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#### **Key Points:**

- We use a machine learning technique to identify shock crossing events using the Magnetospheric Multiscale spacecraft
- We compile the largest database of bow shock crossings with 2,797 events, including key parameters for each event
- Using the database, we show that quasi-parallel shocks are more efficient at accelerating ions than their quasi-perpendicular counterparts

#### Correspondence to:

A. Lalti, ahmadl@irfu.se

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## A Database of MMS Bow Shock Crossings Compiled Using Machine Learning

A. Lalti<sup>1,2</sup>, Yu. V. Khotyaintsev<sup>1</sup>, A. P. Dimmock<sup>1</sup>, A. Johlander<sup>1</sup>, D. B. Graham<sup>1</sup>, and V. Olshevsky<sup>3</sup>

<sup>1</sup>Swedish Institute of Space Physics, Uppsala, Sweden, <sup>2</sup>Space and Plasma Physics, Department of Physics and Astronomy, Uppsala University, Uppsala, Sweden, <sup>3</sup>Main Astronomical Observatory, Kyiv, Ukraine

**Abstract** Identifying collisionless shock crossings in data sent from spacecraft has so far been done manually or using basic algorithms. It is a tedious job that shock physicists have to go through if they want to conduct case studies or perform statistical studies. We use a machine learning approach to automatically identify shock crossings from the Magnetospheric Multiscale (MMS) spacecraft. We compiled a database of 2,797 shock crossings, spanning a period from October 2015 to December 2020, including various spacecraft-related and shock-related parameters for each event. Furthermore, we show that the shock crossings in the database are spread out in space, from the subsolar point to the far flanks. On top of that, we show that they cover a wide range of parameter space. We also present a possible scientific application of the database by looking for correlations between ion acceleration efficiency at shocks with different shock parameters, such as the angle between the upstream magnetic field and the shock normal  $\theta_{Bn}$  and the Alfvénic Mach number  $M_A$ . We find no clear correlation between the acceleration efficiency and  $M_A$ ; however, we find that quasi-parallel shocks are more efficient at accelerating ions than quasi-perpendicular shocks.

#### 1. Introduction

Collisionless shock research has occupied scientists for the last 70 years. Collisionless shocks are among the most dynamically rich phenomena in space. This stems from their nonlinearity and their strong dependence on parameters such as the Mach number M (typically the Alfvénic and fast mode Mach numbers), the angle between the shock normal vector and the upstream magnetic field  $\theta_{Bn}$ , and the plasma beta  $\beta$ . Shocks can be found across diverse plasma environments throughout the universe, from supernova remnants to interstellar and interplanetary media to planets. Despite the existence of an extensive literature on the matter (Balogh & Treumann, 2013; Kennel et al., 1985; Sagdeev, 1966; Tidman & Krall, 1971, and references therein), the physics that dictates the evolution and dynamics of collisionless shocks is not fully understood. Many open questions remain, such as the different wave-particle processes in the shock ramp leading to the irreversible dissipation of solar wind bulk energy into heat, or the mechanisms that make collisionless shocks one of the most efficient particle accelerators in the universe (Bykov & Treumann, 2011; Treumann, 2009). More theoretical, numerical, and observational work is required to fully understand the physics of collisionless shocks.

In situ observations have played a major role in advancing our knowledge of collisionless shocks. The first spacecraft to cross Earth's bow shock and hence provide the first conclusive evidence for its existence was the Imp I spacecraft in 1964 (Ness et al., 1964). After that, many spacecraft have been launched, equipped with instrumentation on board, to study the space plasma environment of the solar system. Measurements by those spacecraft have enabled the investigation of collisionless shocks at various locations in the solar system, such as interplanetary (IP) shocks in the solar wind (Kilpua et al., 2015) and bow shocks of nonterrestrial planets (Sulaiman et al., 2016; T. Zhang et al., 2008).

With each new spacecraft, more advanced instrumentation has been implemented, and new discoveries have been made. To identify times when a spacecraft crosses the bow shock, vast amounts of data need to be surveyed by visually checking for characteristics of shock crossings. This is further complicated by the search for shocks with restricted parameters that suit a science question of interest. Although reliable, this method is highly time-consuming. A multitude of space missions are presently, or have historically, encountered shock waves throughout the heliosphere. Current data archives amount to hundreds of thousands of hours of data to go through searching for shock crossings. This laborious manual task can be averted by the development of an automated approach to finding shocks in the data. From this approach, a database can be compiled containing the time and



location of each shock crossing, along with the main parameters characterizing each shock. Such a database would be a sizable asset to the space physics community that could help advance the knowledge of the physics of collisionless shocks.

One of the most recent, and arguably the most advanced, space missions with the purpose of studying space plasma physics is the Magnetospheric Multiscale (MMS) mission (Burch et al., 2016). It was launched in 2015 and is a constellation of four spacecraft in a tetrahedral formation orbiting Earth, equipped with high-resolution fields and particle instruments, with the primary goal of exploring electron-scale physics related to magnetic reconnection around Earth. Such high-resolution instrumentation has also proved to be extremely valuable for studying Earth's bow shock. The MMS spacecraft sends around 16 gigabits of data per day (Burch et al., 2016) containing both fields and plasma measurements. Over the 6 years of operation, several terabytes of data are available for analysis. Many studies have used this data to investigate some of the still standing questions in collisionless shock physics, from particle acceleration (Amano et al., 2020; Hanson et al., 2020) to identifying different electrostatic and electromagnetic wave modes (Goodrich et al., 2018; Hull et al., 2020; Vasko et al., 2020) to shock nonstationarity (Johlander et al., 2016; Madanian et al., 2021; Yang et al., 2020), with many more studies still expected to come. The high-cadence measurements by MMS and the years of data available present an ideal opportunity to compile an extensive bow shock database as discussed above.

