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# On four-dimensional unital division algebras over finite fields

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A large, faint watermark of the Uppsala University seal is visible in the bottom right corner of the page. The seal features a sun with rays and the Latin motto 'ALERE FLAMMAM VERITATIS' (to feed the flame of truth).

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2015

## Abstract

A division algebra over a field  $F$  is a nonzero  $F$ -algebra  $A$  in which division is possible, in the sense that all left and right multiplication operators by nonzero elements are invertible. For finite-dimensional  $A$  this is equivalent to  $A$  having no zero divisors.  $A$  is called unital if it has a unity element  $e$  such that  $ex = x = xe$  for every  $x$  in  $A$ . In this paper we admit as ground field any finite field  $k := \mathbb{F}_q$  where  $q$  is an odd prime power greater than 3, and study the class of division algebras  $\mathcal{D}_4^{1*}(k) \subset \mathcal{D}_4^1(k)$  which is obtained by putting restraints on the automorphism group and left nucleus of the four-dimensional unital division algebras over  $k$ . We eventually arrive at the concept of *admissible structure constants*, which will allow an exhaustive construction of  $\mathcal{D}_4^{1*}(k)$ , that depends on two parameters in  $k$ .

**Keywords** - Division algebra, finite field, Klein's four-group, left nucleus.

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*Ille terrarum mihi praeter omnes angulus ridet.*

-Horace

Uppsala, June 2015  
Anders Lindqvist

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# 1 Introduction

This Bachelor thesis is an elaborate version of the interesting but extremely tersely written paper [1] by M. Bani-Ata et al. which originates in the following question.

Given a finite group  $G$  and a finite field  $k := \mathbb{F}_q$  for some prime power  $q$ , is there a division algebra over  $k$  such that  $G \lesssim \text{Aut}(A)$ ? The notation  $G_1 \lesssim G_2$  has the meaning  $G_1$  is isomorphic to a subgroup of  $G_2$ .

Bani-Ata took interest in studying this problem for Klein's four-group and showed in [2] that there exists such division algebras for  $q$  being a power of 2, and in [3] the same for  $q$  being an odd prime power greater than 3. We will consider the latter case and in particular study the division algebras also satisfying that  $\mathbb{F}_{q^2}$  is contained in its left nucleus, with the aim to provide an exhaustive construction of this class of division algebras.

## 2 Preliminaries

### 2.1 Division algebras

The objects of interest in this paper are algebras. An algebra  $A$  over a field  $F$ , or an  $F$ -algebra, is a vector space over  $F$  with a multiplication  $A \times A \rightarrow A, (a, b) \mapsto ab$  that is bilinear, i.e. such that the following holds.

1.  $a(b + c) = ab + ac$  and  $(a + b)c = ac + bc$  for all  $a, b, c \in A$
2.  $t(ab) = (ta)b = a(tb)$  for all  $t \in F$  and  $a, b \in A$

and we will denote the class of algebras over a field  $F$  by  $\mathcal{A}(F)$ . The  $n$ -dimensional algebras over  $F$  are denoted  $\mathcal{A}_n(F)$ . An algebra  $A$  is called *unital* when there is a unity element  $e \in A$  such

that  $ea = a = ae$  for every  $a \in A$ . The class of  $n$ -dimensional unital algebras over the field  $F$  is denoted  $\mathcal{A}_n^1(F)$ .

When  $A$  is an algebra over some field  $F$ , define for every  $a \in A$  the left and right multiplication operator of  $a$

$$L_a : A \rightarrow A, x \mapsto ax$$

$$R_a : A \rightarrow A, x \mapsto xa.$$

Following from the definition of an algebra, obviously we have that  $R_a$  and  $L_a$ , for any  $a \in A$ , are  $F$ -linear and have the following properties

- (i)  $R_a(e) = a = L_a(e) \forall a \in A$ .
- (ii)  $R_a + R_b = R_{a+b}$  and  $L_a + L_b = L_{a+b} \forall a, b \in A$ .
- (iii)  $R_e = L_e$  is the identity operator.
- (iv)  $tR_a(x) = R_{ta}(x)$  and  $tL_a(x) = L_{ta}(x) \forall a, x \in A$  and  $t \in F$ .

We will with these tools lay a foundation to the following sections by defining a division algebra.

**Definition 1.** *A nonzero algebra  $A$  is called a division algebra if  $R_a$  and  $L_a$  are invertible for every  $a \in A \setminus \{0\}$ .*

**Remark 1.** *For finite-dimensional algebras the division property is equivalent to the non-existence of zero divisors in  $A$ , i.e.  $xy = 0$  only if  $x = 0$  or  $y = 0$ .*

The class of division algebras over  $F$  is denoted  $\mathcal{D}(F)$ .

**Definition 2.** *The left, middle and right nucleus of an algebra  $A$  is defined in the following way, respectively.*

$$N_l(A) = \{a \in A : (ax)y = a(xy) \forall x, y \in A\}$$

$$N_m(A) = \{a \in A : (xa)y = x(ay) \forall x, y \in A\}$$

$$N_r(A) = \{a \in A : (xy)a = x(ya) \forall x, y \in A\}$$

Observe that 0 is an element in each of these sets, so the nuclei are always nonempty. If  $A$  is unital, then the unity element is also an element of each nucleus of  $A$ . We will in particular study the left nucleus, which we denote by  $N(A)$  for a given algebra  $A$ , and in fact we see that for any unital  $F$ -algebra  $A$ , we have that  $F \subseteq N(A)$ , where we canonically identify  $F$  with  $Fe$ . A nucleus may be interpreted as a degree of associativity for some given algebra.

