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# Measurement of $e^+e^- \to pK^-\bar{\Lambda} + c.c.$ cross sections between 4.009 GeV and 4.951 GeV



## The BESIII collaboration

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ABSTRACT: Using  $e^+e^-$  collision datasets corresponding to a total integrated luminosity of 21.7 fb<sup>-1</sup> collected with the BESIII detector at the BEPCII collider at center-of-mass energies ranging from 4.009 GeV to 4.951 GeV, the energy-dependent cross sections of  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$  are measured for the first time. By fitting these energy-dependent cross sections, we search for the excited  $\psi$  states  $\psi(4160)$  and  $\psi(4415)$ , and the vector charmonium-like states  $\psi(4230)$ ,  $\psi(4360)$ , and  $\psi(4660)$ . No evidence for these is observed and the upper limits on the branching fractions of these states decaying into  $pK^-\bar{\Lambda} + c.c.$  are set at the 90% confidence level.

Keywords:  $e^+$ - $e^-$  Experiments, Quarkonium

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#### 1 Introduction

In the last two decades, a large number of charmonium-like vector states  $\psi$  have been discovered [1] in the hidden or open charm final states. The  $\psi(4260)$  was first observed by the BaBar Collaboration in the process of  $e^+e^- \to \gamma_{\rm ISR}\pi^+\pi^-J/\psi$  [2], where ISR denotes initial state radiation. The  $\psi(4360)$  and  $\psi(4660)$  were found by the Belle and BaBar Collaborations in the  $\pi^+\pi^-\psi(2S)$  final states [3, 4]. Later, a precise study on the process  $e^+e^- \to \pi^+\pi^-J/\psi$  by the BESIII Collaboration revealed two structures with masses of  $4222.0 \pm 3.1 \pm 1.4 \,\text{MeV}/c^2$  and  $4320.0 \pm 10.4 \pm 7.0 \,\text{MeV}/c^2$  in the  $\psi(4260)$  region [5]. The former one, renamed as Y(4230) [1], was further confirmed by the BESIII Collaboration in the decay channels  $e^+e^- \to \omega\chi_{c0}$  [6],  $e^+e^- \to \pi^+\pi^-h_c$  [7],  $e^+e^- \to \pi^+D^0D^{*-}$  [8],  $e^+e^- \to \eta J/\psi$  [9],  $e^+e^- \to \pi^+\pi^+\psi(3686)$  [10], and  $e^+e^- \to \pi^+D^{*0}D^{*-}$  [11]. Since some properties of these states cannot be explained by the conventional charmonium model, they are usually regarded as candidates for the exotic states, such as hybrids, tetraquarks, and molecules [12–14].

On the other side, although several processes with light hadron final states, such as  $e^+e^- \to p\bar{p}\pi^0$  [15],  $e^+e^- \to p\bar{p}\eta(\omega)$  [16],  $e^+e^- \to p\bar{n}K^0_SK^-$  [17],  $e^+e^- \to K^0_SK^\pm\pi^\mp\pi^0(\eta)$  [18],  $e^+e^- \to \omega\pi^+\pi^-$  [19], have been studied, no significant charmonium-like states were found in these until now. A further broad search for the potential exotic structures in more light hadron final states is highly desirable.

In this analysis, the cross sections of the process  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$  are measured by analyzing 21.7 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at center-of-mass energies  $(\sqrt{s})$  ranging from 4.009 GeV to 4.951 GeV. The vector charmonium(-like) states,  $\psi(4160)$ ,  $\psi(4230)$ ,  $\psi(4360)$ ,  $\psi(4415)$ , and  $\psi(4660)$  are investigated by fitting the obtained energy-dependent cross sections. Throughout the paper, the charged-conjugation mode is always implied, unless explicitly stated.

### 2 Detector and data sets

The BESIII detector is a magnetic spectrometer [20] located at the Beijing Electron Positron Collider (BEPCII) [21]. The cylindrical core of the BESIII detector consists of a main drift chamber filled with helium-based gas (MDC), a plastic scintillator time-of-flight system (TOF), and a CsI(Tl) electromagnetic calorimeter (EMC), which are all enclosed in a superconducting solenoidal magnet providing a 1.0 T magnetic field. The flux-return yoke is instrumented with resistive plate chambers arranged in 9 layers in the barrel and 8 layers in the endcaps for muon identification. The acceptance of charged particles and photons is 93% of  $4\pi$  solid angle. The charged-particle momentum resolution at  $1.0\,\text{GeV}/c$  is 0.5%, and the specific energy loss resolution is 6% for the electrons from Bhabha scattering. The EMC measures photon energies with a resolution of 2.5% (5%) at 1 GeV in the barrel (end cap) region. The time resolution of the TOF barrel part is 68 ps, while that of the end cap part is 110 ps. The end cap TOF system was upgraded in 2015 with multi-gap resistive plate chamber technology, providing a time resolution of 60 ps [22–24]. All of those are enclosed in a superconducting solenoidal magnet providing a 1.0 T magnetic field [25].

