Dissolution mechanism of supported phospholipid bilayer in the presence of amphiphilic drug investigated by neutron reflectometry and quartz crystal microbalance with dissipation monitoring

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ABSTRACT

The influence and interaction of the ionizable amphiphilic drug amitriptyline hydrochloride (AMT) on a 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC) phospholipid bilayer supported on a silica surface have been investigated using a combination of neutron reflectometry and quartz crystal microbalance with dissipation monitoring. Adding AMT solutions with concentrations 3, 12, and 50 mM leaves the lipid bilayer mainly intact and we observe most of the AMT molecules attached to the head-group region of the outer bilayer leaflet. Virtually no AMT penetrates into the hydrophilic head-group region of the inner leaflet close to the silica surface. By adding 200 mM AMT solution, the lipid bilayer dissolved entirely, indicating a threshold concentration for the solubilization of the bilayer by AMT. The observed threshold concentration is consistent with the observation that various bilayer structures abruptly transform into mixed AMT-DOPC micelles beyond a certain AMT-DOPC composition. Based on our experimental observations, we suggest that the penetration of drug into the phospholipid bilayer, and subsequently solubilization of the membrane, follows a two-step mechanism with the outer leaflet being removed prior to the inner leaflet.

1. Introduction

Phospholipids are the main component responsible for the formation of bilayer membranes and have been an important subject of studies for decades [1–3]. The membranes are usually very stable but may be disrupted and dissolved by amphiphilic surface-active molecules. An important class of amphiphilic molecules with surfactant properties is amphiphilic drugs, which are known to interact and self-assemble with phospholipid bilayers and influence properties related to pharmacological activity and toxicity [4–7]. Amphiphilic drugs differ in chemical structure from conventional surfactants in the sense that they are usually composed of a rather rigid hydrophobic part attached to a head group. In this respect, amphiphilic drugs are similar to another, from a biological perspective, important class of amphiphilic molecules, bile salts. Bile salt surfactants are extraordinarily effective in dissolving phospholipids into mixed micelles, which may have a phospholipid content as high as 70–85% [8,9]. Amphiphilic drugs appear to have somewhat lower ability to dissolve phospholipids than bile salts, but significantly larger ability than conventional surfactants. Amphiphilic drugs, and the chemically similar class of bile salt surfactants, share a property of significantly higher spontaneous curvature than conventional surfactants. This makes amphiphilic drugs and bile salts particularly effective in breaking up bilayer membranes and solubilizing substantial amounts of phospholipids into mixed micelles. The molecular interaction between drugs and phospholipid membranes is expected to be crucial for the performance of the drug.

Tricyclic anti-depressants are amphiphilic molecules that consist of a rigid hydrophobic tail and small hydrophilic head group that can self-assemble and form small micelles above the critical micelle concentrations (CMC) [5,10]. The tricyclic anti-depressant amitriptyline (AMT) is a psychoactive drug that is mainly used for two medical applications [11]. It is a non-selective reuptake inhibitor, which interacts on the
carriers in the synaptic cleft to inhibit the synaptic reuptake of serotonin, dopamine, and noradrenalin to treat depression. The effect is enhanced after an adjustment period due to a change in receptor sensitivity caused by the shift in concentration. As a painkiller for suddenly occurring neuropathic pain, AMT prevents non-physiological action potentials (use dependency) by reversibly blocking fast reacting sodium channels. A similar process is described for potassium channels. The substance (use dependency) by reversibly blocking fast reacting sodium channels.

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The emerging solution containing the lipid bilayer was sonicated to form small vesicles (25–35 nm, as measured by DLS). Centrifuging was used to remove titanium debris from the tip-sonicated vesicles, and details of the procedure can be found elsewhere [38,39]. Briefly, the phospholipid was dissolved in chloroform and the solvent was evaporated in a rotary evaporator. Traces of solvent were removed under vacuum overnight. The phospholipid films were stored at –20 °C and prior to the experiment hydrated with 50 mM sodium fluoride solution and vortexed for 5 min.

The samples in the text below are denoted with a letter “H” or “D”, which indicates whether the SLB is hydrogenous or tail-deuterated. The letter is followed by a number referring to the concentration of AMT that is injected, i.e. H0, H3, H12, H50, and H200 for 0, 3, 12, 50, and 200 mM, respectively.