Previous automated approaches for shock detection have been developed and shock databases for various space-craft have been compiled. The most comprehensive shock crossing database for IP shocks, to our knowledge, is the University of Helsinki's Heliospheric Shock Database (www.ipshocks.fi/). They employ both visual inspection and machine learning techniques to identify shock candidates. In their database, they provide, along with the time of crossing of the shock, other parameters that are necessary for understanding shock dynamics, such as the shock geometry, and the Alfvénic Mach number ( $M_A$ ). As another example, Kruparova et al. (2013) developed a technique to identify IP shock crossings using ion moments and magnetic field magnitude. This method was later implemented into the Solar Orbiter RPW instrument for automatic triggering of Burst mode data sampling. Also, Cash et al. (2014) developed an automatic IP shocks detection method using 8 years of ACE data with the intention of improving the space weather forecasting capabilities. As for the Earth's bow shock, many databases of shock crossings have been compiled from different spacecraft. An example of a terrestrial bow shock database is that using observations by Imp 2 and 3 or the ISEE spacecraft, which is available at the NASA Space Science Data Coordinated (NSSDC) archive. Furthermore, Kruparova et al. (2019) compiled a database of 529 shock crossings using the Cluster spacecraft (www.cosmos.esa.int/web/csa/bow-shock-magnetopause-crossings-2001-2013) with a focus on studying the statistical dependence of the shock velocity on different parameters.

Supervised (da Silva et al., 2020; Olshevsky et al., 2021) and unsupervised (Innocenti et al., 2021) machine learning and nonmachine learning based techniques (Jelínek et al., 2012) have been applied to automatically classify the various regions that a spacecraft traverses. This classification can be used to identify shock crossing events. In this report we present a database of shock crossings observed by MMS, which is compiled using the supervised machine learning technique developed by Olshevsky et al. (2021) for the detection of bow shock crossings. The database contains 2,797 events along with key shock parameters. We present the method used for identification of the shock crossings in Section 2. In Section 3, we present the different parameters contained in the database and then discuss the uncertainties, caveats, and drawbacks that one should keep in mind while using the database. In Section 4, we present some examples of different shock crossings in the database, along with various statistical results highlighting the distribution of the shocks both in parameter space and in real space around Earth. To demonstrate the possible applications of the database, we perform a statistical study of the ion acceleration efficiency. Finally, in Section 5, we summarize our results and state the conclusions.

#### 2. Automated Identification of Bow Shock Crossings

In recent years, machine learning algorithms have been extensively applied for data mining in various fields, including space physics. Recently, Olshevsky et al. (2021) implemented a machine learning algorithm to classify the different regions in space that MMS crosses throughout its orbit. MMS's orbit brings the spacecraft to four main plasma regions: undisturbed solar wind, solar wind with shock-reflected ions called the ion foreshock, magnetosheath, and magnetosphere. Each of those regions has characteristic signatures in the ion velocity distribution function (VDF). Olshevsky et al. (2021) took advantage of the 3D VDFs measured by the Fast Plasma

LALTI ET AL. 2 of 16



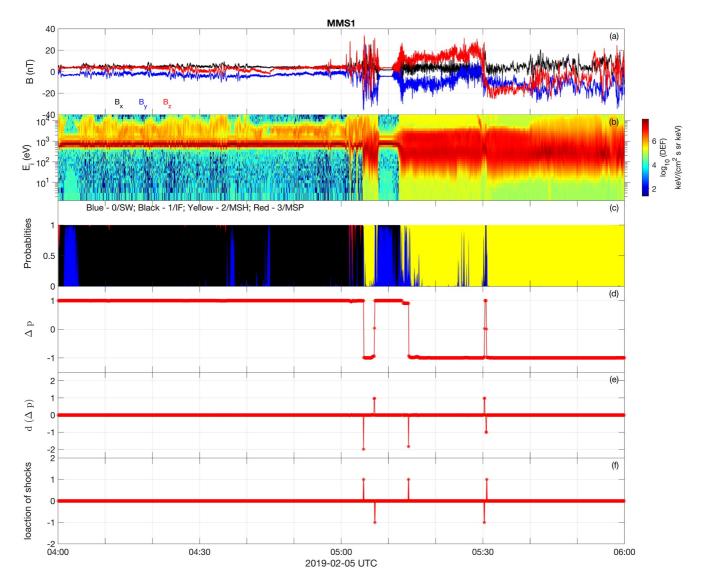


Figure 1. Shock crossing identification from MMS observations. (a) Magnetic field in Geocentric Solar Ecliptic (GSE), (b) Omnidirectional ion differential energy flux, (c) probability output from the Convolutional Neural Network (CNN) color coded with blue representing solar wind, black representing ion foreshock, yellow representing magnetosheath and red representing magnetosphere, (d) probability difference at each time step  $\Delta p(t)$ , (e) difference of the probability difference  $d(\Delta p(t))$ , and (f) detected shock crossings with 1 and -1 representing inbound and outbound crossings, respectively.

Investigation (FPI) ion instrument measuring at 4.5 s resolution (Pollock et al., 2016) on MMS and trained a 3D Convolutional Neural Network (CNN) to identify the region in space where MMS is located. For each ion VDF measurement, the CNN assigns a probability for MMS to be in one of the four different regions, with the highest probability corresponding to the actual region in space for more than 98% of the time.

Using the identification of plasma regions by Olshevsky et al. (2021), it is possible to identify when MMS traverses from the solar wind or foreshock into the magnetosheath, or vice versa and hence determine the shock crossing times. This is illustrated in Figure 1. Panel (a) shows the magnetic field, panel (b) shows the omnidirectional ion differential energy flux, panel (c) shows the probability output from the CNN color coded with blue representing solar wind, black representing ion foreshock, yellow representing magnetosheath and red representing magnetosphere. To determine the occurrence of shock crossings, we calculate the probability difference at each measurement points

$$\Delta p = (p_{SW} + p_{IF}) - p_{MSH},\tag{1}$$

LALTI ET AL. 3 of 16



with  $p_{SW}$ ,  $p_{IF}$ , and  $p_{MSH}$  are the probabilities of the measurement being in the solar wind (SW), the ion foreshock (IF), and the magnetosheath (MSH), respectively. This quantity is shown in panel (d). If MMS is in the SW or IF  $\Delta p \sim 1$  as in the region between 04:00 and 04:40 UT, while if MMS is in the MSH  $\Delta p \sim -1$  as in the region between 05:40 and 06:00 UT. In mixed regions where the CNN was not able to specify with high confidence what region MMS is in  $\Delta p$  will be noisy and fluctuate significantly and hence prevent accurate determination of shock transitions. To avoid this problem, we put a threshold on  $\Delta p$  where we remove all data with  $|\Delta p| < 0.9$ . On top of that, we apply a moving median to  $\Delta p$  to smooth it out, with varying window size, to detect shock transitions with different speeds. The window size varies from two to 50 measurement points, or nine to 225 s intervals.  $\Delta p$  shown in panel (d) has a moving median applied to it with a 12 point window size. Then, to detect the time of transition, we calculate  $d(\Delta p)_i = \Delta p_{i+1} - \Delta p_i$ , where *i* corresponds to the index of the current probability difference and i+1 corresponds to the next time step. This quantity is shown in panel (e), and at shock transitions, this quantity should exhibit local maxima or minima depending on if the shocks are traversed from downstream to upstream (outbound), or vice versa (inbound), respectively. The times for the extrema in  $d(\Delta p(t))$  are identified as the shock transition times. Panel (f) shows the detected shock crossings with values of 1 or -1 for outbound or inbound crossings, respectively.