The data samples used in this analysis were collected by the BESIII detector at 37 energy points between 4.009 GeV and 4.951 GeV. The center-of-mass energies and the corresponding integrated luminosities [26, 27] at various energy points are shown in table 1. Simulated samples produced with the GEANT4-based [28] Monte-Carlo (MC) software, which includes the geometric description of the BESIII detector and the detector response, are used to determine the detection efficiencies and to estimate the background levels. The simulation includes the beam energy spread and ISR in the  $e^+e^-$  annihilations modeled with the generator KKMC [29]. The inclusive MC simulation samples generated at  $\sqrt{s} = 4.178 \,\text{GeV}$  with 40 times the luminosity of the data sample are used to analyze the possible background contributions. They consist of open charm production processes, ISR production of vector charmonium or charmonium-like states, and continuum processes  $(e^+e^- \to q\bar{q}, q = u, d, s)$ . The open charm production processes are generated using CONEXC [30], and the ISR production is incorporated in KKMC [29]. The known decay states are modeled with BESEVENTGEN [31, 32] using branching fractions taken from the Particle Data Group (PDG) [1] and the remaining unknown decays from the charmonium states are modeled with LUNDCHARM [33, 34]. The final state radiation from charged final state particles is incorporated with the PHOTOS [35] package. The signal MC samples of  $e^+e^- \to pK^-\Lambda$  are generated by using the amplitude model with parameters fixed to the amplitude analysis results [36].

#### 3 Event selection and background analysis

To select the candidates for  $e^+e^- \to pK^-\bar{\Lambda}$ , the  $\Lambda$  is reconstructed with the charged decay mode, and hence there are only four charged tracks  $p\bar{p}\pi^{\pm}K^{\mp}$  in the final states. All charged tracks are required to satisfy  $|d_z| < 20$  cm and  $|\cos\theta| < 0.93$ . Here,  $|d_z|$  is the coordinate of the charged particle production point along the beam axis and  $\theta$  is the polar angle of the charged track. For each event, four charged tracks with zero net charge are required. Since