**Proposition 1.** *Suppose that  $A \in \mathcal{A}(F)$ , for some field  $F$ . Then  $N(A)$  is a subalgebra of  $A$ .*

*Proof.* Begin by showing that  $N(A)$  is a subspace of  $A$ .  $0 \in N(A)$  is obvious. For  $a, b \in N(A), \lambda \in F$  we have, by using the bilinearity property of  $A$ ,  $(\lambda a)(xy) = \lambda(a(xy)) = \lambda((ax)y) = (\lambda(ax))y = ((\lambda a)x)y$  so  $\lambda a \in N(A)$  and  $(a + b)(xy) = a(xy) + b(xy) = (ax)y + (bx)y = (ax + bx)y = ((a + b)x)y$  so  $a + b \in N(A)$  and it follows that  $N(A)$  is a subspace of  $A$ .

Now we prove  $a, b \in N(A) \Rightarrow ab \in N(A)$ . If this holds, then  $N(A)$  is a subalgebra of  $A$ , finishing the proof. Let  $a, b \in N(A)$ . Then  $((ab)x)y = a(bx)y = a(b(xy)) = (ab)(xy)$  and hence  $ab \in N(A)$ . □

**Remark 2.** *Let  $F$  be a finite field and assume that  $A \in \mathcal{D}^1(F)$  is finite-dimensional. Then  $N(A) \subseteq A$  has no zero divisors, so  $N(A) \in \mathcal{D}^1(F)$ , and it is then a finite skew field. Following from Wedderburn's theorem,  $N(A)$  is a finite field.*

**Definition 3.** *The automorphism group  $\text{Aut}(A)$  of an algebra  $A$  is*

$$\text{Aut}(A) := \{\phi \in \text{GL}(A) \mid \phi(xy) = \phi(x)\phi(y) \forall x, y \in A\}.$$



**Remark 3.** *The automorphism group of an algebra  $A$  is equal to  $\{\phi \in \text{GL}(A) \mid \phi R_a \phi^{-1} = R_{\phi(a)} \forall a \in A\}$  since*

$$\begin{aligned} \phi(xy) = \phi(x)\phi(y) &\Leftrightarrow \phi R_y(x) = R_{\phi(y)}\phi(x) \\ &\Leftrightarrow \phi R_y(x)\phi^{-1}(x) = R_{\phi(y)}(x). \end{aligned}$$

We now make the observation that any automorphism  $\sigma \in \text{Aut}(A)$  will leave the nucleus invariant. Since  $\sigma$  is bijective it is sufficient to show that  $\sigma(a) \in \text{N}(A)$  for any  $a \in \text{N}(A)$ . Let  $a \in \text{N}(A)$  and  $x, y \in A$ . Denote  $x' := \sigma^{-1}(x), y' := \sigma^{-1}(y)$ . Then

$$\begin{aligned} (\sigma(a)x)y &= (\sigma(a)\sigma(x'))\sigma(y') = \sigma(ax')\sigma(y') = \sigma((ax')y') = \\ &= \sigma(a(x'y')) = \sigma(a)\sigma(x'y') = \sigma(a)(\sigma(x')\sigma(y')) = \sigma(a)(xy) \end{aligned}$$

so  $\sigma(a) \in \text{N}(A)$  for any  $a \in \text{N}(A)$  and then  $\sigma(\text{N}(A)) = \text{N}(A)$ .

For the remainder of this paper, let  $q > 3$  be an odd prime power. We will be interested in studying four-dimensional unital division algebras over the finite field  $k := \mathbb{F}_q$  having the following properties:

1.  $\text{C}_2 \times \text{C}_2 \lesssim \text{Aut}(A)$
2.  $\ell := \mathbb{F}_{q^2} \subseteq \text{N}(A)$ , where we canonically identify  $\ell$  with  $\ell e$ .

The class of such algebras will be denoted  $\mathcal{D}_4^{1*}(k)$ .

## 2.2 The finite fields $k$ and $\ell$

The reader is assumed to know that for any given prime power  $p^m$  there exists exactly one field of this order, up to isomorphism. We will in this section study some properties of the finite fields  $k$  and  $\ell$  which prove to be useful when studying  $\mathcal{D}_4^{1*}(k)$ . The field extension  $k \subset \ell$  is a Galois extension of degree 2, whose Galois group consists of the identity map and the Frobenius automorphism

$$\text{Fr} : \ell \rightarrow \ell, a \mapsto a^q$$

which we for simplicity will denote with a bar:  $\text{Fr}(a) = \bar{a}$ . In particular we have  $\overline{a+b} = \bar{a} + \bar{b}$  and  $\overline{ab} = \bar{a}\bar{b}$  for any  $a, b \in \ell$ . Also  $\bar{\bar{a}} = a$  for every  $a \in \ell$ .

**Lemma 1.** *Let  $a \in \ell$ . Then the following holds.*

$$\bar{a} = a \Leftrightarrow a \in k$$

*Proof.*  $\bar{a} = a \Rightarrow a \in k$  is a consequence of  $a$  being in the fixed field of  $\text{Gal}(\ell/k)$ , which is  $k$ .  $a \in k \Rightarrow \bar{a} = a$  follows directly when  $a = 0$ . When  $a \neq 0$ , then  $a$  is in the multiplicative group of  $k$ , which has order  $q - 1$ . It follows from Lagrange's theorem that the order of  $a$  divides  $q - 1$  and then  $\bar{a} = a$ . □

**Lemma 2.** *Let  $a, b, c, d \in \ell$ . If  $ax + b\bar{x} = cx + d\bar{x}$  holds for all  $x \in \ell$ , then  $a = c$  and  $b = d$ .*

*Proof.* We assign the value 1 to  $x$  in order to obtain

$$a + b = c + d$$

and the value  $x = z - \bar{z}$  for some  $z \in \ell \setminus k$ , for which it holds that  $\bar{x} = \bar{z} - z = -x$  and we get

$$(a - b)x = (c - d)x$$

equivalent to  $a - b = c - d$ , since otherwise  $x = 0$  or  $x = 1$ , both cases implying  $\bar{x} = x$ , leading to  $x \in k$ , contradicting our choice of  $x$ . By adding this equation to  $a + b = c + d$  we get that  $2a = 2c$  from which it follows that  $a = c$  since the characteristic of  $\ell$  is not equal to two. By substituting this into  $a + b = c + d$  we easily see that  $b = d$ , concluding the proof. □

**Lemma 3.** *For every  $x \in k$  the following holds.*

- (i)  $x$  has a square root in  $\ell$ .
- (ii) there is some  $y \in \ell$  such that  $x = y\bar{y}$ .