From panels (a and b), we can see three different shock crossings in the interval between 05:00 and 05:20 UT. Comparing this with panels (c–f), we see that this method works well in identifying shock crossing events.

From time to time, the CNN mislabels one region for another, which could result in the misidentification of a shock crossing. An example of that is seen in Figure 1 around 05:30 UT, where panels (a and b) show a magnetosheath current sheet. The CNN mistakenly labeled this region as a crossing from magnetosheath to ion foreshock and hence detecting an inbound and an outbound crossing of a shock. We visually check each shock and filter out such misidentifications from the final database. Finally, because of the variable window that we use with the moving median, the location of the shock crossing could be shifted to the upstream or the downstream, so we manually correct it to where there is a foot/ramp signature in the data.

#### 3. Compiling the Shock Database

In the final database, a total of 2,797 shock crossings have been identified using the approach described above, spanning a period from October 2015 to December 2020. For the database to be of more use to scientists, we include various parameters that are essential for understanding collisionless shock physics; all of which are described below and shown in Table 1. One can categorize the parameters into two groups: ones that relate to the spacecraft and data acquisition mode, and the others related to shock crossing itself.

We now describe the parameters relating to the shock crossing itself and how they are calculated. We start with the vector normal to the shock  $\hat{\bf n}$ . To calculate the normal to the shock, we use the bow shock model by Farris et al. (1991). By determining where the MMS spacecraft crosses the model bow shock boundary, we can calculate the local normal to the model shock surface. There are various methods one can use to calculate  $\hat{\mathbf{n}}$ , either methods relying on the timing of the observation of the shock between the four spacecraft, methods relying on local measurements, or methods based on a global model of the bow shock (Schwartz, 1998). The first method requires large spacecraft separation, so the time shift between the different measurements would be observable. For most of our events, the separation between the MMS spacecraft is small; 90% of the events have an average spacecraft separation of less than 40 km. Although this is enough to resolve time shifts necessary to capture local variations of the shock surface, it is not large enough to resolve the time shift necessary to determine the global normal of the shock. As for the second method, it requires the determination of an upstream and a downstream interval on which one applies the coplanarity theorem to calculate the shock normal (Abraham-Shrauner & Yun, 1976; Schwartz, 1998). For this method to work, the upstream and downstream intervals should be far enough from the ramp so the magnetohydrodynamic (MHD) description of the shock, underlying the method, would hold. Below we describe a method to automatically separate upstream and downstream parameters to calculate the compression ratios, which can also be used to calculate the normal to the shock. Although this method is expected to work for quasi-perpendicular shocks, things become difficult for quasi-parallel shocks where upstream plasma parameters can be highly affected by the shock itself or be taken from the foreshock region instead of the upstream solar wind. By comparing the local estimate of  $\theta_{Bn}$  using Mixed Mode 3 (Schwartz, 1998) to that using the global model, we find similar results for shocks with quasi-perpendicular geometry, however, for shocks with

LALTI ET AL. 4 of 16



Parameter name in DB	Description	Units
Time	Date and time interval of the shock crossing	Unix Epoch (in seconds since 1 January 1970)
Direction	Flag indicating if the shock is inbound (1) or outbound $(-1)$	-
burst_start—burst_end	Start and end times of the burst interval if available zero if not available	Unix Epoch (in seconds since 1 January 1970)
Bx_us—By_us—Bz_us	Upstream magnetic field vector $[B_x, B_y, B_z]$	nT
B_us_abs	Upstream magnetic field magnitude	nT
sB_us_abs	Standard deviation on the magnitude of the upstream magnetic field	nT
Delta_theta_B	The maximum rotation of the upstream magnetic field vector in the OMNI interval used	Degrees
Ni_us	Upstream ion density	cm <sup>-3</sup>
Ti_us	Upstream ion temperature	eV
Vx_us—Vy_us—Vz_us	Upstream velocity	km/s
beta_i_us	Upstream ion $\beta$	-
Pdyn_us	Upstream dynamic pressure from OMNI	nPa
thBn	Angle between upstream magnetic field and shock normal	Degrees
sthBn	Standard deviation of $\theta_{Bn}$ based on variation in upstream B in the OMNI interval used	Degrees
Normal_x—normal_y—normal_z	Shock normal <b>n</b> from Farris et al. (1991) model of the bow shock	-
MA	Alfvénic Mach number in the normal incidence frame assuming a stationary shock	-
sMA	Standard deviation of MA based on variation in upstream B in the OMNI interval used	-
Mf	Fast mode Mach number in the normal incidence frame assuming a stationary shock with $T_e = 12.06 \ eV$	-
sMf	Standard deviation of Mf based on variation in upstream B in the OMNI interval used	-
B_jump	Magnetic field compression ratio	-
Ni_jump	Ion density compression ratio	-
Te_jump	Electron temperature ratio	-
pos_x—pos_y—pos_z	The location of the spacecraft when it observed the shock	km
sc_sep_min—sc_sep_max—sc_sep_mean	Spacecraft separation [min max mean] separation	km
TQF	Tetrahedral quality factor measuring how close the MMS formation is to a tetrahedron	-

Note. All vector quantities are in the Geocentric Solar Ecliptic (GSE) coordinate system. All plasma and field measurements, except for the compression ratios, are from the OMNI database.

quasi-parallel geometry, the global model statistically gives more accurate estimates. Hence, we use the model bow shock method to determine the shock normal.