$\sqrt{s}$ (GeV)	$\mathcal{L}_{\mathrm{int}} \; (\mathrm{pb}^{-1})$	$N_{ m sig}$	<i>ε</i> (%)	$(1+\delta)$	$\frac{1}{1-\Pi^2}$	$\sigma^{\rm B} \; ({\rm pb})$
4.009	$482.0 \pm 4.7$	$754 \pm 28$	20.2	1.16	1.04	$10.1 \pm 0.4 \pm 0.4$
4.129	$401.5 \pm 2.6$	$507 \pm 24$	20.1	1.19	1.05	$7.9 \pm 0.4 \pm 0.3$
4.157	$408.7 {\pm} 2.6$	$463 \pm 23$	20.4	1.20	1.05	$6.9 \pm 0.3 \pm 0.3$
4.178	$3189.0 \pm 31.9$	$4025 \pm 67$	20.3	1.20	1.05	$7.7 \pm 0.1 \pm 0.3$
4.189	$526.7 {\pm} 2.2$	$658 \pm 27$	20.3	1.21	1.06	$7.6 \pm 0.3 \pm 0.4$
4.199	$526.0 \pm 2.5$	$639 \pm 26$	20.4	1.21	1.06	$7.3 \pm 0.3 \pm 0.3$
4.209	$517.1 \pm 1.8$	$618 \pm 25$	20.1	1.21	1.06	$7.3 \pm 0.3 \pm 0.3$
4.219	$514.6 \pm 1.8$	$639 \pm 27$	19.4	1.22	1.06	$7.8 \pm 0.3 \pm 0.3$
4.226	$1100.9 \pm 7.0$	$1247 \pm 38$	20.4	1.22	1.06	$6.8\pm0.2\pm0.3$
4.236	$530.3 \pm 2.4$	$630\pm26$	19.8	1.22	1.06	$7.3\pm0.3\pm0.3$
4.244	$538.1 {\pm} 2.7$	$570 \pm 25$	20.3	1.23	1.06	$6.3\pm0.3\pm0.5$
4.258	$828.4{\pm}5.5$	$932 \pm 32$	20.5	1.23	1.05	$6.6\pm0.2\pm0.7$
4.267	$531.1 \pm 3.1$	$589 \pm 25$	20.4	1.23	1.05	$6.6\pm0.3\pm0.5$
4.278	$175.7 \pm 1.0$	$197\pm15$	20.1	1.24	1.05	$6.7\pm0.5\pm0.6$
4.288	$502.4 \pm 3.3$	$496 \pm 24$	19.8	1.24	1.05	$6.0\pm0.3\pm0.4$
4.312	$501.2 \pm 3.3$	$566 \pm 23$	19.8	1.25	1.05	$6.8\pm0.3\pm0.4$
4.337	$505.0 \pm 3.4$	$520\pm24$	20.1	1.26	1.05	$6.1\pm0.3\pm0.3$
4.358	$543.9 \pm 3.6$	$550 \pm 25$	21.0	1.26	1.05	$5.7 \pm 0.3 \pm 0.3$
4.377	$522.7 \pm 3.5$	$529 \pm 24$	20.3	1.27	1.05	$5.9 \pm 0.3 \pm 0.3$
4.397	$507.8 \pm 3.4$	$449 \pm 23$	20.3	1.27	1.05	$5.1 \pm 0.3 \pm 0.2$
4.416	$1090.7 \pm 7.2$	$1030\pm33$	20.4	1.28	1.05	$5.4 \pm 0.2 \pm 0.2$
4.436	$569.9 \pm 3.8$	$523 \pm 24$	20.2	1.29	1.05	$5.3 \pm 0.2 \pm 0.3$
4.467	$111.1 \pm 0.8$	$90 \pm 10$	20.2	1.30	1.05	$4.6\pm0.5\pm0.3$
4.527	$112.1 \pm 0.8$	$87 \pm 9$	19.8	1.32	1.05	$4.4 \pm 0.5 \pm 0.2$
4.600	$586.9 \pm 4.0$	$416 \pm 21$	21.8	1.35	1.05	$3.6 \pm 0.2 \pm 0.2$
4.612	$103.7 {\pm} 0.6$	$81 \pm 9$	21.1	1.36	1.05	$4.0 \pm 0.5 \pm 0.3$
4.628	$521.5 \pm 2.8$	$357 \pm 20$	20.7	1.38	1.05	$3.6 \pm 0.2 \pm 0.2$
4.641	$551.7 \pm 3.0$	$375 \pm 20$	20.7	1.37	1.05	$3.6 \pm 0.2 \pm 0.2$
4.661	$529.4 \pm 2.8$	$351 \pm 20$	20.7	1.38	1.05	$3.5 \pm 0.2 \pm 0.2$
4.682	$1667.4 \pm 8.9$	$1012 \pm 34$	20.6	1.39	1.05	$3.2 \pm 0.1 \pm 0.2$
4.699	$535.5 \pm 2.9$	$362 \pm 20$	20.2	1.39	1.05	$3.6 \pm 0.2 \pm 0.2$
4.740	$163.9 \pm 0.9$	$115 \pm 11$	20.9	1.40	1.05	$3.5 \pm 0.3 \pm 0.3$
4.750	$366.6 \pm 2.0$	$248 \pm 17$	20.8	1.41	1.05	$3.4 \pm 0.2 \pm 0.2$
4.781	$511.5 \pm 2.7$	$288 \pm 19$	20.5	1.42	1.06	$2.9 \pm 0.2 \pm 0.2$
4.843	$525.1 \pm 2.8$	$235 \pm 17$	20.0	1.47	1.06	$2.3 \pm 0.2 \pm 0.2$
4.918	$207.8 \pm 1.1$	$127 \pm 11$	19.1	1.55	1.06	$3.1 \pm 0.3 \pm 0.2$
4.951	$159.3 \pm 0.9$	$63 \pm 9$	18.5	1.59	1.06	$2.0 \pm 0.3 \pm 0.2$

**Table 1.** The Born cross sections of the  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$  process at various energy points. Here  $\mathcal{L}_{\mathrm{int}}$  is the integrated luminosity,  $N_{\mathrm{sig}}$  is the number of signal events from the fit to the  $M_{p\pi^-}$  distribution,  $\epsilon$  is the detection efficiency,  $(1+\delta)$  is the radiative correction factor including vacuum polarization effect,  $\frac{1}{1-\Pi^2}$  is the vacuum polarization factor, and  $\sigma^{\mathrm{B}}$  is the Born cross section. The first uncertainties are statistical and the second are systematic.

the background from particle mis-identification is negligible and the particle identification detectors are not optimized in the momentum region above 1 GeV, we don't apply any particle identification.

