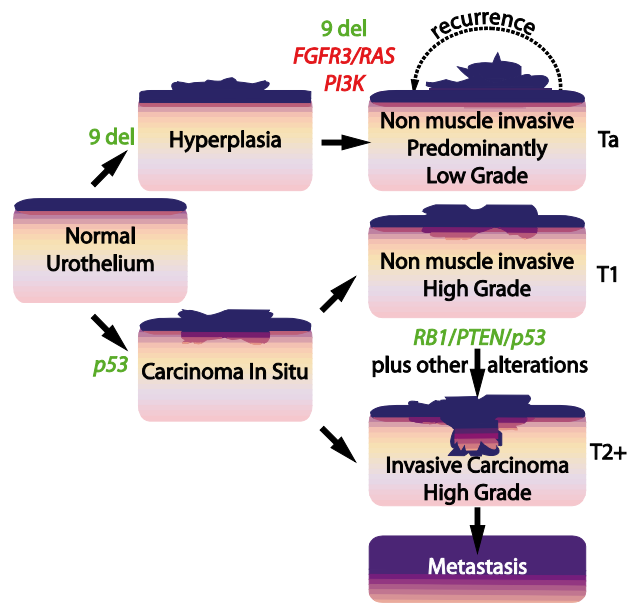
patient's quality of life. Therefore, it is necessary to identify patients with a high likelihood of tumor recurrence and progression in order to promote more aggressive therapy regimes for these patients (124, 128). T1 tumors invade into the subepithelial connective tissue and represent approximately 20% of all newly diagnosed cases. CIS is a flat type of tumor, often found together with T1 tumors. This tumor spreads along the surface of the bladder and is associated with an increased risk for progression into invasive bladder cancer (127, 128). T2-T4 tumors are solid muscle infiltrating tumors and constitute a minority of cases. Patients with these tumors have a high risk for metastasis and death (127-129). It is difficult to predict at diagnosis which patients will progress from non-muscle-invasive tumors, primarily T1 tumors, to solid muscle infiltrating tumors (T2-T4). However, when comparing the progression-free survival of non-muscle-invasive tumors, it was observed that invasion of the subepithelial connective tissue is a prognostic indicator for risk of disease progression and reduced survival (124). Bladder cancer tumors are also divided into histological grades (low-grade and high-grade). Low-grade tumors are mostly common among Ta tumors, whereas the majority of T1-tumors are high-grade. Advanced tumors (T2-4) are only high-grade.

The treatment regimes with chemo- or immunotherapy depend on the tumor grade and recurrence status (124). Patients with superficial Ta and T1 tumors undergo initial transurethral resection in addition to treatment with chemo- or immunotherapy by intravesical instillations, in an attempt to prevent recurrences. Mitomycin-C (MMC), Bacillus Calmette Guerin (BCG) and interferon alfa are frequently used agents for this purpose (124, 128, 130). Radical cystectomy is the standard care for patients with muscle invasive bladder cancer (T2-T4), but the 5-year survival rate is only in between 40-60% and has not improved significantly over recent years (124, 128, 131).

## Genetic pathways in urinary bladder carcinoma

The most common reported genetic alteration among the noninvasive bladder tumors are deletion of chromosome 9 and point mutations of *FGFR3* (*fibroblast growth factor receptor 3* on 4p16) (125, 131-134). Activating mutation of *FGFR3* leads to MAPK (mitogen activated protein kinase) pathway stimulation which regulates various cellular activities such as gene expression, mitosis, differentiation, cell survival and apoptosis (133, 134). *FGFR3* mutations are far more frequent in low-grade Ta tumors (up to 80%), with only 10-20% of muscle invasive carcinoma showing this mutation (133-136). In addition to these alterations, loss of chromosome Y is observed to be a frequent event in the noninvasive bladder tumors (137). Occasionally, small amplicons or homozygous deletions have also been identified (138).

Apart from these alterations, the low grade noninvasive bladder tumors display few molecular alterations (139). Whole chromosome 9 deletions are common in all grades and stages of bladder cancer with more than half of tumors presenting this aberration. However, several studies have shown that 9q loss is more common in low-grade and low-stage tumors compared to muscle invasive tumors, which in turn more frequently display 9p deletions (140-143). Four different tumor-suppressor regions have been mapped to chromosome 9. High-grade and high-stage tumors are often characterized by homozygous deletion of the tumor suppressor genes *CDKN2A* and *CDKN2B* located on 9p21 which is one of four different minimal tumors suppressor candidate regions (144). The other three regions are located on 9q22, 9q32-33 and 9q34 (125, 131, 145, 146). These candidate regions encompass the tumor suppressor genes *Ptch1* (147, 148), *DBC1* (*deleted in bladder cancer-1* on 9q32-q33) (149, 150) and *TSC1* (*tumor suppressor candidate-1* on 9q34.13) (151). CIS and muscle invasive tumors show frequent alterations of the *TP53* and *RB* genes and pathways and particularly muscle invasive tumors display a wide range of genomic alterations, including losses of 2q, 5q, 8p, 10q, 11q, 13q, 17p, 18q and gains of 1q, 5p, 6p, 8q, 10p, 17q and 20q (139, 152). A model for bladder cancer initiation and progression with genes frequently activated or inactivated are shown in Figure 4.