As mentioned in the previous paragraph, using local measurements for the plasma parameters could be problematic, especially for quasi-parallel shocks, where there is often no exact upstream/downstream transition in the local measurement due to the extended foreshock. For that reason, to calculate the main shock parameters, we use time-shifted data from spacecraft located upstream of MMS, provided by the OMNI database (King & Papitashvili, 2005). Of those shock parameters, we mention the Alfvénic Mach number in the normal incidence frame,  $M_A = \frac{\mathbf{V_u \cdot n}}{V_A}$ , where  $V_A$  is the Alfvén velocity, the fast mode Mach number in the normal incidence frame,  $M_f = \frac{\mathbf{V_u \cdot n}}{V_f}$ , where  $V_f$  is the fast mode velocity, the angle between the upstream magnetic field and the shock normal  $\theta_{Ru}$ , and the upstream plasma beta  $\beta$ . For each crossing, we measure the necessary quantities (magnetic

LALTI ET AL. 5 of 16



field, velocity, density, and temperature) for a time interval of 10 min centered around the time of the shock crossing. We make sure that the interval contains measurements for more than 50% of the interval, and then average the quantities to obtain one upstream measurement to calculate the above mentioned shock parameters. Furthermore, the OMNI database does not provide an electron temperature measurement, which is necessary to calculate  $M_f$ . Therefore, a nominal value of 12.06 eV is used (Newbury, 1996). For events where OMNI data are not available, we use a value of  $-10^{30}$  as a fill value for the parameter. For 349 events in the database, one or more of the parameters obtained from OMNI data are not available.

Furthermore, the magnetic field (**B**) in the solar wind can experience large variations, either in magnitude or direction, which will cause uncertainty in all parameters that require **B** to be calculated. In the database, we provide the mean value of the magnitude of the upstream magnetic field, along with its standard deviation, and the maximum angle that **B** makes with its mean direction in the 10-min interval. On top of that, we evaluate  $M_A$ ,  $M_f$  and  $\theta_{Bn}$  throughout the 10 min interval using **B**. The standard deviation of all three quantities in that interval is taken as an error estimate. In addition to these parameters, we include the upstream velocity, density, ion temperature, magnetic field vector, magnetic field magnitude, and the solar wind dynamic pressure for each shock crossing.

Knowledge of the downstream shock parameters is essential for determining various quantities, such as the compression ratios. To obtain those values, we can use the probability output from the CNN to find intervals of magnetosheath around a shock crossing. As mentioned before, in mixed regions, that is, foot and foreshock, the CNN is not able to specify with high confidence what region MMS is in, so using the CNN probabilities to get an estimate of the downstream shock parameters can be inaccurate. To calculate those parameters with better accuracy, for each shock crossing we plot the histogram of the fast mode electron temperature data measured by FPI. Because of the shock transition, the values will be mostly separated into two distributions corresponding to the upstream and the downstream intervals. This is shown in Figure 2, where panels (a-c) show the magnetic field magnitude, ion density, and electron temperature, respectively, while panel (d) shows the histogram of the electron temperature data, for a quasi-parallel shock crossing at  $\theta_{Bn} \sim 33^{\circ}$  and  $M_A \sim 9.4$ . It is clear from panel (d) that we have two separate distributions, one corresponding to the upstream values (left) and one for the downstream (right). We can also determine the distributions in the magnetic field and the ion density corresponding to the same distribution as the electron temperature. Once we have the two different distributions, we calculate its median to get a value for the upstream and downstream parameters. The green and red horizontal lines in panels (a-c) indicate the median downstream and upstream values for each parameter for this event. Using these upstream and downstream values, we calculate the B field, ion density, and electron temperature compression ratios.

Moving to the parameters related to the spacecraft and data acquisition. There are three data acquisition modes on board of MMS: slow survey, fast survey, and burst, where slow has the lowest resolution and burst has the highest (Fuselier et al., 2016). Due to the limited telemetry rate on board of MMS, not all captured burst data can be sent to Earth. Only limited scientifically relevant periods will be selected to have data at the burst acquisition rate. Those regions are selected manually through Scientist-In-The-Loop (SITL), where scientists look at the survey mode data to determine regions of interest (Fuselier et al., 2016). It is of interest for scientists to know if burst data exists for a certain event since much more science can be explored with such intervals. Hence, for each crossing in the database, we check if there is burst data within an interval of  $\pm 5$  min around the crossing time. The entries in the database named "burst\_start" and "burst\_end" provide the start and end times of the burst interval available for each shock crossing. If no burst interval exists, the values are set to zero.

For each shock crossing, we also include the location of the spacecraft in the Geocentric Solar Ecliptic (GSE) coordinate system in km, the spacecraft separation, and the spacecraft formation, all of which is information that could be useful while studying collisionless shocks. The spacecraft separation is quantified by the entry "sc\_sep" in the database containing:  $(\Delta R)_{\min}$  the minimum separation,  $(\Delta R)_{\max}$  the maximum separation, and  $\langle \Delta R \rangle$  the average separation between the four spacecraft. As for the spacecraft formation, we use the tetrahedral quality factor, defined in Fuselier et al. (2016), which measures how close the formation of the spacecraft is to a tetrahedron. A summary of the different entries in the database along with a short description is provided in Table 1.

Finally, for each shock crossing, we provide an overview plot containing essential information about the shock and the nearby plasma environment. An example overview plot is shown in Figure 3. Panels (a and b) show the

LALTI ET AL. 6 of 16



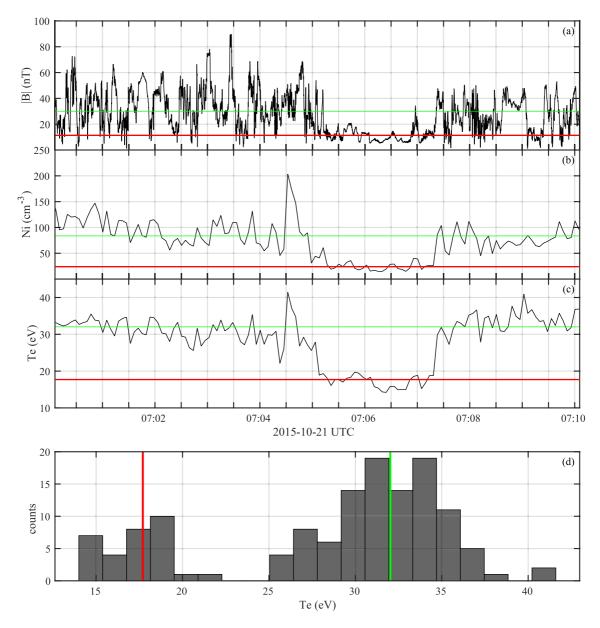


Figure 2. Identification of upstream and downstream regions in local measurements. (a) Magnetic field magnitude, (b) ion density, (c) electron temperature, and (d) histogram of the electron temperature data. Green and red horizontal lines in panels (a–c) and vertical lines in panel (d) represent the determined downstream and upstream values, respectively.