*Proof.* The multiplicative group  $\ell \setminus \{0\}$  is generated by an element  $\alpha \in \ell \setminus \{0\}$  which has the order  $q^2 - 1$ . Now consider the element  $\alpha\bar{\alpha} = \alpha^{q+1}$  which is in  $k \setminus \{0\}$  since it is fixed by the Frobenius automorphism, so its order divides  $q - 1$ . In fact, it is equal to  $q - 1$  since any order  $m < q - 1$  would imply that  $(\alpha^{q+1})^m = 1$  i.e. that  $q^2 - 1$  divides  $(q + 1)m < (q + 1)(q - 1) = q^2 - 1$  leading to a contradiction. Denote  $\beta := \alpha^{q+1}$ . Then we may conclude that  $\beta$  generates  $k \setminus \{0\}$  and

$$\begin{aligned} k &= \{0\} \cup \langle \beta \rangle = \{0\} \cup \{1, \beta, \beta^2, \dots, \beta^{q-2}\} \\ \ell &= \{0\} \cup \langle \alpha \rangle = \{0\} \cup \{1, \alpha, \alpha^2, \dots, \alpha^{q^2-2}\}. \end{aligned}$$

To show (i), consider the function  $\gamma : \ell \rightarrow \ell, x \mapsto x^2$  and we wish to show that  $k \subset \gamma(\ell)$ . We have

$$\gamma(\ell) = \{0\} \cup \langle \alpha^2 \rangle = \{0\} \cup \{1, \alpha^2, \alpha^4, \dots, \alpha^{2(q^2-2)}\}$$

that is, the image of  $\gamma$  contains every even power of  $\alpha$ . Since  $q$  is odd, it follows straightforward that  $m(q + 1)$  is even for every  $m$ , so  $(\alpha^{q+1})^m = \beta^m \in \gamma(\ell)$  and then  $k \subset \gamma(\ell)$ .

To show (ii), consider the function  $\sigma : \ell \rightarrow k, x \mapsto x\bar{x}$  which we will show is surjective.  $x\bar{x}$  is fixed under the Frobenius automorphism so it follows from Lemma 1 that it is in  $k$  for every  $x$ , so  $\sigma$  is well-defined. Since  $\gamma(0) = 0$  and any nonzero element in  $\ell$  may be written as  $\alpha^i$ , for which we will have  $\gamma(\alpha^i) = (\alpha^{q+1})^i = \beta^i \in k \setminus \{0\}$ , we find that the first  $q - 1$  powers of  $\alpha$  in  $\ell$  will be mapped by  $\gamma$  onto  $k$ , and it follows that  $\gamma(\ell) = k$ . □

**Remark 4.** We will, for any  $x = \alpha^{i(q+1)} \in k \setminus \{0\}$  have

$$\sqrt{x} = \alpha^{\frac{i(q+1)}{2}} \in \ell$$

with  $\alpha \in \ell$  being a generator of  $\ell \setminus \{0\}$  as in the proof above.  $x$  has exactly one other root, namely  $-\sqrt{x} = (p - 1)\sqrt{x}$ .

### 3 $A$ as a two-dimensional vector space over $\ell$

In this section we will consider a division algebra  $A \in \mathcal{D}_4^{1*}(k)$  and in detail study the right multiplication operator  $R_a$  for arbitrary  $a \in A$ . We will find that the restrictions put on the automorphism group and nucleus of  $A$  will let us consider  $A$  as a two-dimensional vector space over  $\ell$ . This in turn will allow us to uniquely determine  $R_a$  by a few elements in  $k$ .

**Proposition 2.** *If  $A \in \mathcal{D}_4^{1*}(k)$ , then  $N(A) = \ell$ .*

*Proof.* Since  $N(A)$  is a subalgebra of  $A$ , it is a finite field as stated in Remark 2, so  $N(A) = \mathbb{F}_{q^m}$  for some  $1 \leq m \leq 4$ . We also have that  $\ell \subseteq N(A)$ , so either  $\ell \cong N(A)$  or  $\mathbb{F}_{q^4} \cong N(A)$ . The aim of this proof will be to show that  $\mathbb{F}_{q^4} \cong N(A)$  leads to a contradiction, leaving the desired result.

Suppose that  $\mathbb{F}_{q^4} \cong N(A)$ . It follows, due to the order of  $A$ , that  $A = N(A) \cong \mathbb{F}_{q^4}$ . That is,  $A$  is a finite field. Every automorphism on  $A$  is  $k$ -linear and fixes the unity element, so  $\sigma(x) = x\sigma(e) = ex = x$  for any  $x \in k$  and  $\sigma \in \text{Aut}(A)$ , so  $\text{Aut}(A) = \text{Gal}(\mathbb{F}_{q^4}/k)$ , which is cyclic and generated by the Frobenius automorphism on  $\mathbb{F}_{q^4}$ :

$$\text{Aut}(A) = \langle \text{Fr} \rangle \cong C_4$$

reaching a contradiction since  $C_2 \times C_2 \lesssim \text{Aut}(A)$ . □

We have shown that the nucleus of  $A \in \mathcal{D}_4^{1*}(k)$  is equal to  $\ell$ , and hence the condition  $a(bx) = (ab)x$  for any  $a, b \in \ell, x \in A$  is fulfilled, so  $A$  is also a vector space over  $\ell$ . The other axioms of vector spaces are fulfilled by  $A$  being a unital algebra. As a vector space over  $\ell$ ,  $A$  is two-dimensional and has some basis  $\underline{b} = (b_1, b_2)$  with  $b_1, b_2 \in A$ .