**Figure 4.** Schematic model for bladder cancer initiation and progression. Genes frequently altered by activating or inactivating mutations are shown in red and green respectively.

# Present investigation

## Aims

- To explore the extent of copy number variations in a large set of phenotypically normal individuals by applying a full-coverage 32K BAC-based array.
- To identify DNA copy number aberrations and comprehensively search for novel candidate gene loci involved in glioblastoma and medulloblastoma development, using a full-coverage 32K BAC-based array.
- To correlate DNA copy number and gene expression status with clinical behavior on a clinically well-characterized cohort of Ta-stage bladder carcinomas selected by the presence or absence of recurrences.

# Methods

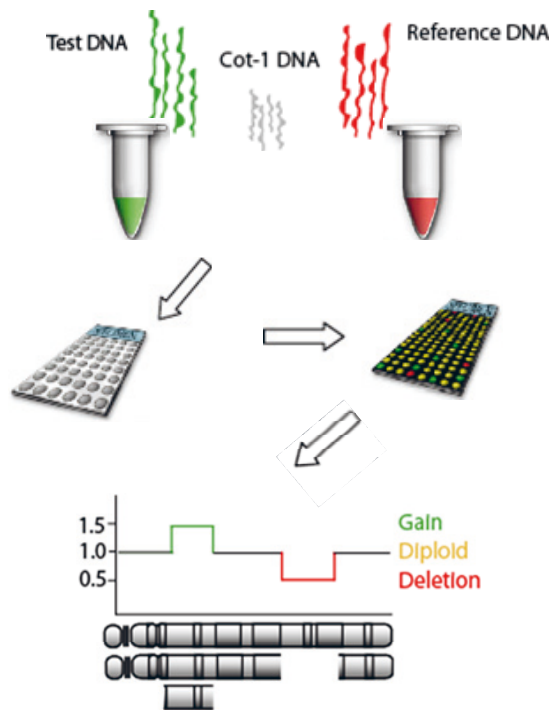
## Microarray-based genomic hybridization (array-CGH)

This method is an advanced technique first described in 1997 by Solinas-Toldo and colleagues and it was first referred to as matrix-CGH (153) or array-CGH (154). This technique has emerged from conventional metaphase-CGH, first developed by Kallioniemi and colleagues in 1992 (155), in which test (tumor sample) and reference DNA (control sample) are differentially labeled with fluorochromes and competitively hybridized to normal metaphase chromosome spreads on a glass slide. Regions of gain or loss of DNA are then detected as changes in the ratio of the intensities of the two fluorochromes along the chromosome. In array-CGH the chromosomes are substituted with an ordered set of targeted sequences with known chromosomal position spotted on a glass slide. Arrays can be made of genomic clones, cDNA, PCR fragments or oligonucleotides (156-158).

One of the main approaches for identifying unbalanced structural variations such as CNVs is array-CGH. This is a powerful and robust method for carrying out genome-wide scans and to find novel CNVs. Furthermore, one way to find candidate genes involved in tumorigenesis is to identify recurrent DNA copy number alterations in the tumor genome. Gain or loss of genomic material may change the level of expression of those genes encompassed in the aberration, which then modifies normal growth control and cellular pathways. Genetic alterations such as amplifications and deletions may activate proto-oncogenes or inactivate tumor suppressor genes. Characterization of these DNA copy number alterations is then important for the understanding of cancer. The advantages of array-CGH compared to metaphase-CGH, LOH studies (using microsatellite or restriction fragment length polymorphism (RFLP) markers) and FISH (fluorescent *in situ* hybridization) are the higher resolution of analysis and the power of the method allowing the investigation of the whole genome in a single experiment. Schematic illustration of array-CGH is given in Figure 5.

In the papers included in this thesis we applied an array (32K-array) established in our lab. This platform is a clone-based array composed of 32,396 bacterial artificial chromosomes (BACs) covering 99% of the current assembly of the human genome with an average resolution of up to 60 kb, which is

~100x better resolution compared to metaphase-CGH. The clone library was (159) purchased from BACPAC Resources Center at Children's Hospital Oakland Research Institute (Oakland, CA, USA; (<http://bacpac.chori.org/pHumanMinSet.htm>). The set of clones were amplified using three different degenerate-oligonucleotide-primed PCR primers (160) and then reamplified with a universal primer labeled with an amino group, which allows the attachment of the DNA to a glass slide. The DNA was printed onto a Codelink HD microarray slide with a high-throughput microarray printer constructed by the Lawrence Berkeley National Laboratory.



**Figure 5.** Array-based comparative genomic hybridization. The ratio of signal intensities detected for each spot is indicative of the relative DNA copy number in test versus reference DNA and quantitative evaluation of fluorescence intensity ratios allows the identification of loss or gain of chromosomal material in the test DNA.