2.2. Quartz crystal microbalance with dissipation monitoring

The QCM-D measurements were carried out on the Q-Sense QCM-D connected with an E1 module (Q-Sense, Gothenburg, Sweden) connected to a peristaltic pump. Commercially available silica-coated sensors (Q-sense, Gothenburg, Sweden) were used. The fundamental frequency of 4.95 MHz as well as 3th, 5th, 7th, 9th, 11th, and 13th overtones were monitored and 3th-13th was used for mass calculation in this study. The sensors were cleaned using the procedure recommended by the producer, shortly, the sensors were treated in a UV/ozone chamber for 10 min, then immersed in SDS (2% solution) for 20 min, then 10 min in MilliQ water, followed by extensive rinsing with water and ethanol and dried with gentle nitrogen flow. Finally, prior to use, the sensors were exposed again 20 min in UV/ozone chamber ensuring the formation of a clean and hydrophilic surface. All experiments were performed at 21 °C, starting with the injection of NaF 50 mM buffer [40,41], after establishing a flat baseline, the liposome, in the...
same buffer, was introduced to the system. During the bilayer formation steps of each experiment liposomes were injected at a flow rate of 150 \(\mu\)l min \(^{-1}\). Spontaneous rupture of liposomes due to the interaction with the hydrophilic silica surface results in the formation of the supported phospholipid bilayer. After SLB formation, the remaining intact liposomes were removed by rinsing with buffer and, finally, MilliQ water. Subsequently, for the SLB-drug interaction experiment, the flow rate was decreased to 15 \(\mu\)l min \(^{-1}\).

2.2.1. QCM-D modelling

The immobilized mass on the QCM-D sensor, for a rigid and comparably thin adsorbed layer (i.e. for a negligible change in the dissipation factor, i.e. \(\Delta D = 0\)) can be calculated through the Sauerbrey equation [42]:

\[
\Delta m = \frac{\Delta f}{n C}
\]

where \(\Delta m\) is mass per unit area adsorbed on the sensor, \(\Delta f\) is the shift in oscillation frequency, \(n\) is the corresponding overtone, and \(C\) is 17.7 ng/cm\(^2\) Hz\(^{-1}\) for a 4.95 MHz sensors crystal. In order to be able to use the Sauerbrey equation, the collected data were corrected for “bulk effect” through a process proposed by Höök et al. [43]. Briefly, it was assumed that changes in dissipation were only related to changes in the density and viscosity of the medium and not to the immobilized layer, a fair assumption considering that the dissipation from SLBs is very close to zero [42]. Under these conditions, the frequency changes associated with changes in the medium can be calculated and subtracted from the recorded signal. The Sauerbrey equation is then valid if the resultant corrected signals overlap for all overtones (indicating the formation of a rigid layer).

2.3. Neutron reflectometry

The reflectometry experiment was conducted at the reflectometer SuperADAM (Institute Laue-Langevin, Grenoble, France) [44]. A q range between 0 and 0.15 Å\(^{-1}\) was measured. SuperADAM uses a wavelength of 5.215 Å with a resolution of 0.4%. Both slits in the incident beam were set to 1 mm and kept constant throughout the whole experiment. To investigate molecular interactions mechanisms at the interface, the off-specular signal is taken automatically by the usage of a position-sensitive detector and multiple regions of interest. In none of the data sets off specular signal beyond noise was detected, which shows good layer formation. Background reduction and direct beam normalization as well as the over-illumination correction were done by the software pySArEd [45].

Small vesicle fusion was chosen as the method to form the supported phospholipid bilayer in order to minimize the risk to manipulate the substrate during the mounting process and avoid having it dewetted. Similar to the liposome collapse described in [46], liposomes were prepared in 50 mM sodium fluoride in D\(_2\)O and then filled into the sample cell. The cell was filled slowly, i.e. 1 cm\(^3\) min \(^{-1}\), the buffer replaced with pure heavy water, and consequently, filled with a different mixture of AMT in heavy water.