magnetic field and the electric field, panel (c) shows both the electron density in black and the magnetic field magnitude in red, panel (d) shows the ion velocity, panels (e and f) show the ion velocity distribution function reduced in the direction of the normal to the shock and the omnidirectional electron differential energy flux respectively, panels (g and h) show the magnetic field and the electric field power spectral density, respectively, and finally, panel (i) shows the ellipticity of the magnetic field for frequencies where the degree of polarization is larger than 0.7 calculated using singular value decomposition (SVD) (Santolík et al., 2003). Panels (f–i) have the ion and electron cyclotron frequencies (green and red), the lower hybrid frequency (blue), and the ion plasma frequency (black) overlaid. All vector quantities are in the GSE coordinate system. Furthermore, for each figure, we include the spacecraft with which the measurement was made and the key information about the shock crossing:  $M_A$ ,  $\theta_{Bn}$  along with their uncertainties, the shock normal in GSE, average spacecraft separation, and the vector location of the spacecraft in GSE and units of the Earth radius ( $R_E$ ). At the top of each figure we mark in red the location of the current shock crossing and in blue other shock crossings in the plotted interval that are included in

LALTI ET AL. 7 of 16



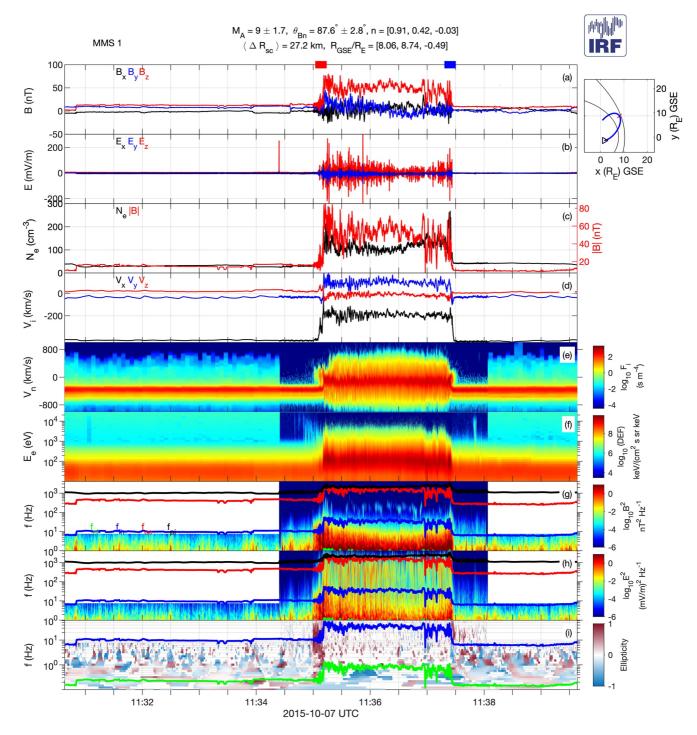


Figure 3. Overview plot example showing two quasi-perpendicular shock crossings. (a) Magnetic field, (b) electric field, (c) electron density (black) and magnetic field magnitude (red), (d) ion velocity, (e) ion velocity distribution function reduced in the normal direction, (f) electron differential energy flux, (g) magnetic field power spectral density, (h) electric field power spectral density, and (i) ellipticity.

the database. We also include a panel showing the location of the spacecraft at the time of crossing in the ecliptic plane along with the trajectory of MMS in an interval of  $\pm 10$  hr. The triangle marks the start of the orbit. On top of that, we overlay a model bow shock and magnetopause. In each figure, we plot a 10-min interval centered around the shock crossing time in the database, and we use both fast and burst mode data overlaid on top of each other whenever the latter is available.

LALTI ET AL. 8 of 16

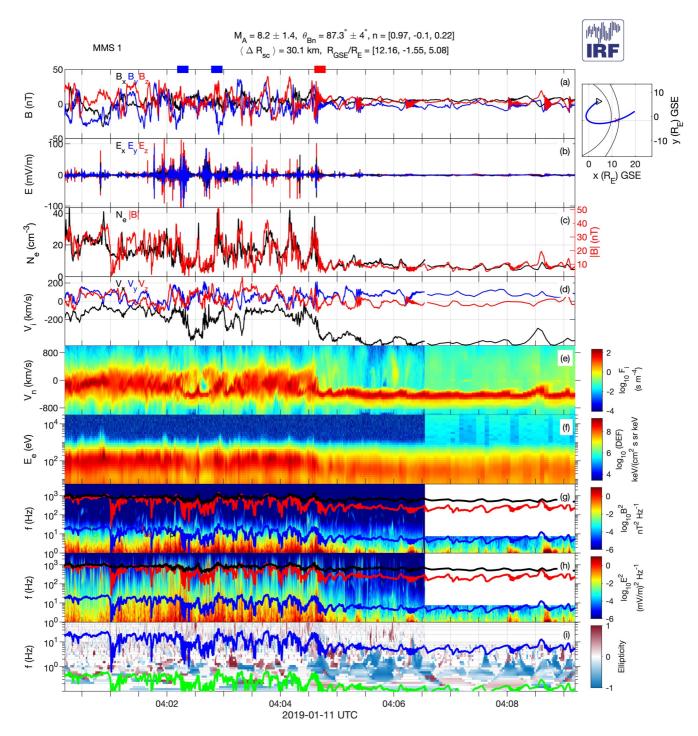


Figure 4. Overview plot example showing a quasi-parallel shock crossing misidentified as a quasi-perpendicular crossing. Same format as Figure 3.