To reconstruct the candidates for  $\Lambda$ , all possible opposite charged track pairs will be assigned as  $p\pi^-$ . The  $p\pi^-$  trajectories are constrained to originate from a common vertex by applying a vertex fit, and the  $\chi^2$  of the vertex fit is required to be less than 100. The  $\Lambda$  candidate is constrained in a secondary vertex fit to originate from the interaction point. The decay length of the  $\Lambda$  candidate must be greater than twice the vertex resolution. The invariant mass of the  $p\pi^-$  combination is required to be within  $1.10 < M_{p\pi^-} < 1.13\,\mathrm{GeV}/c^2$  to suppress wrong assignments. Exactly one  $\Lambda$  candidate per event is required to satisfy the selection criteria. The other two charged tracks are assigned according to their charges as proton and kaon not from  $\Lambda$  decays. To ensure that the two charged tracks originate from the interaction point, they are imposed with additional requirements of  $|d_z| < 10$  cm and  $|d_r| < 1$  cm. Here,  $|d_r|$  is the distance between the charged track production point and the beam axis in the plane perpendicular to the beam axis.

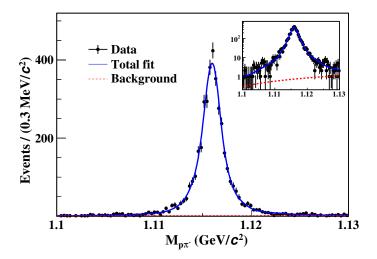
To further suppress background and improve track momentum resolution, a four-momentum constraint (4C) kinematic fit is imposed on the initial  $e^+e^-$  beam energy under the hypothesis of  $e^+e^- \to pK^-\bar{\Lambda}$ . The  $\chi^2$  of the kinematic fit is required to be less than 100. To reduce the number of background events caused by spurious kaons originating from Bhabha scattering events interacting with the materials in the detector, the events with  $|\cos\theta_K| > 0.83$  are vetoed, where  $\theta_K$  is the polar angle of the charged kaon.

After applying all of the above selection criteria, studies of the inclusive MC sample indicate that the total background fraction is only 1.8%. The background contributions are categorized into the peaking background, such as  $e^+e^- \to \pi^+\Lambda\bar{\Sigma}^-$ , and non-peaking background, such as  $e^+e^- \to \rho^0 p\bar{p}$ . Given that the peaking background fraction is lower than 1.0%, it is neglected in the further analysis and will be considered as one source of systematic uncertainties.

#### 4 Cross section measurement

To obtain the  $e^+e^- \to pK^-\bar{\Lambda}$  signal yield, an un-binned maximum likelihood fit is performed to the invariant mass spectrum of  $M_{p\pi^-}$ . The signal is described with the MC-determined shape convolved with a Gaussian function to consider the difference between data and MC simulation. The background shape is parametrized as a linear function. As an example, figure 1 shows the fit result of the accepted candidates in data at  $\sqrt{s}=4.178\,\mathrm{GeV}$ , and all the signal yields  $(N_{\mathrm{sig}})$  at 37 energy points are listed in table 1.

The reconstruction efficiencies are determined with the signal MC samples generated based on the amplitude analysis results in ref. [36]. For the energy points without amplitude fit due to limited statistics, their signal MC samples are generated based on the result of nearby energy point. Figure 2 shows the distributions of polar angles and momenta of final state particles, as well as the invariant mass spectra of all two-particle combinations of signal candidates in data and MC simulation at  $\sqrt{s} = 4.178 \,\text{GeV}$ .



**Figure 1.** Fit result to the  $p\pi^-$  invariant mass distribution of  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$ . The points with errors are data at  $\sqrt{s} = 4.178 \,\text{GeV}$ , the blue curve is the fit result, and the red dashed curve is the background contribution.

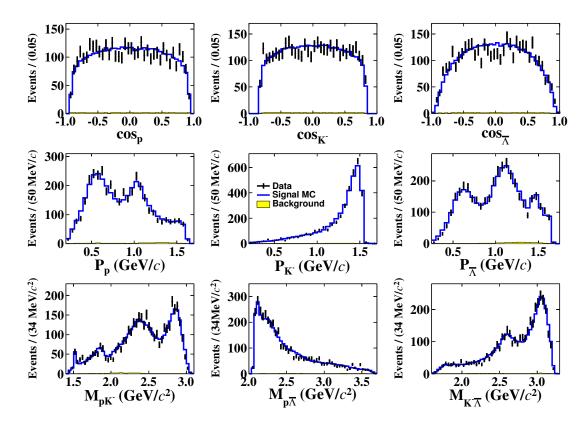


Figure 2. Distributions of  $\cos \theta$ , the momenta of daughter particles, and the invariant masses of all two-particle combinations at  $\sqrt{s} = 4.178 \,\text{GeV}$ . The points with error bars are data, the blue solid curves are signal MC events, and the yellow hatched histogram is the normalized simulated background.