Several steps including DNA labeling, testing of hybridization temperature, and washing conditions were carefully optimized to obtain the most optimal signal to noise ratio. We used 1µg of test and reference DNA which were labeled with different fluorochromes; Cy3 and Cy5 respectively. Labeled samples were then mixed with unlabeled human Cot-1 DNA to block repetitive sequences. Cot-1 DNA is enriched in repetitive sequences and binds complementary repetitive sequences in the test DNA. Subsequently, the mix-

ture was vacuum evaporated and resuspended in hybridization solution. The mixture was then hybridized to the microarray for 20 hours. Following washing steps, the microarray was scanned and the fluorescence intensity was analyzed using image analysis software. The raw data files were then uploaded to a laboratory information management system database for storage, hosted by the Linnaeus Centre for Bioinformatics (LCB; <http://base.lcb.uu.se>). LCB also provides tools for filtering and statistical analysis of microarray data within the LCB Data Warehouse (LCB-DWH) (161); we applied several filters to the hybridization raw data files. These filters remove oversaturated spots, spots with low signal-to-noise ratio in channels and spots either manually or automatically flagged as bad, absent or not found in the image analysis software. To remove possible dye bias or spatial effects, we also normalized all data using print-tip locally weighted scatter-plot smoothing (162). Clones were classified as balanced, gained or deleted using the open source software SMAP (163), available from Bioconductor (<http://www.bioconductor.org>) and within LCB-DWH (161) which was developed in parallel to our experiments. For visualization of the results a graphical viewing tool that plots all clones according to their chromosomal positions was employed.

## Expression microarray

Microarrays can also be used to simultaneously measure the expression level of many thousands of genes in the genome. Expression microarrays are a very effective tool in evaluating differences in gene expression levels and identifying specific genes whose expression is altered. This method can reflect changes in the genome which are not detectable by sequencing methods or copy number analysis. Identification of these altered genes can provide clues that aid in the identification of aberrant molecular pathways underlying the disease of interest. One of the most studied human diseases by expression arrays is cancer (164-167). In 1995 a microarray-based method for high-throughput monitoring of plant gene expression was described and one year later the same group described a microarray containing over 1000 human cDNAs (168, 169). Today, multiple commercial expression microarrays are available and the market leader is the Affymetrix GeneChip which is the most frequently used microarray for gene expression. Over 3,000 scientific publications describe results from this platform (170-172). Expression arrays were applied in both paper III and paper IV and the Affymetrix Human Genome U133Plus2.0 array used provides coverage of over 47 000 transcripts. This array consists of thousands of oligonucleotide probes that are synthesized *in situ* and covalently attached to a solid matrix. Each transcript is represented by 11 pairs of 25mer oligonucleotides that serve as unique, sequence-specific detectors. Six major steps are required in this method; *i)*



preparation of RNA from the test sample, *ii*) synthesis of cDNA from the RNA sample using reverse transcriptase and an oligo-dT primer, *iii*) amplification of biotin-labeled antisense mRNA (also referred to as cRNA) using an *in vitro* transcription reaction with the cDNA as a template, *iv*) addition of cRNA to the hybridization mix and injection into the GeneChip array followed by hybridization for 16 hours, *v*) several washing and staining steps including a fluorophore conjugated to avidin that binds to biotin followed by a signal amplification step using specific antibodies, and finally *vi*) scanning of the GeneChip array with a confocal laser scanner and recording of fluorescent signals on the array. Prior to analysis, the data is preprocessed by non-specific signal correction, normalization and filtering. The intensities for each sequence are converted to a quantitative read-out of relative gene-expression levels when compared to cRNA controls of known concentration (earlier added to the hybridization mixture). A number of software packages that implement algorithms can be used for calculating the signal intensity from the array. We used packages from the Bioconductor project and the raw data was normalized using the robust multi-array average (RMA) method (173). An empirical Bayes moderated t-test was applied to search for differentially expressed genes between groups (174). By comparing two or more hybridization patterns produced on separate arrays of the same array type, one can determine differences in mRNA levels between samples (171, 175-177). This type of array has a one-color system design, which means that a single sample is hybridized to the array. Using gene expression data from the same tumors already analyzed for copy number changes can aid in the identification of candidate genes within the altered regions in a cancer genome.

## Quantitative real-time polymerase chain reaction (q-PCR)

Real-time PCR (RT-PCR), also known as quantitative PCR (qPCR) is a quantitative PCR method for the determination of products generated during each cycle in a PCR reaction which is directly proportional to the amount of template prior to the start of amplification (178). The first documentation of RT-PCR was in 1993 by Higuchi *et al.* (179). This technique can be applied for measuring mRNA expression levels, DNA copy number, transgene copy number and expression analysis, allelic discrimination, and viral titers. RT-PCR is the method of choice for validation of detected copy number alterations in targeted regions because of the low cost and fast turnaround time (180). The two most common methods of performing of RT-PCR are the probe-based method and the intercalate-based method. The probe-based RT-PCR, known as TaqMan RT-PCR requires a pair of PCR primers and an additional fluorogenic probe which emits fluorescence when bound to the newly synthesized DNA. The intercalate-based method, known as SYBR-Green method, has intercalating dyes which bind to newly synthesized DNA and

emit fluorescence. In both cases the fluorescent signal in each PCR-reaction is captured at frequent intervals with a highly-sensitive camera (178).