We end this section by mentioning some caveats. First, multiple crossings of the same shock are included as separate shock crossings, as is shown in Figure 3. Furthermore, since we use the OMNI data for calculating the shock parameters, a mismatch between the values of the parameters calculated and the expected values from observation can occur. An example of that is shown in Figure 4, which, using the OMNI data and spacecraft position, resulted in  $\theta_{Bn} = 87.3^{\circ}$ . However, the high-energy ions around the shock and the turbulent upstream and downstream signify a quasi-parallel shock. If we calculate the shock normal of this event using the mixed-mode 3 method (Equation 10.17 in Schwartz (1998)) and using local upstream and downstream measurements, we

LALTI ET AL. 9 of 16

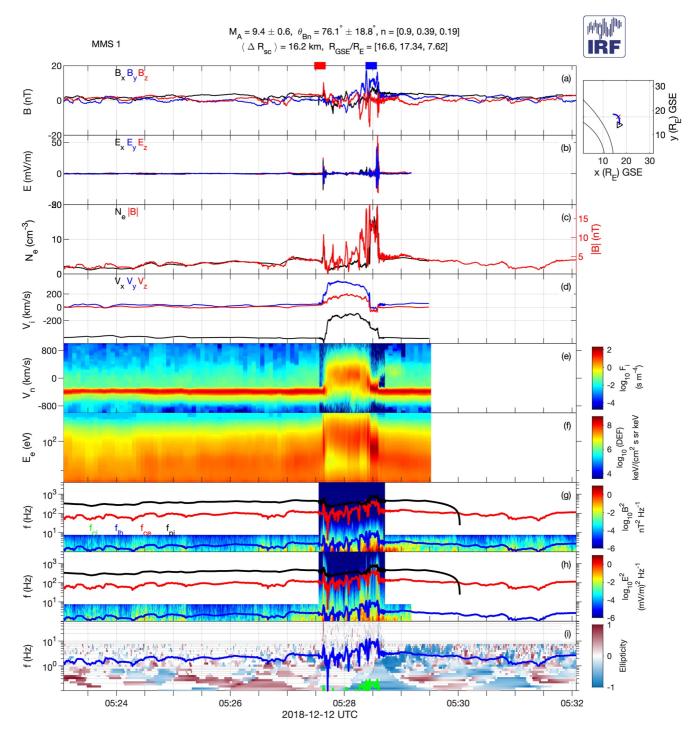
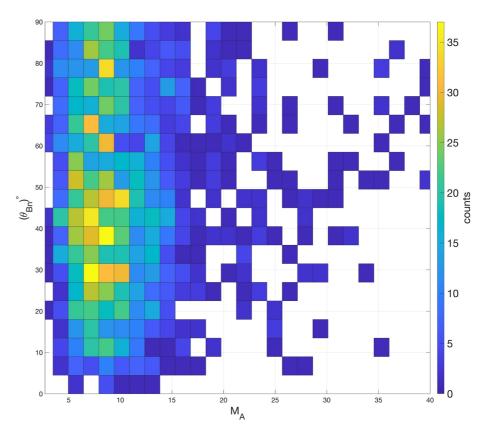


Figure 5. Overview plot example showing a hot flow anomaly identified as two shock crossings. Same format as Figure 3.

get  $\theta_{Bn} = 23^{\circ}$ . Finally, it is worth noting that some foreshock structures (H. Zhang et al., 2022), like hot flow anomalies (Facskó et al., 2010), were identified as shock crossings by the CNN since they constitute a crossing from unshocked to shocked plasma. We kept them in the database since it is not straightforward to differentiate them from partial shock crossings without analyzing the events in detail. An example of such a case is shown in Figure 5. In the following section, we will show that such caveats are not numerous and the information provided in the database is generally reliable.

LALTI ET AL. 10 of 16



**Figure 6.** Distribution of the shock crossings in the database in  $\theta_{Bn}$ – $M_A$  space.

#### 4. Statistics and Possible Application

#### 4.1. Statistics

In compiling this database, we tried to minimize human intervention as much as possible, so as not to bias the database in parameter space. To see how the shocks are distributed in parameter space, we first plot a two-dimensional (2D) histogram in  $\theta_{Bn} - M_A$  space, shown in Figure 6, where the color bar represents the event count in each bin. We see that the shocks cover the range in  $\theta_{Bn}$  almost evenly, with 45.5% of the shocks being quasi-parallel ( $\theta_{Bn} < 45^{\circ}$ ), 51.4% of the shocks being quasi-perpendicular ( $\theta_{Bn} > 45^{\circ}$ ), and it was not possible to compute  $\theta_{Bn}$  for the remaining 3.1%. Furthermore, we see that the shocks cover a large range of Mach numbers, with the highest counts between  $M_A = 5$  and 15, which are the typical Mach number values for the solar wind as calculated from OMNI for the period between 1995 and 2018 (Johlander, 2019). In this plot, we limit the Mach number range to 40, but there are entries where the Mach number exceeds this. There are some cases where the Mach number is around 150, and such shocks are associated with a very low upstream magnetic field. This causes the Mach number to become very high, but this also makes  $M_A$  sensitive to small variations in B, and therefore, these shocks typically have large uncertainties in their parameters.

It is of interest to see how the physical locations of the shock crossings are distributed around the Earth and how they are related to different parameters. Figure 7 shows the location of all the crossings in the database projected onto the ecliptic plane and normalized to the Earth's radius. To guide the eye, we overlay a model magnetopause (Shue et al., 1998), and bow shock (Farris et al., 1991) whose locations are calculated using the dynamic pressure  $P=2.9~\mathrm{nPa}$  and  $B_z=-0.29~\mathrm{nT}$ , averaged over all shocks in the database. The color bar in each panel represents a different quantity: panel (a) shows the time of the crossing, (b) dynamic pressure from OMNI, (c)  $M_A$ , and (d) shows  $\theta_{Bn}$ .