**Remark 5.**  *$A$  is a vector space over  $\ell$ , but not an  $\ell$ -algebra since  $t(ab) = (ta)b$  is shown to hold for any  $t \in \ell$  and  $a, b \in A$ , but  $t(ab) = a(tb)$  does not hold in general.*

### 3.1 The automorphism group of $A$

**Proposition 3.**  $\text{Aut}(A) < \Gamma\text{L}(2, q^2)$  with  $\Gamma\text{L}(2, q^2) :=$

$$\{\zeta : (x, y) \mapsto (\sigma(x) \sigma(y))M : \sigma \in \text{Aut}(\ell), M \in \text{GL}(2, q^2)\}.$$

*Proof.* Consider any  $\gamma \in \text{Aut}(A)$ . Let  $a \in A$  with  $a = xb_1 + yb_2$  and  $x, y \in k$ . We have  $\gamma(b_1) = b_{11}b_1 + b_{12}b_2$ ,  $\gamma(b_2) = b_{21}b_1 + b_{22}b_2$  for some  $b_{11}, b_{12}, b_{21}, b_{22} \in \ell$ . Then

$$\begin{aligned} \gamma(a) &= \gamma(xb_1 + yb_2) = \gamma(xb_1) + \gamma(yb_2) = \gamma(x)\gamma(b_1) + \gamma(y)\gamma(b_2) = \\ &= \gamma(x)(b_{11}b_1 + b_{12}b_2) + \gamma(y)(b_{21}b_1 + b_{22}b_2) = \\ &(\gamma(x)b_{11} + \gamma(y)b_{21})b_1 + (\gamma(x)b_{12} + \gamma(y)b_{22})b_2 \end{aligned}$$

As  $\gamma$  leaves  $N(A) = \ell$  invariant,  $\gamma$  induces an automorphism on  $\ell$  which we denote by  $\sigma \in \text{Aut}(\ell)$ . Then

$$\begin{aligned} (\gamma(a))_{\underline{b}} &= (\sigma(x)b_{11} + \sigma(y)b_{21}, \sigma(x)b_{12} + \sigma(y)b_{22}) = \\ &(\sigma(x) \sigma(y)) \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \end{aligned}$$

with  $M = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \in \text{GL}(2, q^2)$  because otherwise  $\det(M) = b_{11}b_{22} - b_{12}b_{21} = 0$ , equivalent to  $b_{11}b_{22} = b_{12}b_{21}$ , which implies  $\frac{b_{11}}{b_{21}} = \frac{b_{12}}{b_{22}} = t$  for some  $t \in \ell$  and then  $b_1 = tb_2$ , i.e.  $b_1$  and  $b_2$  are linearly dependent, which is not the case since they form a basis in  $A$ . We have shown that  $\gamma \in \Gamma\text{L}(2, q^2)$  for any  $\gamma \in \text{Aut}(A)$  and hence  $\text{Aut}(A) < \Gamma\text{L}(2, q^2)$ . □

We will use  $b_1 = e \in \ell$  as the first basis element and then the other must be chosen in  $A \setminus \ell$ . It is assumed that we have  $E \cong C_2 \times C_2 \lesssim \text{Aut}(A)$ , which suggests that there are two elements in the automorphism group that will generate  $E$ . We will in the following result present two such automorphisms.

**Lemma 4.** *There is a second basis element  $b_2 \in A$  such that the maps  $T : \ell \times \ell \rightarrow \ell \times \ell$ ,  $\varphi : \ell \times \ell \rightarrow \ell \times \ell$  with*

$$T(x, y) = (x, -y), \varphi(x, y) = (\bar{x}, \bar{y})$$

*are generators of  $E < \text{Aut}(A)$ .*

*Proof.* First, for  $T, \varphi$  to be generators of  $E$ , we demand that  $T^2 = \mathbb{1} = \varphi^2$  and  $T\varphi = \varphi T$ .  $T^2 = \mathbb{1}$  is obvious. For  $\varphi^2$  we get  $\varphi^2(x, y) = (\bar{\bar{x}}, \bar{\bar{y}}) = (x, y)$ , so  $\varphi^2 = \mathbb{1}$  and from the fact that  $q$  is odd it follows that for all  $x, y \in \ell$ ,  $T\varphi(x, y) = T(\bar{x}, \bar{y}) = (\bar{x}, -\bar{y}) = \varphi(x, -y) = \varphi T(x, y)$  and hence  $T\varphi = \varphi T$ .

Secondly, we need to show that there exists a  $b_2$  such that  $T$  and  $\varphi$  are automorphisms. Let  $E = \{\mathbb{1}, \alpha, \beta, \gamma\}$  where  $\alpha\beta = \gamma = \beta\alpha$ . Bani-Ata showed in [4] that  $E$  acts freely on  $A$ , i.e. there exist a  $k$ -basis  $\underline{a} = \{a_{\mathbb{1}}, a_{\alpha}, a_{\beta}, a_{\gamma}\}$  in  $A$  such that

$$\epsilon(a_{\delta}) = a_{\epsilon\delta} \quad \forall \epsilon, \delta \in E.$$