The RT-PCR method was applied in paper II to validate selected regions which displayed altered gene copy number in the tumor tissue as detected by array-CGH. The relative copy numbers were determined using the SYBR Green method and the gene copy number in the tumor DNA was normalized to a reference gene and calibrated to normal DNA.

## Fluorescent *in situ* hybridization (FISH)

FISH is a molecular cytogenetic technique used to visualize specific labeled DNA probes hybridized to chromosomal structures. The first application of this technique came in 1980 by Bauman *et al.* (181). FISH is widely used today as a diagnostic tool to identify numerical and structural abnormalities in the DNA. By this method one can detect genetic aberrations such as deletions, inversions, translocations, and gene amplifications. However, certain genetic alterations, for instance, insertions or smaller alterations may be difficult to detect by FISH (182, 183). FISH can be performed on interphase nuclei or metaphase chromosomes. The fact that FISH can be used on nondividing nuclei allows for retrospective analysis of formalin-fixed, paraffin embedded tissue which is the most common form of archived material. This technique also allows for the use of small biopsies, sometimes with as few as 40-100 cells. The specimen is first firmly attached to a microscope slide. Thereafter a number of deparaffinization (for formalin-fixed, paraffin embedded tissues) and enzyme treatment steps are implemented and the DNA within the specimen is denaturized and hybridized to a specific fluorescent probe which only binds to the region of interest. Different kinds of probes can be used but all probes must be designed to be complementary to the chromosomal region one wishes to detect. Centromeric probes, derived from repetitive centromeric sequences, are used to detect losses or gains (mostly monosomies or trisomies) of specific chromosomes. Locus specific probes are specific for individual genes and are usually used to detect translocations, inversions, amplifications or deletions of genes. After hybridization and washing, fluorescence microscopy is used to detect the fluorescent signals (182, 183). This method is currently being optimized to be used in paper III for validation of selected copy number alterations detected by array-CGH.

# Results and Discussion

## Paper I: Profiling of copy number variations (CNVs) in healthy individuals from three ethnic groups using a human genome 32K BAC-clone-based array

Copy number variations are recognized as a common source of genetic differences between individuals. Many studies continue to show that CNVs are found in all humans as well as in other mammals. Investigations on the occurrence of CNVs are important in providing deeper insight into the impact of CNVs on human phenotypic variability, complex behavioral traits, disease susceptibility and evolution. In this study, we first established and carefully validated a high-resolution microarray containing over 32,000 BACs and then applied this array in the profiling of CNVs in the ‘healthy’ human genome. The set of clones (159) covers 99% of the current assembly of the human genome with an average resolution of up to 60 kb. The clones were degenerate-oligonucleotide-primed-PCR (DOP-PCR) amplified prior to in-house printing onto microarray slides. Validation of the array included several self-self hybridizations of a healthy female reference, which we used in all subsequent hybridizations, as well as hybridizations of this female reference against different pools of healthy individuals. As part of the validation process we also performed hybridizations of different cell lines and tumor samples with previously well-characterized genotypes. We could correctly identify the expected aberrations known to be present in the cell lines and tumors samples, and we also detected a number of small aberrations which had not previously been detected. Furthermore, the results showed a very high degree of reproducibility.

In this study a series of 71 healthy individuals (44 men and 27 women) from three different ethnic groups were analyzed using the 32K-BAC array with the aim of establishing a baseline for CNVs and in addition, identifying novel CNVs. The use of the term ‘baseline’ in this context refers to the normal frequency and genomic distribution of CNVs in normal individuals. Thirty-three Europeans, 24 Africans and 14 Asian subjects were included and all individuals were hybridized against the same female reference. DNA was isolated from peripheral blood and profiled; the advantage of this strategy being that a risk of false positive results due to cell culturing artifacts is

completely avoided. After analyzing all hybridizations, 1,078 autosomal CNVs were detected which involved at least two neighboring BAC clones. The average number of CNVs per individual was 15.1 (minimum = 3, maximum = 36) with an average size of 357.925 bp. The average size of the CNVs was similar between gains and losses, however, a larger number of gains than deletions was observed (835 vs. 243) which suggests a lower tolerance of deletions in the human genome, as compared to gains. We also grouped all individual overlapping CNVs into CNV regions (CNVRs). We found 315 distinct regions (52 deleted, 237 gained, and 26 either gained or deleted regions). These encompassed 118.1 Mb which means that at least ~3.5% of the human genome is involved in CNVs. A proportion of the identified CNVRs overlapped with previously reported regions annotated in the Database of Genomic Variants (62.5%), but a considerable number of them (37.5%) represented new variants. Interestingly, a total of 87% of the detected CNVRs overlap with known genes verifying that they might have phenotypic consequences. Furthermore, when looking at the reported regions in detail, it could be concluded that segmental duplications (SDs) were over-represented in deleted regions (60% vs. 46% for deletions and gains, respectively) and in the larger deleted regions we could detect as much as 70% of SD content which indicates that SDs are hotspots for chromosomal rearrangements and the formation of CNVs. We also investigated if it was possible to identify any population-specific CNV for any of the ethnic groups studied. For this we performed complete unsupervised hierarchical clustering, based on the Euclidean distances between samples (using the log2-ratios of 1,145 clones identified within CNVs). We could not observe however, any ethnic-specific clustering, in that we found no cluster containing individuals of only one population. It is possible that the resolution of the platform or number of cases studied was not high enough to detect any existing differences. An alternative explanation is that CNVs do not vary between the ethnic groups studied.