2.3.1. Neutron reflectometry modelling

Specular neutron reflectometry measures the intensity, which is reflected from an interface versus momentum transfer \(q_z\) of the neutron perpendicular to that interface. From the data, information is obtained about the scattering length density (SLD) profile across the interface or layered structures. The SLD is calculated from the number density \(n_i\) and the bound coherent scattering length for neutrons \(b_i\) of the respective nuclei, according to:

\[
\text{SLD} = \sum (n_i b_i)
\]

Note \(b_i\) for the two isotopes, hydrogen and deuterium, are very different allowing labeling and contrast variation experiments to determine the location of individual molecular components in a bilayer.

The data analysis was done with GenX [47], which uses differential evolution (DE) a genetic algorithm for fitting. We chose chi-square as a figure of merit, FOM. For further details, we refer to literature [48]. The data were fitted with a four-layer model representing the outer and inner parts of the lipid membrane to extract SLD profiles.

3. Results and discussion

3.1. Quartz crystal microbalance experiment

In order to study the DOPC bilayer and different concentrations of amitriptyline solution, the formation of a DOPC bilayer was confirmed. Fig. 2.a shows the surface mass density accumulated on the QCM-D sensors as a function of time, calculated as described in the methods section. An increase in both frequency and dissipation due to an initial immobilization of intact liposomes followed by a decrease in both parameters upon vesicle rupture is evident in Fig. 2.a. Unreacted and loosely attached liposomes were removed by rinsing with buffer (NaF), and finally, MilliQ water. The final frequency shift of \(\sim 25\) Hz and low dissipation values are very close to previously reported values [49], suggesting the formation of a supported continuous DOPC bilayer.

**Fig. 2.** a) Bulk effect corrected immobilized mass of QCM-D experiment of four different concentration (3, 12, 50, and 200 mM) of amitriptyline introduced to the supported DOPC bilayer. b) The enlargement part of the curve after 200 mM amitriptyline solution injected.
After establishing the DOPC bilayer, the lowest amitriptyline concentration (3 mM) was introduced with a flow rate of 15 μL min⁻¹. A slight decrease in the immobilized mass was observed, indicating partial solubilization of the bilayer. The consecutive injection of 12 and 50 mM amitriptyline followed the trend, by increasing the amitriptyline concentration, more bilayer detached from the sensor’s surface. Interestingly, after equilibration with a solution of 50 mM AMT, which is above its critical micelle concentration (CMC ≈ 37 mM), most of the bilayer remained immobilized, indicating that this concentration was not enough to remove the whole bilayers.

In the case of 200 mM, i.e. about 5 times CMC, the QCM-D profile illustrates that the amitriptyline concentration is sufficient to dissolve the DOPC bilayer entirely in a two-step process. After the addition of the AMT solution, a sudden drop in immobilized mass is observed. The mass is then stabilized for a few minutes before dropping abruptly again. The enlarged QCM-D curve after injection of 200 mM AMT is depicted in Fig. 2b and a shoulder in the curve can be observed. The change in immobilized mass is roughly the same in each of the steps. The results suggest the scenario in which amitriptyline dissolves the top and bottom monolayers of the bilayer in two separate steps.

To verify this effect for an intact DOPC bilayer, a 200 mM amitriptyline solution was infused into a fresh DOPC bilayer. The resulting surface mass density profile is depicted in Fig. 3. It is evident that the same interaction as described above is still dominant: 200 mM solution of amitriptyline completely dissolves the DOPC bilayer in a two-step process. On the right hand side of the same graph, the standard deviation of the different bulk corrected overtones (STD) increases dramatically, indicating that the Sauerbrey equation is no longer valid and suggesting that a viscoelastic film replaces the rigid bilayer.

Interestingly, the standard deviation of the different bulk corrected overtones drops at 38 min, sharply right after the moment where the second dissolution step begins (implying that the film becomes more rigid) and then increases again, suggesting a softening of the film. This drop happens at half of the initial surface density mass on the sensor. This indicates that each leaflet has been dissolved separately and can be explained as follows: at 200 mM a sufficient amount of AMT penetrates into the outer leaflet of the bilayer solubilizing it by forming mixed micelles that remain close to the oppositely charged surface, contributing to a higher dissipation factor. The consequent drops of STD when AMT incorporates into the inner leaflet, indicating a rigid film exists for a few seconds right before the leaflet is solubilized into mixed micelles. It is interesting to note that the solubilization of the inner leaflet is faster than the first step, which might be due to the fact that after removing the top layer the hydrocarbon tails of DOPC initially come in direct contact with water which is unfavorable.