Using this basis of  $A$  we will now determine the eigenspaces for 1 and  $-1$  of  $\alpha$  and  $\beta$ . The matrix representation of each automorphism  $\epsilon \in E$  is on the form

$$(\epsilon)_{\underline{a}} = \begin{pmatrix} (\epsilon(a_{\mathbb{1}}))_{\underline{a}} \\ (\epsilon(a_{\alpha}))_{\underline{a}} \\ (\epsilon(a_{\beta}))_{\underline{a}} \\ (\epsilon(a_{\gamma}))_{\underline{a}} \end{pmatrix}$$

so we get

$$(\alpha)_{\underline{a}} = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, (\beta)_{\underline{a}} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

and by recalling from linear algebra that the eigenspace for  $\lambda$  of some operator with the matrix  $M$  is equal to the nullspace of  $M - \lambda I_4$  we find that, in the basis  $\underline{a}$ ,

$$\begin{aligned} \text{Eg}_{\alpha}(1) &= k \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix} \oplus k \begin{pmatrix} 0 \\ 0 \\ 1 \\ 1 \end{pmatrix}, \text{Eg}_{\alpha}(-1) = k \begin{pmatrix} -1 \\ 1 \\ 0 \\ 0 \end{pmatrix} \oplus k \begin{pmatrix} 0 \\ 0 \\ -1 \\ 1 \end{pmatrix} \\ \text{Eg}_{\beta}(1) &= k \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \oplus k \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix}, \text{Eg}_{\beta}(-1) = k \begin{pmatrix} -1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \oplus k \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix} \end{aligned}$$

Now set

$$\begin{aligned} S_1 &= \text{Eg}_{\alpha}(1) \cap \text{Eg}_{\beta}(1), S_2 = \text{Eg}_{\alpha}(1) \cap \text{Eg}_{\beta}(-1) \\ S_3 &= \text{Eg}_{\alpha}(-1) \cap \text{Eg}_{\beta}(1), S_4 = \text{Eg}_{\alpha}(-1) \cap \text{Eg}_{\beta}(-1) \end{aligned}$$



and we find

$$S_1 = k \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, S_2 = k \begin{pmatrix} -1 \\ -1 \\ 1 \\ 1 \end{pmatrix}, S_3 = k \begin{pmatrix} -1 \\ 1 \\ -1 \\ 1 \end{pmatrix}, S_4 = k \begin{pmatrix} -1 \\ 1 \\ 1 \\ -1 \end{pmatrix}$$

and then  $A = S_1 \oplus S_2 \oplus S_3 \oplus S_4$  as a  $kE$ -module. Now choose  $\underline{s} = (s_1, s_2, s_3, s_4)$  by taking an  $s_i \in S_i$  for every  $1 \leq i \leq 4$ . Then  $\underline{s}$  is a new  $k$ -basis in  $A$ , for which it holds that

$$\begin{aligned} (\mathbb{1})_{\underline{s}} &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, (\alpha)_{\underline{s}} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \\ (\beta)_{\underline{s}} &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, (\gamma)_{\underline{s}} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \end{aligned}$$

The  $k$ -linear subspaces  $k \subset l \subset A$  are  $kE$ -submodules. We have that  $k = ke \subset S_1$  since  $e$  is fixed by every automorphism and in particular  $\alpha$  and  $\beta$ . From  $\dim_k(k) = \dim_k(S_1)$  it now follows that  $k = ke = S_1$ , and thus we may choose  $s_1 = e$ . From Maschke's theorem (p. 383 of [5], thm. 7.4) and Krull-Schmidt's theorem (p. 349 of [5], thm. 8.10) it follows that  $l = S_1 \oplus S_i$  for some  $i \in \{2, 3, 4\}$ . Thus we have

$$A = S_1 \oplus S_i \oplus S_j \oplus S_k = \ell b_1 \oplus S_j \oplus S_k$$

where  $\{i, j, k\} = \{2, 3, 4\}$  and now we set  $(b_1, b_2) := (s_1, s_j) = (e, s_j)$  which is  $\ell$ -linearly independent, and hence an  $\ell$ -basis in  $A$ .

Moreover,  $\ell b_2 = \ell s_j = ks_j \oplus ks_k$ , since  $ks_j = kb_2 \subset \ell b_2$  are  $kE$ -submodules, and then Maschke's Theorem and Krull-Schmidt's Theorem imply that  $\ell b_2 = S_j \oplus S_k = ks_j \oplus ks_k$ .

Now, denote the automorphism induced by  $\sigma \in \text{Aut}(A)$  on  $\ell \times \ell$  with  $\hat{\sigma}$ . If we have  $i = 2$  then  $(b_1, b_2) = (e, s_3)$  with  $\alpha_\ell = \mathbb{1}_\ell$  and  $\alpha(b_2) = -b_2$ . We also find that  $\beta_\ell = \text{Fr}$  (as it is not the identity),  $\beta(b_2) = b_2$  and recall from the proof of Proposition 3 that we have

$$\begin{aligned} \hat{\alpha}(x, y) &= (\alpha_\ell(x), \alpha_\ell(y)) \begin{pmatrix} (\alpha(e))_{\underline{b}} \\ (\alpha(b_2))_{\underline{b}} \end{pmatrix} = \\ &= (x, y) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = (x, -y) = T(x, y) \\ \hat{\beta}(x, y) &= (\beta_\ell(x), \beta_\ell(y)) \begin{pmatrix} (\beta(b_1))_{\underline{b}} \\ (\beta(b_2))_{\underline{b}} \end{pmatrix} = \\ &= (\bar{x}, \bar{y}) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = (\bar{x}, \bar{y}) = \varphi(x, y) \end{aligned}$$

and similarly it can be shown that when  $i = 3$  we get that  $\hat{\beta} = T$  and  $\hat{\alpha} = \varphi$ . For  $i = 4$  it holds that  $\hat{\gamma} = T$  and  $\hat{\alpha} = \varphi$ , so in any of these cases it holds that we can select  $(b_1, b_2) = (e, b_2)$  such that  $T$  and  $\varphi$  are automorphisms generating  $E$ .