In summary, we established a 32K BAC array which is a powerful and reliable tool for detection of copy number alterations in the genome, we validated and applied it in the determination of CNVs in healthy individuals. This study contributes to the establishment of the common baseline for CNVs, which is an important resource in studies addressing predisposition to different human diseases.

## Paper II: Characterization of novel and complex genomic aberrations in glioblastoma using a 32K BAC array

Glioblastoma is a devastating disease and despite treatment less than half of patients are alive 1 year from diagnosis. The genetic factors underlying glioblastoma development are still poorly understood. In this study we aimed to identify chromosomal alterations at the level of DNA copy number that may be related to glioblastoma initiation and progression. A cohort of 78 tumor samples, in addition to 46 matched peripheral blood samples, was analyzed using a high resolution 32K BAC array. This array is a very powerful tool for detection of copy number imbalances genome-wide. The regions of loss or gain identified in either constitutional or tumor-derived DNA can potentially indicate the position of putative gene(s) involved in the development of glioblastoma tumors. By profiling both tumor and blood DNA we were able to distinguish between genetic events that are tumor-specific and those present in constitutional DNA. The importance of discovering these aberrant genes is that they could serve as diagnostic and/or prognostic markers for improved early diagnosis and/or even identify targets for new therapeutic strategies.

We observed a variety of both known and novel tumor-specific copy number alterations, including not only single losses or gains but also high-copy number amplifications and homozygous deletions. The most frequent genetic aberration involving a whole chromosome was monosomy 10 followed by trisomy 7 and 83% of tumors were identified with a combination of these aberrations. Other common alterations affecting whole chromosomes were monosomy 22, trisomy 19 and 20. The most common copy number alterations involving whole p and/or q arms, and interstitial and/or terminal gains or deletions was the entire or interstitial deletion of 9p, followed by interstitial loss of 1p.

We also identified a complex pattern of aberrations including high and narrow copy number amplicons. A total of 63 cases (81% of patients) presented with amplicons, varying in number from 1 to 19 per sample (three on average). The most frequent amplicon encompassed the *EGFR* locus and was identified in 39 samples (50%). For 19 of these samples the normalized ratio for clone CTD-2026N22, which lies within the *EGFR* amplicon, was higher than 10, which is consistent with the presence of at least 20 DNA copies of this locus. The second most common amplicon was an amplification of the *CDK4* locus, this event being observed in 16 cases (20.5%). Amplifications of *PDGFRA* and *MDM2* were observed in 15.4% and 11.5% of tumors, respectively. In addition to these amplicons, which are known events in glioblastoma, several novel amplicons were identified that encompassed interesting candidate genes such as; *GRB10* (*growth factor receptor-bound protein*

10 on 7p12.2), *MKLN1* (*muskelin 1, intracellular mediator containing kelch motifs* on 7q32.3), *PPARGC1A* (*peroxisome proliferative activated receptor gamma, coactivator 1 alpha* on 4p15.2), *HGF* (*hepatocyte growth factor* on 7q21.11), *NAV3* (*neuron navigator 3* on 12q14.3- q21.1), *CNTN1* (*contactin 1* on 12q12), *SYT1* (*synaptotagmin 1* on 12q21.2) and *ADAMTSL3* (*ADAMTS-like 3* on 15q25.1). Moreover, extremely complex amplifier genotypes with numerous high and low copy number amplicons were specifically found on chromosomes 7, 12, and 22. One sample with multiple amplicons on chromosome 7 had a maximum ratio of 16.05 for the *EGFR* locus which indicates 32 DNA copies of the *EGFR* region. Furthermore, another sample presented with high and multiple amplicons on chromosome 12. One of them, encompassing the *MDM2* locus, displayed a maximum ratio of 27, which is consistent with 54 gene copies.

This analysis also allowed us to identify numerous narrow homozygous deletions. These regions included several previously reported tumor suppressor genes known to be involved in glioblastoma development, as well as novel candidate genes. Homozygous deletion of 9p21.3, encompassing *CDKN2A*, was identified in 31 samples (40%). Interestingly, several different loci affected by biallelic deletion on chromosome 9 were also identified, which strengthens the notion that genes other than *CDKN2A* located on this chromosome may be involved in tumor development. Notably, homozygous deletion of *ELAVL2* (*embryonic lethal abnormal vision, Drosophila,-like 2* on 9p21) was observed in 16 patients, an aberration that has also been observed in pediatric gliomas (184). Several other homozygously deleted loci encompassing interesting candidate tumor suppressor genes such as *BNC2* (*baso-nuclin 2* on 9p22.2), *PTPLAD2* (*protein-tyrosine phosphatase-like A domain-containing protein 2* on 9p21.3) and *PTPRE* (*protein tyrosine phosphatase, receptor type, E* on 10q26) were also identified.