It is to be noted, that after the addition of the 200 mM AMT solution the corrected signals do not overlap for the different overtones (c.f. Supplementary data S.I.), resulting in the large STD mentioned above and, suggesting that the underlying assumption, meaning the rigidity of the layer on the sensor, is no longer valid and the immobilized film is no longer rigid. It is also to be noted that the final mass values determined after solubilization in the experiments discussed above are negative, which has no physical meaning. The fact that the “bulk effect” for the final part of the QCM-D curves, where most of the bilayer has been removed, cannot be corrected according to the procedure described in the method section, advocates the idea that adding a 200 mM amitriptyline solution leads to the spontaneous dissolution of the bilayer and leaves the surface of the sensor with a viscoelastic layer. We believe that this is related to the fact that the AMT concentration has reached and exceeded the limit where AMT may dissolve the phospholipid to form AMT-rich rich micelles that remain close to the interface with the opposite charge. This idea has been examined by injection of 200 mM amitriptyline to the naked silica surface and a similar QCM-D profile was observed (c.f. Supplementary data S.I.) as for the final stage of the experiments with the bilayer. This behavior was also supported by neutron reflectometry as discussed below.

The observed threshold where the SLB is completely dissolved by an AMT solution as concentrated as five times the critical micelle concentration is consistent with bulk observations of a rather abrupt transition from bilayer aggregates to small micelles at a mole fraction of DOPC XₚL = 0.35 in the aggregates (unpublished data).

3.2. Neutron reflectometry experiment

To unveil the mechanisms of lipid bilayer-drug interaction, we have complemented our QCM-D experiments with neutron reflectometry. To distinguish between drug substitution and membrane dissolution, the experiment is performed using both a hydrogenous and a deuterated phospholipid.

Fig. 4 shows the NR experimental data, as well as the scattering length densities (SLD) profiles, obtained from our data analysis. In the SLD profiles, z = 0 indicates the surface of the silicon substrate. It is evident from all our neutron data, particularly for samples with hydrogenous SLB, that there is a thin layer of water between the silica surface and the lipid bilayer. Head groups and water mix and it was not possible to distinguish between the inner head group and the water layer. Therefore we allowed for one inner layer composed of both inner heads groups and surface water in our data analysis. We have determined the mean thickness of the SLB is about 40 Å, which agrees with the previously reported value of 38 Å for the DOPC bilayer [46,50].

Fig. 5 shows the SLD profile of an intact hydrogenous and tail-deuterated DOPC bilayer, before and after injection of 3 mM AMT solution. Both profiles show a reduced SLD in the region of the outer head group. From the experiments with hydrogenous and deuterated phospholipid, we calculated the concentration of each compound in the bilayer, i.e. AMT, water, and DOPC. The inset in Fig. 5 shows the mole percent of AMT and water in the bilayer plotted against the bilayer profile thickness (z). The drug is incorporated in the outer bilayer leaflet at low AMT concentrations. The SLD change indicates changes in composition in the bilayer. It is evident that AMT has a high affinity to the phospholipid head group region and almost all of it accumulates near the outer edge of the SLB where head groups are in contact with a free solution. The other notable feature in Fig. 5 is the increase of total SLD of the head and chain region in the sample 3 mM AMT. This is because the addition of AMT leads to an increase in the amount of water (D₂O in this case) and, consequently, an increase of the total SLD in both...
regions.

In QCM-D, we observe a slight decrease in the total mass of SLB after injection of 12 mM AMT solution. Density calculations show that 33% of SLB in the outer head-group region consists of AMT and that the total amount of water in the SLB increases after incorporation of AMT in the SLB. From this, we conclude that AMT replaces DOPC molecules when incorporated into the bilayer. Since AMT is an amphiphilic molecule, which is more hydrophilic than DOPC, a larger amount of water is incorporated along with AMT into the interface region. The addition of AMT and water almost compensates for the loss of DOPC molecules.