□

### 3.2 The right multiplication operator

For a given element  $a = xe + yb_2 \in A$  the operator  $R_a$  is represented in the  $\ell$ -basis  $\underline{b} = \{e, b_2\}$  by a matrix

$$R_{(x,y)} = \begin{pmatrix} R_{(x,y)}(1) \\ R_{(x,y)}(b_2) \end{pmatrix} = \begin{pmatrix} x & y \\ f(x, y) & g(x, y) \end{pmatrix}$$

for some  $k$ -linear maps  $f, g : \ell \times \ell \rightarrow \ell$ . The linearity is a direct consequence of the properties (ii) and (iv) of the right multiplication operator, described in section 2.1. To reveal more information about the operator, we will need the following lemma.

**Lemma 5.** *For any  $k$ -linear map  $f : \ell \times \ell \rightarrow \ell$  there are uniquely determined  $a_0, a_1, a_2, a_3 \in \ell$  such that*

$$f(x, y) = a_0x + a_1\bar{x} + a_2y + a_3\bar{y}.$$

*Proof.* Since  $f$  is a map from a four-dimensional vector space over  $k$  into a two-dimensional vector space over  $k$ , and  $k$  is of order  $q$ , we have that there are exactly  $q^8$  different such maps. Note that for any  $\mathbf{a} = (a_0, a_1, a_2, a_3)$  with  $a_i \in \ell$  for  $1 \leq i \leq 4$  we have that the map

$$f_{\mathbf{a}} : (x, y) \mapsto a_0x + a_1\bar{x} + a_2y + a_3\bar{y}$$

obviously is  $k$ -linear.

We will now prove that any two different choices of quadruples will lead to different maps. Take  $\mathbf{a} = (a_0, a_1, a_2, a_3)$ ,  $\mathbf{b} = (b_0, b_1, b_2, b_3)$  with  $a_i \in \ell$  and  $b_i \in \ell$  for  $1 \leq i \leq 4$  and assume that  $f_{\mathbf{a}} = f_{\mathbf{b}}$ , i.e. that

$$a_0x + a_1\bar{x} + a_2y + a_3\bar{y} = b_0x + b_1\bar{x} + b_2y + b_3\bar{y}$$

for all  $(x, y) \in \ell \times \ell$ . For  $x = 0$ , the equality  $a_2y + a_3\bar{y} = b_2y + b_3\bar{y}$  holds for every  $y \in \ell$  and for  $y = 0$  we get that

$a_0x + a_1\bar{x} = b_0x + b_1\bar{x}$  holds for every  $x \in \ell$ . It now follows from Lemma 2 that  $a_0 = b_0, a_1 = b_1, a_2 = b_2, a_3 = b_3$ . Since two quadruples are equal when their corresponding maps are, we may conclude that different quadruples cannot lead to equal maps. Since the number of possible quadruples of elements in  $\ell$  are  $q^8$  we may conclude that any  $k$ -linear map from  $\ell \times \ell$  to  $\ell$  may be uniquely determined in this way. □

This result directly allows us to uniquely describe the matrix  $R_{(x,y)}$  using only eight elements of  $\ell$ . It will however prove to be even more powerful than that; we will in the next result reduce the number of elements to three, and demand that they are in  $k$ .

**Proposition 4.** *For a division algebra  $A \in \mathcal{D}_1^{4*}(k)$  the following holds.*

- (i) *The matrix  $R_{(x,y)}$  is invertible for any  $(x, y) \neq (0, 0)$ .*
- (ii) *There are  $a_2, a_3, b_0, b_1 \in k$  such that  $b_0 + b_1 = 1$  and*

$$R_{(x,y)} = \begin{pmatrix} x & y \\ a_2y + a_3\bar{y} & b_0x + b_1\bar{x} \end{pmatrix}$$

*for any  $(x, y) \in \ell \times \ell$ .*

*Proof.* The invertability of the matrix follows from the fact that it represents the right multiplication operator which for  $(x, y) \neq (0, 0)$  is invertible.

For the second part, we notice that  $T$  and  $\phi$  are automorphisms on  $\ell \times \ell$ , and in particular  $TR_vT^{-1} = R_{T(v)}$  and  $T$  is represented by the matrix  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . By Lemma 5, there are elements  $a_0, a_1, a_2, a_3, b_0, b_1, b_2, b_3 \in k$  such that

$$\begin{aligned}
R_{(x,y)} &= \begin{pmatrix} x & y \\ a_0x + a_1\bar{x} + a_2y + a_3\bar{y} & b_0x + b_1\bar{x} + b_2y + b_3\bar{y} \end{pmatrix} = \\
&= \begin{pmatrix} x & y \\ f(x,y) & g(x,y) \end{pmatrix}
\end{aligned}$$

and we have that

$$\begin{aligned}
R_{T(x,y)} &= R_{(x,-y)} = \begin{pmatrix} x & -y \\ f(x,-y) & g(x,-y) \end{pmatrix} = TR_{(x,y)}T^{-1} = \\
&= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} x & y \\ f(x,y) & g(x,y) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} x & -y \\ -f(x,y) & g(x,y) \end{pmatrix}
\end{aligned}$$

so  $-f(x,y) = f(x,-y)$  and  $g(x,-y) = g(x,y)$ , and since the functions are uniquely determined by  $a_0, \dots, b_3$  we get

$$\begin{aligned}
-a_0x - a_1\bar{x} - a_2y - a_3\bar{y} &= a_0x + a_1\bar{x} - a_2y - a_3\bar{y} \\
b_0x + b_1\bar{x} - b_2y - b_3\bar{y} &= b_0x + b_1\bar{x} + b_2y + b_3\bar{y}
\end{aligned}$$

from which it follows that  $2a_0x + 2a_1\bar{x} = 0$ ,  $2b_2y + 2b_3\bar{y} = 0$  and then  $a_0x + a_1\bar{x} = 0$ ,  $b_2y + b_3\bar{y} = 0$  for every  $(x,y) \in \ell \times \ell$ , since the characteristic of  $\ell$  is not equal to 2. But  $0x + 0\bar{x} = 0 = 0y + 0\bar{y}$  so it follows from Lemma 2 that  $a_0 = a_1 = b_2 = b_3 = 0$ . Now we study the operator  $R_{(\bar{x},\bar{y})}$ . We directly get that it is represented by the matrix