Furthermore, we also defined minimal overlapping regions (MORs) of gains and deletions. These regions are of special interest as they are likely to harbor candidate oncogenes or tumor suppressor genes. We identified 185 different regions of deletion and gain involving at least 3 tumor samples. To identify possible candidate genes within these regions, we used publically available expression data and determined the top significantly up-or down-regulated genes in comparison to normal brain. Several novel genes not previously associated with glioblastoma, in addition to known genes with a previous correlation to glioblastoma, were detected.

Finally, we compared the copy number variations detected in blood to publicly available data (Database of Genomic Variants) and a large series of healthy individuals using the same platform (185) and concluded that all

observed alterations in blood were previously categorized as normal disease-unrelated variations.

By this large comprehensive analysis of glioblastomas we concluded that not a single pair of tumors presented with identical genomic profiles. This large individual variation of genetic alterations demonstrates the underlying complexity of the disease. Whole genome profiling by array-CGH is an effective tool to highlight candidate tumorigenic genes. These genes may be used in future as targets for new diagnostic and therapeutic strategies.

### Paper III: Novel amplicons in pediatric medulloblastoma identified by high-resolution genomic analysis

Patients with medulloblastoma are currently divided into high- or standard risk groups. The high risk group includes patients younger than 3 years, with incomplete surgical resection, and/or evidence of metastasis. However, the clinical parameters defining risk stratification have been shown to be insufficient (112, 186-188) and a significant proportion of patients die from the disease. In addition, the majority of survivors suffer from long-term neuroendocrine and cognitive dysfunctions due to the intensive treatment regimes required to treat their disease (114). Currently, the treatment protocol is based only on risk-stratification with over- or under-treatment as common outcomes. It is essential to increase the understanding of the biology underlying the development of medulloblastoma and also find biomarkers that could help to differentiate between high and standard risk patients.

We therefore analyzed a collection of 25 Swedish medulloblastoma samples collected over a period of more than 25 years, using 32K BAC array. We were able to generate a detailed map of aberrations across the genome. The most frequent DNA copy number aberration observed in medulloblastoma was the combination of 17p loss and 17q gain, indicative of an isochromosome 17q (i(17q)). This alteration was identified in 40 % of tumors. The second most common aberration was the entire or partial loss of 1p, identified in 32% of cases. Furthermore, monosomy 8, trisomy 7 and 19, as well as interstitial deletions of 10q and partial gains of 8p and 17q were also relatively common.

Since we had access to clinical data for all cases, we studied the correlation between chromosomal aberrations and patient outcome. We observed that all but one of the patients affected with tumors presenting with i(17q), were alive at the point of manuscript completion, and we also could determine a significant correlation (Kaplan-Meier) for the presence of this aberration with long-

er survival time,  $p$ -value 0.047. These results are in disagreement with previous studies showing a correlation between this abnormality and poor outcome (189, 190). It is possible that the difference between studies may be due to random sample selection. We think that further investigations in larger series of samples are needed before the presence of this aberration can be used in the clinic as a marker of disease progression and survival. We also identified three cases with very interesting profiles displaying high copy number amplicons, samples that were selected for validation using SNP-based comparative genomic hybridization (Illumina 610Q beadchips). These arrays cover the genome with over 590 000 SNPs and 21 000 markers for analyzing common CNV regions. Two of these samples were also analyzed with U133Plus2.0 expression arrays to identify candidate genes within the amplified regions. One tumor presented with two independent amplicons on chromosome 1. The narrower and most distal one encompassed 42 genes, 28 of which were up-regulated. Among them we detected *MYCL1* (*v-myc myelocytomatosis viral oncogene homolog 1, lung carcinoma derived, avian* on 1p34.2) which is known to be amplified in medulloblastoma tumors (119). The other amplified region encompassed 38 genes, 24 of which were up-regulated, and possible candidate oncogenes in this region are: *SSX2IP* (*synovial sarcoma, X breakpoint 2 interacting protein* on 1p22.3), *LMO4* (*LIM domain only 4* on 1p22.3), *PKN2* (*protein kinase N2* on 1p22.1) and *CYR61* (*cysteine-rich, angiogenic inducer, 61* on 1p22.3). In the other tumor we also identified two independent amplicons, but on chromosome 3. The more distal one included only one gene, *EOMES* (*eomesodermin homolog* on 3p21.3-p21.2), and the other encompassed 56 genes, 37 of which were up-regulated. *DCLK3* (*doublecortin-like kinase 3* on 3p22.2), *RPSA* (*ribosomal protein SA* on 3p21.3) and *CTNNB1* ( $\beta$ -*catenin* on 3p22.1) are potential candidate genes within this region. In the third tumor we observed an amplicon on chromosome 8 encompassing 69 genes. This tumor could not be analyzed for gene expression levels. *MYC* (*v-myc myelocytomatosis viral oncogene homolog* on 8q24.21) and *DDEF1* (*development and differentiation enhancing factor 1* on 8q24.21) are two proto-oncogenes that mapped to this region. *MYC* is known to be involved in medulloblastoma development (115, 191). Two of these three patients with high copy number amplicons are deceased but the third patient is still alive after 19.4 years. Interestingly, the patient who remains alive was shown to have amplification of the *CTNNB1* locus, and it has been shown that mutations in this gene are associated with better survival (192).