Our QCM-D measurements revealed that the adsorbed mass was reduced upon increasing AMT concentration, which is consistent with patches of phospholipid being dissolved in the solution. Table 1 shows the amount of AMT and water in the various bilayer regions for each concentration of injected AMT solution. As the AMT concentration increases, AMT penetrates deeper into the bilayer and the amount of AMT incorporated in the inner tail and head group regions increases. Moreover, the increase in the fraction of water in the inner leaflet region indicates that the structure of the SLB layer has become perturbed, and the organized phospholipid bilayer has started to become dissolved into the bulk in the form of mixed AMT-DOPC micelles. This is in agreement with QCM-D data shown in Fig. 2 as well as with previous findings indicating that the addition of AMT decrease the acyl chain order in liposomes formed by the phospholipid DMPC [51].

The resultant SLD after the addition of 200 mM solution of the AMT to d-DOPC and h-DOPC bilayer is shown in Fig. 6. The NR profile fits suggest that the SLB has been removed completely. The slightly reduced SLD may be explained by the formation of a new layer of mixed AMT-DOPC micelles on the silica surface. This hypothesis is supported by comparing with the SLD profile when injecting 200 mM AMT to the bare UV/ozone silicon wafer (cf. Fig. 2). This result is in good agreement with our QCM-D data, and the observation of a new viscoelastic layer replacing the SLB. Although, the concentration above CMC severely perturbed the bilayer and its structural order, a concentration as high as five times the CMC was needed to entirely dissolve the bilayer.

4. Conclusion

The penetration of the amphiphilic drug amitriptyline hydrochloride (AMT) into, and the subsequent dissolution of, a supported DOPC phospholipid bilayer (SLB) have been studied by a combination of quartz crystal microbalance with dissipation monitoring (QCM-D) and neutron reflectometry (NR). The QCM-D results show that the complete dissolution of the SLB occurs at a drug concentration threshold about five times the critical micelle concentration of AMT (~200 mM). This observation agrees with our NR experiments, according to which no sign of SLB could be observed after addition above a threshold of about [AMT] = 200 mM. The complete removal of the SLB above a threshold concentration of the drug is consistent with our observations in bulk solution of an abrupt transition from bilayer aggregates to rather small mixed AMT-DOPC micelles at a mole fraction of about $x_{AMT} = 0.35$.

Moreover, the QCM-D results demonstrate that solubilization of the membrane is a two-step process where AMT solubilizes each bilayer leaflet separately. This is consistent with our NR experiments, which indicate a high affinity of AMT in the outer head group region, and a low tendency of the drug to penetrate deep into the bilayer. After removal of the phospholipid bilayer, both NR and QCM-D results suggest that a new viscoelastic layer is formed over the silica surface, consistent with the formation of mixed AMT-DOPC mixed micelles loosely attached to the oppositely charged surface.

Our study demonstrates the strength of the combination of QMCD and NR techniques and gives new insights to the processes of membrane penetration, which is, relevant when studying the therapeutic effect of AMT sodium (and potassium) channel blockage, and ultimate dissolution of phospholipids by amphiphilic drugs, for instance. Future experiments could include a kinetic study of the membrane effects, which, however, requires significantly higher neutron flux than available in the present study.
Appendix A. Supplementary data

Table 1

<table>
<thead>
<tr>
<th>Injected AMT concentration</th>
<th>0 (SLB)</th>
<th>3 mM</th>
<th>12 mM</th>
<th>50 mM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Layer</td>
<td>AMT [%]</td>
<td>Water [%]</td>
<td>AMT [%]</td>
<td>Water [%]</td>
</tr>
<tr>
<td>Inner head</td>
<td>-</td>
<td>28</td>
<td>28</td>
<td>33</td>
</tr>
<tr>
<td>Chain</td>
<td>11</td>
<td>3</td>
<td>23</td>
<td>26</td>
</tr>
<tr>
<td>Upper head</td>
<td>-</td>
<td>15</td>
<td>11</td>
<td>11</td>
</tr>
</tbody>
</table>

Fig. 6. Illustration of the different scattering length density (SLD) of hydro- genous (H200) and tail-deuterated (D200) DOPC bilayer as well as pure silicon substrate (Si200) exposed to 200 mM amitriptyline. The inset plot shows the corresponding NR experiments. The red solid line shows the fit for each data set. The decade offset in the inset inlay is used for visualization purposes.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.bbamem.2022.183976.

References