The first point to note from Figure 7 is that the shocks cover a large spatial range from the subsolar point reaching the flanks at  $y \sim \pm 30R_E$ . Furthermore, looking at panel (a), we see that in the early phase of the mission, before 2017, the shock crossings were closer to Earth due to the lower apogee of MMS's orbits of  $12 R_E$  during that phase

LALTI ET AL. 11 of 16



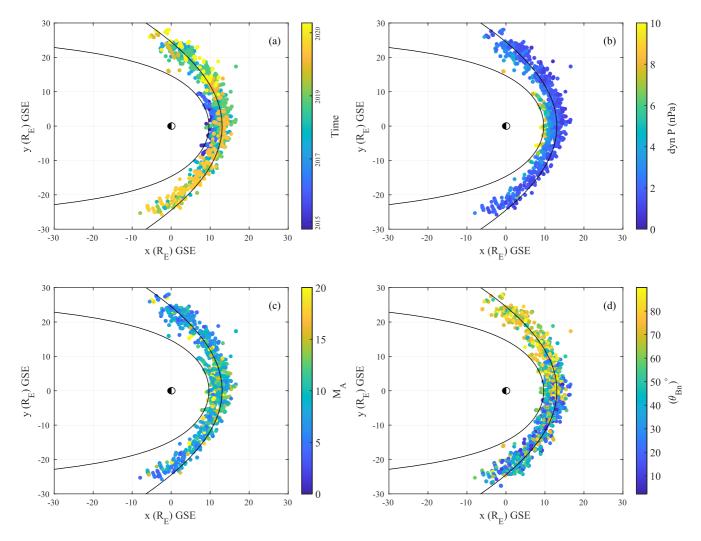
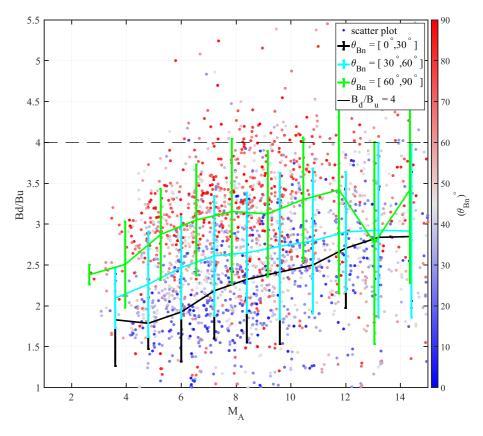


Figure 7. Location of the crossings of all of the shocks in the database projected on the ecliptic plane and normalized to Earth radius. Color bar in panel (a) represents time, (b) dynamic pressure, (c)  $M_A$ , and (d)  $\theta_{Bn}$  with the y coordinate of the crossing flipped if the magnetic field is in the ortho-Parker spiral configuration.

of the mission (Phase 1), which focused on the dayside magnetopause. In 2017, MMS entered Phase 2 of the mission where the apogee was raised to  $25\,R_E$  (Fuselier et al., 2016). Crossings detected in Phase 1 of the mission are expected to occur at high dynamic pressure conditions since the magnetosphere has to be compressed to a large extent to reach MMS orbit. This is seen in panel (b), where the solar wind dynamic pressure is the highest for the shocks closest to the Earth. Panel (c) shows no particular pattern for the distribution of Mach number with the locations of the crossings. All panels are in the GSE coordinate system except for panel (d), where to account for the ortho-Parker spiral configuration of the interplanetary magnetic field (IMF) (a configuration where the IMF is at an angle of  $90^{\circ}$  to the Parker spiral), we invert the sign of the y coordinate of the shock crossings. The purpose of this adjustment is to maintain the general trend, related to the Parker spiral, that the dusk flank is quasi-perpendicular and the dawn flank is quasi-parallel, which is visible in panel (d). Accounting for ortho-Parker spiral (Génot & Lavraud, 2021) IMF while plotting panel (d) allows us to clearly detect shocks where the determined  $\theta_{Bn}$  is not accurate, such as the shock shown in Figure 4, since those shocks will not follow the abovementioned expected dusk-dawn separation of quasi-perpendicular quasi-parallel shocks. Figure 7d shows that those events are not frequent in the database. It is worth noting that the point in the upper right side of the plots, with maximum  $x \sim 17R_E$  is the same event shown in Figure 5.

Finally, we explore how well the calculated compression ratios match expectations from Rankine-Hugoniot jump conditions. By solving the Rankine-Hugoniot conditions, we can obtain a relation between the compression ratios and the different shock parameters. In particular, in Figure 8 we plot the magnetic field compression ratio versus

LALTI ET AL. 12 of 16



**Figure 8.** Magnetic field compression ratio versus the Alfvén Mach number. Error bars show the spread of  $B_d/B_u$  for various intervals of  $\theta_{Rn}$ . The dashed line represent the canonical high-Mach number limit of 4. The color shows  $\theta_{Rn}$ .

 $M_A$  for various  $\theta_{Bn}$ . Comparing our result to the simulation result shown in Figure 4 in Kennel et al. (1985), we see that we retrieve a similar trend where the more perpendicular the shock is, the higher the compression ratio becomes. For large  $M_A$  the compression ratio approaches the expected asymptotic value of 4.

#### 4.2. Statistical Study of Ion Acceleration Efficiency

Our database can be used for a variety of applications, such as identifying events with given parameters, on which case studies can be conducted, or performing statistical studies. In particular, it can be interesting to study shocks that correspond to a particular parameter range, such as quasi-parallel or quasi-perpendicular shocks geometries. Furthermore, one could be interested in comparing in situ observations with remote sensing observations that is, comparing shocks in the heliosphere with astrophysical shocks. For that comparison to be valid, the shocks have to be close in parameter space. In both examples, having a database that allows filtering of events with various parameters such as  $M_A$  and  $\theta_{Bn}$  is of great use. Moreover, the whole database forms the backbone for whatever statistical study that one wishes to do, either by using all of the events in the database or by selecting a subset of it. The database also increases the efficiency for many shock studies since the initial time-consuming task of identifying suitable events is reduced.