We also defined the MORs of aberrations in the series and identified 34 regions of loss or gain. These regions were distributed throughout the genome and to identify possible candidate genes within these regions, we used publicly available expression data to determine the most significantly up- or down-regulated genes. Several genes already associated with medulloblastoma were mapped to these regions, but also novel candidate genes.



In conclusion, by profiling a series of medulloblastomas we could identify a number of novel genomic aberrations and various candidate genes within these regions.

## Paper IV: Focal amplifications correlate with high-grade and recurrences in stage Ta bladder carcinoma

Patients with the lowest malignancy state and the most common type of bladder carcinoma (Ta) have a low risk of progression and death. However, the risk for recurrences is relatively high and between 50-70% of patients will suffer from tumor recurrence. This is clearly a problem for both the individual patient as well as for the health care system (124, 128). The prediction of tumor recurrence is a challenge and reliable biomarkers are needed in clinical practice. Today, a number of common genomic aberrations have been identified in Ta bladder cancer. These aberrations include 9q/ 9p deletions, mutation of the *fibroblast growth factor receptor 3 (FGFR3)*, loss of chromosome Y and mutation or amplification of cyclin D1 on 11q13 (137, 193, 194). However, no single predictive biomarker is currently used for molecular diagnostic tests. By analyzing the DNA copy number alterations, as well as the gene expression levels, it is possible to characterize the genetic pathways behind tumor development.

The aim of this study was to evaluate DNA copy number as well as the gene expression levels of a clinically well-characterized subset of 21 Ta bladder carcinomas. These primary Ta samples included 2 LMP (papillary urothelial neoplasm of low malignant potential), 10 low-grade and 9 high-grade tumors from 14 males and 7 females with an average age of onset of 72.3 and 64.6 years respectively. All samples were selected according to their status of recurrence. Ten of the patients had several recurrent tumors, 2-15 within 10 months to 14 years, whereas 11 had no recurrences in a follow-up time of minimum 4 years. All cases were profiled with 32K BAC array to identify copy number alterations that could be important in tumor development and to differentiate recurrent from non-recurrent cases.

The array-CGH analysis revealed losses and gains of whole chromosomes in addition to interstitial and/or terminal copy number aberrations. The most frequent alteration (detected in 45% of cases) involving a whole chromosome was heterozygous deletion of chromosome 9. This aberration was observed in both the recurrence group and in the recurrence-free group. Other chromosomal imbalances such as gain at 1q, 5p, 17q and 20q were also relatively common, but more frequently observed in the recurrence group. Interestingly, high and narrow copy number amplicons were significantly over-

represented in the recurrent group, but also among the higher grade tumors. We identified in total 18 amplicons, thus, 33% of tumors presented with amplicons. The amplicons varied in size (0.25-11.5Mb) and in number (1-7 per tumor) and included known oncogenes (e.g. *FGFR3*, *CCND1* (*cyclin D1* on 11q13.2), *MYC* and *MDM2* as well as novel candidate oncogenes such as *MYBL2* (*v-myb myeloblastosis viral oncogene homolog (avian)-like 2* on 20q13.1), *YWHAB* (*tyrosine 3-monooxygenase/tryptophan* on 20q13.1) and *SDC4* (*syndecan 4* on 20q13.12). We also identified a few small homozygous deletions (0.65 to 3.10 Mb in size). These biallelic deletions encompassed several tumor suppressor genes, such as *CDKN2A/B*, *PTEN*, *RB1* which are known to be involved in bladder cancer, in addition to a novel gene *RNASEH2B* (*ribonuclease H2, subunit B* on 13q14 .13). Furthermore, 23 MORs involving gains or losses, of varying size (0.45-53.81Mb), were identified, and oncogenes and tumor suppressor genes known to be involved in bladder cancer as well as other types of cancer were mapped to these regions. The MORs that encompassed most samples were 9p24.3-21.1, 9q22.32-34.11 and 2q37.1 for deletions and 17q.25.1-25.3 and 20q11.21-13.33 for gains.

Gene expression levels were evaluated in 12 samples (5 cases without recurrences and 7 cases with recurrences) with the U133Plus2.0 expression array from Affymetrix and compared in recurrence versus non-recurrence groups. We did not observe any significant difference in gene expression between recurrence versus non-recurrence groups. The gene expression data was also used to identify candidate genes within the novel and narrow amplicons as well as the homozygous deleted loci when compared to gene expression data of normal bladder tissue. We identified several potential candidate genes, namely *MYBL2*, a proto-oncogene involved in cell cycle progression, *YWHAB*, which encodes an antiapoptotic protein, *SDC4*, an important component of focal adhesions, and *TOMM34*, involved in the import of precursor proteins into mitochondria. These were genes for which DNA amplification was linked to transcript up-regulation. Two of the genes encoding TOMM34 and SDC4 proteins were selected for immunohistochemistry staining. The results confirmed that protein expression also is up-regulated in the tumor with amplification.