$$R_{\phi(x,y)} = R_{(\bar{x},\bar{y})} = \begin{pmatrix} \bar{x} & \bar{y} \\ f(\bar{x},\bar{y}) & g(\bar{x},\bar{y}) \end{pmatrix}$$

but we may also determine the matrix row-wise by applying  $R_{(x,y)}$  to each of the basis vectors

$$R_{\phi(x,y)} = \begin{pmatrix} (e(\bar{x}e + \bar{y}b_2))_{\underline{b}} \\ (b_2(\bar{x}e + \bar{y}b_2))_{\underline{b}} \end{pmatrix}$$

and we obviously get the first row  $(\bar{x} \ \bar{y})$ . For the second row, we make the observation that  $\phi$  maps  $(b_2)_{\underline{b}} = (0, 1)$  to itself, and see that  $b_2(\bar{x}e + \bar{y}b_2) = \phi(b_2)\phi(xe + yb_2) = \phi(b_2(xe + yb_2)) = \phi(R_{(x,y)}(b_2))$  but  $R_{(x,y)}(b_2)$  is defined to be  $(f(x, y) \ g(x, y))$  so the second row will be  $(\overline{f(x, y)} \ \overline{g(x, y)})$ .

Then we have

$$\begin{pmatrix} \bar{x} & \bar{y} \\ \overline{f(x, y)} & \overline{g(x, y)} \end{pmatrix} = \begin{pmatrix} \bar{x} & \bar{y} \\ f(\bar{x}, \bar{y}) & g(\bar{x}, \bar{y}) \end{pmatrix}$$

from which it follows that  $f(\bar{x}, \bar{y}) = \overline{f(x, y)}$ ,  $g(\bar{x}, \bar{y}) = \overline{g(x, y)}$ . That is,

$$\begin{aligned} a_2\bar{y} + a_3y &= \overline{a_2y + a_3\bar{y}} = \overline{a_2y} + \overline{a_3\bar{y}} \\ b_0\bar{x} + b_1x &= \overline{b_0x + b_1\bar{x}} = \overline{b_0x} + \overline{b_1\bar{x}} \end{aligned}$$

and from Lemma 2 it then follows that  $a_2, a_3, b_0, b_1$  are all fixed under the Frobenius automorphism, and hence they are in  $k$ , according to Lemma 1. In conclusion we have

$$\mathbb{1} = R_{(1,0)} = \begin{pmatrix} 1 & 0 \\ 0 & b_0 + b_1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

so  $b_0 + b_1 = 1$ .

□

The right multiplication operator might now be written

$$R_{(x,y)} = \begin{pmatrix} x & y \\ a_2y + a_3\bar{y} & x + b_1(\bar{x} - x) \end{pmatrix}$$

which allows us to uniquely define the operator by a triple of elements from  $k$ . In the next section we will introduce the concept of admissible structure constants which will be used to identify division algebras in  $\mathcal{D}_4^{1*}(k)$  with triples in  $k^3$ .

## 4 Admissible structure constants

For elements  $a_2, a_3, b_1 \in k$  we define  $A(a_2, a_3, b_1)$  to be the 4-dimensional  $k$ -algebra isomorphic to  $\ell \times \ell$  with multiplication

$$(a, b)(x, y) = R_{(x,y)}(a, b) = (a, b) \begin{pmatrix} x & y \\ a_2y + a_3\bar{y} & x + b_1(\bar{x} - x) \end{pmatrix}$$

**Definition 4.** *The triple  $(a_2, a_3, b_1) \in k^3$  is called a triple of admissible structure constants if  $A(a_2, a_3, b_1)$  is a division algebra. The set of all triples of admissible structure constants will be denoted  $\mathcal{S} \subset k^3$ .*

Let  $r(x, y) := b_0x^2 - a_2y^2 + b_1x\bar{x} - a_3y\bar{y}$  denote the determinant of the matrix  $R_{(x,y)}$ . From the definition of division algebras it follows that  $r(x, y) \neq 0 \forall (x, y) \neq (0, 0)$  exactly when  $(a_2, a_3, b_1)$  is a triple of admissible structure constants and  $b_0 = 1 - b_1$ .

**Lemma 6.** *Let  $(a_2, a_3, b_1) \in \mathcal{S}$ . If we set  $b_0 = 1 - b_1$ , the elements  $a_2$  and  $b_0$  are nonzero and we may then make the substitution  $u := \sqrt{b_0}x$ ,  $v := \sqrt{a_2}y$  to get*

$$r(x, y) = u^2 - v^2 + \frac{b_1}{\sqrt{b_0}\sqrt{b_0}}u\bar{u} - \frac{a_3}{\sqrt{a_2}\sqrt{a_2}}v\bar{v}.$$

*Proof.* Assume that  $a_2 = 0$ , then  $r(1, y) = b_0 + b_1 - a_3 y \bar{y}$  and we have that  $r(0, 1) = a_2 + a_3 = a_3 \neq 0$ . Since  $\frac{b_0+b_1}{a_3} \in k$  it follows from Lemma 3 that there is some  $y \in \ell$  with  $\frac{b_0+b_1}{a_3} = y \bar{y}$ , and then  $r(1, y) = 0$  for this  $y$ , reaching a contradiction. Likewise, we will by taking  $r(x, 1)$  and assuming that  $b_0 = 0$  find an  $x$  such that  $r(x, 1) = 0$ . Hence  $a_2, b_0$  are nonzero. As shown in Lemma 3 they have a square root which will be nonzero so the above expression is well-defined and obtained straightforward from the given substitution.  $\square$

**Lemma 7.** *Let  $a, b \in k$ . Then the following are equivalent.*

- (i)  $h(x, y) := x^2 - y^2 + ax\bar{x} + by\bar{y} \neq 0 \forall (x, y) \neq (0, 0)$
- (ii)  $a = b \neq 0$  and  $1 - a^2$  is not a square in  $k$ .