To demonstrate the usability of the database, we now employ the database to study energetic ions at the bow shock. This was recently tackled by Johlander et al. (2021) from MMS with a set of 154 shock crossings, but here we can investigate this with a number of shocks that is over an order of magnitude larger. We calculate the ion acceleration efficiency, defined as

$$\epsilon(E_0) = \left\langle \frac{U_i(E_i > E0)}{U_i(E_i > 0)} \right\rangle,\tag{2}$$

LALTI ET AL. 13 of 16

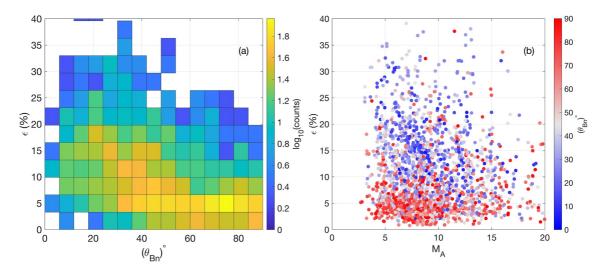


Figure 9. Ion acceleration efficiency. (a) 2D histogram of  $\epsilon$  versus  $\theta_{Bn}$  with color bar representing  $\log_{10}$  of counts. (b) Scatter plot of  $\epsilon$  versus  $M_A$  with the color bar showing  $\theta_{Bn}$ .

where  $U_i(E_i > E0)$  is the ion energy density downstream of the shock in the local plasma frame above the threshold energy  $E_0$  expressed as

$$U_i(E_i > E_0) = 4\pi \sqrt{\frac{2}{m_i^2}} \int_{E_0}^{E_{\text{max}}} dE_i \sqrt{E_i^3} f_i(E_i).$$
 (3)

We set  $E_0$  to 10 times the solar wind energy (Caprioli & Spitkovsky, 2014; Johlander et al., 2021). We use the ion distribution functions measured by FPI (Pollock et al., 2016) on MMS to calculate the acceleration efficiency, and for this, we use only the downstream distributions. We obtain the downstream velocity using the same method for determining the compression ratios (see Section 3). We then use the obtained velocity to transform the observed ion distributions into the plasma frame in the downstream region. Some events have such high solar wind speed, that the energy density calculation is done based only on two energy bins in the distribution function. For such events, the acceleration efficiency calculations are not reliable, so we remove them from the data set. In addition, accounting for the events where there is no OMNI data, we are left with 2,384 shock crossings, 53% of which are quasi-perpendicular while the remaining 47% are quasi-parallel.

The resulting ion acceleration efficiency  $\epsilon$  is shown in Figure 9, where panel (a) shows a 2D histogram in the  $\epsilon$  -  $\theta_{Bn}$  space with the color bar representing the base 10 logarithm of counts for each bin, and panel (b) shows a scatter plot of  $\epsilon$  versus  $M_A$  where the color bar represents  $\theta_{Bn}$  where the color scale has been set so that blue represents events with  $\theta_{Bn} < 45^{\circ}$  while red represents events with  $\theta_{Bn} > 45^{\circ}$ . From panel (a) one can clearly see the higher spread and average value of  $\epsilon$  for quasi-parallel shocks compared to quasi-perpendicular ones. If we calculate the weighted mean and standard deviation for all quasi-parallel and all quasi-perpendicular shocks, taking the count in each bin of the histogram as a weight, we get  $\langle \epsilon \rangle = 14 \pm 11\%$  for the former and  $8 \pm 8\%$  for the latter. This shows that quasi-parallel shocks are more efficient at accelerating ions compared to quasi-perpendicular shocks.

These results are in agreement with those of Johlander et al. (2021), who also found that quasi-parallel shocks are much more efficient at accelerating ions than quasi-perpendicular ones, where the acceleration efficiency increased at  $\theta_{Bn} < 50^{\circ}$ . Also, they observe that  $\epsilon$  decreases for  $\theta_{Bn} < 20^{\circ}$ , where this decrease is attributed to a low number of events in that range. Having a larger sample size, we do not observe the same decrease at low  $\theta_{Bn}$ . Furthermore, Johlander et al. (2021) observed a dependence of the acceleration efficiency on Mach number, where lower  $M_A$  events have lower  $\epsilon$ . This trend is not present in the current data set (see Figure 9b).

This statistical study was performed on the database as is, as mentioned earlier, events with  $\theta_{Bn}$  values that do not reflect the geometry of the shock and events with foreshock structures labeled as shock crossings were included in this statistics. As shown earlier, such events are not numerous, and should not affect the results. Nevertheless, in a future dedicated study, this will be carefully considered and such events will be filtered out.

LALTI ET AL. 14 of 16



#### 5. Conclusion

In this report, we present a database of Earth's bow shock crossings by MMS spacecraft compiled using a machine learning algorithm. We show that shock crossing events can be reliably identified using automated methods with little bias in parameter space. The database contains 2,797 shock crossing events, the largest bow shock crossing database so far. The database covers a broad range in parameter space as well as in physical space, with crossings from the subsolar point toward the flanks. For each crossing time, we provide key information related to each shock, such as the Alfvénic Mach number or  $\theta_{Bn}$ . We also provide an overview plot for each event showing the most important quantities related to the observed shock. This database will be a large asset to the community, facilitating statistical studies and case studies of single events.

We demonstrate a use for the database by performing a quantitative study of ion acceleration efficiency at the bow shock. Using a data set of 2,000+ shocks, we show that quasi-parallel shocks are more efficient at accelerating ions than quasi-perpendicular shocks, in agreement with the result of Johlander et al. (2021). We also show that there is no correlation between the ion acceleration efficiency and  $M_A$  in contrast to the results of Johlander et al. (2021), which show the advantage of having a database that is comprised of more events.

#### **Data Availability Statement**

The database and the overview plots can be found at <a href="https://doi.org/10.5281/zenodo.6343989">https://doi.org/10.5281/zenodo.6343989</a>. We thank the entire MMS team and instrument PIs for data access and support. This database is part of the EU Horizon 2020 SHARP project, which will include shocks from multiple spacecraft and from different locations in the heliosphere. MMS data are available at <a href="https://lasp.colorado.edu/mms/sdc/public/data/">https://lasp.colorado.edu/mms/sdc/public/data/</a> following the directories: mms#/fgm/brst/12 for FGM data, mms#/fpi/brst/12/dis-dist for FPI ion distributions, mms#/fpi/brst/12/dis-moms for FPI ion moments and mms#/fpi/brst/12/des-moms for FPI electron moments. OMNI data used are available at <a href="https://omniweb.gsfc.nasa.gov/">https://omniweb.gsfc.nasa.gov/</a>. Data analysis was performed using the IRFU-Matlab analysis package available at <a href="https://github.com/irfu/irfu-matlab">https://github.com/irfu/irfu-matlab</a>.

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LALTI ET AL. 15 of 16



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LALTI ET AL. 16 of 16