To summarize, the whole genome profiling of Ta bladder carcinomas revealed a large individual variation in terms of copy number alterations. In addition, the significant correlation between high copy number amplicons and high-grade and recurrence cases was an interesting finding which may be useful in the identification of patients who would benefit from a more aggressive therapy.

## Concluding remarks and future perspectives

Array-based comparative genomic hybridization and gene expression arrays are powerful and relevant methods for the determination of copy number variations or gene expression changes in the human genome. The establishment of the 32K BAC array covering the whole genome and the identification of a baseline for common CNVs in healthy individuals in paper I was fundamental for subsequent studies of tumor-specific CNVs. In paper II, III and IV we applied this platform in the profiling of glioblastoma, medulloblastoma and bladder cancer with the primary objective of identifying copy number imbalances that could indicate faulty genes and the pathways altered by CNVs. Numerous known and novel MORs of gains and losses were identified in all tumor types. Moreover, in paper III and IV, we explored the gene expression levels in a number of samples, to aid in the identification of possible candidate tumor suppressor genes and/or oncogenes within the regions of interest. The results derived from these studies have increased our understanding of the genetic alterations leading to the development of these different tumor types and demonstrate the potential prognostic value of array-CGH. Both the discovery of new, and confirmation of recurrent genomic alterations within cancer genomes is important as it represent the first step guiding the development of new anti-cancer agents, directed to specific proteins encoded by these genes. Targeted cancer therapy targets specific molecular elements that are essential for survival of the tumor. One example of a revolutionizing drug which targets a specific genetic aberration is Gleevec (imatinib), which is now extensively used in the treatment of chronic myeloid leukemia. By inhibiting the major driving factor of the tumor, the *bcr-abl* aberrant fusion protein, Gleevec proved to be an extremely effective treatment option. The obvious success of Gleevec underscores the importance of investigating recurrent changes in the cancer genome in order to improve future cancer therapies.

To comprehensively characterize a cancer genome at a deeper level, high-resolution and genome-wide investigations must be undertaken. The optimal cancer genome analysis would involve a combination of complementary methods such as microarrays and sequencing, as well as the use of integrative approaches, which study changes in the transcriptome, and epigenome. Such unbiased and multi-dimensional data sets would provide a more complete picture of the complex alterations that occur prior to and during tumor

development. Massively parallel DNA sequencing provides a rapid and effective way to sequence the whole or selected regions of the genome. This method has dramatically improved on earlier techniques in terms of its ability to read massive amounts of sequences in parallel, in a fast and cost-effective way. There are four different technologies currently available: 454 (Roche), SOLiD (Applied Biosystems), Solexa (Illumina) and Helicos (195-198). The workflow and principles involved in these technologies are relatively similar. They use a combination of chemical and enzymatic reactions to sequence fragments in a highly parallel fashion. The read-out is then captured by image analysis and thereafter mapped to a reference genome. The sequenced genomic regions can then provide information about genetic alterations other than copy number aberrations, such as point mutations, inversions and translocations. The knowledge obtained from sequencing data can be added to that on copy number alterations and gene expression to improve the probability of identifying candidate cancer genes that are most likely to be drivers. Driver genes in turn are those that are worthy of deeper investigation, using functional studies such as cell-lines or animal models for instance. The discrimination between driver and passenger mutations is a critical dilemma in cancer genomic studies, because not all alterations in the cancer genome actually contribute to carcinogenesis. Furthermore, the frequency of mutations in a gene is not the only relevant factor in evaluating the importance of a mutation, the type of mutation can provide useful information regarding its potential role in disease. Massively parallel DNA sequencing can also be used for whole-genome transcriptome profiling. This permits the quantification of transcript abundance and identification of novel genes and splice-variants (199).

Abnormal epigenomic states, such as DNA hyper- or hypomethylation in a cancer cell are also relevant to study as they can impact on gene expression considerably. Many cancer types have been associated with changes in the epigenome that dysregulate normal transcription. The investigation of DNA methylation could for example reveal inactivated tumor suppressor genes which cause disruption of multiple pathways important for tumor development. Additionally, the understanding of how DNA methylation influences biological processes is still quite limited, and deeper knowledge of the methylation status within different tumor types could allow for enhanced diagnosis or tailor-made treatments in the future. Recent technology development has enabled analysis of DNA methylation in a genome-wide manner. DNA methylation studies can employ array-based or non-array-based methods and the procedure can be divided into two steps. The first step is the identification and enrichment of methyl-cytosines in the DNA sample. Common methods are: restriction enzyme-based method, chromatin immunoprecipitation (ChIP) and bisulfate conversion. The second step involves capturing of the enriched or modified DNA. In the array-based category, the

arrays are designed to analyze bisulfate-converted DNA or instead employ a restriction-enzyme-based methylation analysis. Non-microarray-based experimental design includes for example, massively parallel DNA sequencing after bisulfate conversion (200, 201).

The integration of copy number-, gene expression-, mutation-, and DNA methylation data will provide an overview of the pathways and networks altered during tumor development. Such information will be important for therapeutic decisions in clinics since a more personalized treatment can be achieved for each patient based on their genetic and epigenetic profile. Furthermore, identified candidate genes may in future be harnessed as targets for new diagnostic and therapeutic strategies.

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