*Proof.* See [1], the proof of Proposition 1.  $\square$



## 5 Main results

The goal of this section is to further reduce the number of elements in  $k$  that are needed to fully determine a division algebra over  $k$ , by presenting a method of finding every triple of admissible structure constants. This is presented as our main result. Denote the set of squares in  $k$  by  $k_{\text{sq}}$ .

**Theorem 1.** *Let  $\mathcal{R} = \{(a_2, b_0) \in k^* \times k^* \mid 2b_0 - 1 \notin k_{\text{sq}}\}$  and define the map*

$$\varphi : \mathcal{R} \rightarrow k^3, (a_2, b_0) \mapsto \left( a_2, \frac{1 - b_0}{\sqrt{b_0}\sqrt{b_0}} \sqrt{a_2} \sqrt{a_2}, 1 - b_0 \right).$$

*Then  $\varphi(\mathcal{R}) = \mathcal{S}$ .*

*Proof.* We begin by showing  $\varphi(\mathcal{R}) \subseteq \mathcal{S}$ . Assume that we have some  $(a_2, b_0) \in \mathcal{R}$  and define  $b_1 := 1 - b_0$ ,  $a_3 = -\frac{1-b_0}{\sqrt{b_0}\sqrt{b_0}} \sqrt{a_2} \sqrt{a_2}$  and  $a := \frac{1-b_0}{\sqrt{b_0}\sqrt{b_0}} =: b$ . Then

$$\begin{aligned} 1 - a^2 &= 1 - \frac{(1 - b_0)^2}{(\sqrt{b_0}\sqrt{b_0})^2} = 1 - \frac{1 - 2b_0 + b_0^2}{b_0\sqrt{b_0}^2} = 1 - \frac{1 - 2b_0 + b_0^2}{b_0\overline{b_0}} = \\ &= 1 - \frac{1 - 2b_0 + b_0^2}{b_0^2} = \frac{b_0^2 - 1 + 2b_0 - b_0^2}{b_0^2} = \frac{2b_0 - 1}{b_0^2} \end{aligned}$$

and since  $2b_0 - 1$  is not a square in  $k$ , while  $b_0^2$  is, it follows that  $1 - a^2 \notin (k)_{\text{sq}}$ . Lemma 7 now grants that

$$h(u, v) = u^2 - v^2 + au\bar{u} + bv\bar{v} \neq 0 \forall (u, v) \neq (0, 0)$$

and by substituting  $x := \frac{u}{\sqrt{b_0}}$ ,  $y := \frac{v}{\sqrt{a_2}}$  we obtain

$$\begin{aligned}
h(u, v) &= b_0x^2 - a_2y^2 + \frac{1 - b_0}{\sqrt{b_0}\sqrt{b_0}}\sqrt{b_0}\sqrt{b_0}x\bar{x} + \frac{1 - b_0}{\sqrt{b_0}\sqrt{b_0}}\sqrt{a_2}\sqrt{a_2}y\bar{y} \\
&= b_0x^2 - a_2y^2 + b_1x\bar{x} - a_3y\bar{y} = r(x, y) \neq 0 \forall (u, v) \neq (0, 0)
\end{aligned}$$

and whenever  $(u, v) \neq (0, 0)$  it also holds that  $(x, y) \neq (0, 0)$  so in fact we have  $r(x, y) \neq 0 \forall (x, y) \neq (0, 0)$  and then  $\varphi(a_2, b_0) \in \mathcal{S}$  for every  $(a_2, b_0) \in \mathcal{R}$ , i.e.  $\varphi(\mathcal{R}) \subseteq \mathcal{S}$ .

Now show that  $\mathcal{S} \subseteq \varphi(\mathcal{R})$ . Take some  $(a_2, a_3, b_1) \in \mathcal{S}$ . The substitution stated in Lemma 6 gives us that

$$r(x, y) = u^2 - v^2 + \frac{b_1}{\sqrt{b_0}\sqrt{b_0}}u\bar{u} - \frac{a_3}{\sqrt{a_2}\sqrt{a_2}}v\bar{v} \neq 0 \forall (x, y) \neq (0, 0)$$

where  $u := \sqrt{b_0}x, v := \sqrt{a_2}y$ . From Lemma 7 it follows that  $a = b \neq 0$  and  $1 - a^2 \notin (k)_{sq}$  where  $a = \frac{b_1}{\sqrt{b_0}\sqrt{b_0}}$ . So  $1 - a^2 = \frac{2b_0 - 1}{b_0^2}$  by the same argument as above, and then  $2b_0 - 1 \notin (k)_{sq}$ . We also see, by taking  $b = -\frac{a_3}{\sqrt{a_2}\sqrt{a_2}}$ , since  $a = b$  that

$a_3 = -\frac{b_1}{\sqrt{b_0}\sqrt{b_0}}\sqrt{a_2}\sqrt{a_2} = -\frac{1 - b_0}{\sqrt{b_0}\sqrt{b_0}}\sqrt{a_2}\sqrt{a_2}$  and as shown in the proof of Lemma 6,  $a_2 \neq 0 \neq b_0$  so  $(a_2, b_0) \in \mathcal{R}$  and  $\varphi(a_2, b_0) = (a_2, a_3, b_1)$ . It follows that  $\mathcal{S} \subseteq \varphi(\mathcal{R})$  and we may conclude  $\varphi(\mathcal{R}) = \mathcal{S}$ , finishing the proof. □

The following result is a direct consequence of the steps taken in sections 3 and 4.

**Corollary 1.** *If  $A \in \mathcal{D}_4^{1*}(k)$ , then  $A \cong A(a_2, a_3, b_1)$  for some  $(a_2, a_3, b_1) \in \mathcal{S}$ .*

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