The Born cross section at a given center-of-mass energy is calculated as

$$\sigma^{\rm B} = \frac{N_{\rm sig}}{\mathcal{L}_{\rm int} \times \mathcal{B} \times \epsilon (1+\delta) \frac{1}{|1-\Pi|^2}},\tag{4.1}$$

where  $N_{\rm sig}$  is the fitted signal yield,  $\mathcal{L}_{\rm int}$  is the integrated luminosity,  $\mathcal{B}$  is the branching fraction of the  $\Lambda$  charged decay [1],  $\epsilon$  is the detection efficiency,  $(1 + \delta)$  is the ISR correction factor, and  $\frac{1}{|1 - \Pi|^2}$  is the vacuum polarization factor [30].

To obtain the ISR correction factor, an iterative procedure is performed. First, a series of signal MC samples are generated for all energy points with a constant cross section. The cross sections are calculated based on the reconstruction efficiencies and correction factors obtained from the signal MC simulation. The line shape  $\mathcal{P}^4(1-e^{-\Delta M/p_0})$  is used to describe the measured cross sections, where  $\mathcal{P}^4$  is a forth-order polynomial with free parameters,  $p_0$  is a free parameter, and  $\Delta M = \sqrt{s} - 2.645\,\text{GeV}$  since no signal event is observed with the data sample at  $\sqrt{s} = 2.645\,\text{GeV}$ , which is close to the mass threshold of  $pK^-\bar{\Lambda}$ . Then, the method introduced in ref. [37] is used to get the ISR correction factors and efficiencies, and a new series of cross sections is obtained. This procedure is repeated until the difference of  $(1+\delta)\epsilon$  between two subsequent iterations is less than 0.1%. The vacuum polarization factor  $\frac{1}{|1-\Pi|^2}$  is obtained from CONEXC [30]. Table 1 summarizes the Born cross sections together with the relevant values used to determine them. The energy-dependent dressed cross sections of  $e^+e^- \to pK^-\bar{\Lambda}$ , defined as  $\sigma^D = \sigma^B \times \frac{1}{|1-\Pi|^2}$ , are shown in figure 3.

## 5 Systematic uncertainty

The systematic uncertainties on the cross section measurement include several sources, as summarized in table 2. They are estimated as described below.

The integrated luminosity is measured using Bhabha scattering events, with an uncertainty less than 1.0% [26, 27]. The uncertainty related to the tracking efficiency of kaons is estimated to be 1.0% using a control sample of  $e^+e^- \to K^+K^-\pi^+\pi^-$  and that of protons not from  $\Lambda$  is estimated to be 2.0% using a control sample of  $e^+e^- \to p\pi^-\bar{p}\pi^+$ . The total systematic uncertainty from tracking is assigned as a linear sum of kaon and non- $\Lambda$  proton contributions. The systematic uncertainty due to the  $\Lambda$  reconstruction efficiency including tracking efficiencies of the  $p\pi^-$  pair, decay length requirement, mass window, vertex fit, and second vertex fit, is assigned as 2.0% using the control sample of  $J/\psi(\psi(3686)) \to \Lambda\bar{\Lambda}$  [38]. The systematic uncertainty related to the  $N_{\rm trk}=4$  requirement, i.e. the number of charged tracks must be four, is estimated to be 0.5% with a control sample of  $J/\psi \to pK^-\bar{\Lambda}$  following ref. [39].

The systematic uncertainty of the MC modeling is estimated with a new signal MC sample, in which all fitted complex coupling constants, quoted resonance parameters are smeared with their uncertainties. The difference between the detection efficiencies obtained with the new signal MC sample and the nominal one is taken as this uncertainty. The systematic uncertainty from the fit to the  $M_{p\pi^-}$  spectrum is taken into account in two aspects. The uncertainty associated with the fit range is estimated by varying the fit range by 1 MeV. The uncertainty from the background shape is estimated by using a second-order

$\sqrt{s}$ (GeV)	Lum	Trk	$\Lambda \operatorname{Rec}$	$N_{ m trk}$	MC	Fit	KMfit	$\cos \theta$	$1 + \delta$	pBKG	BF	Total
4.009	1.0	3.0	2.0	0.5	0.7	0.8	0.3	0.7	0.5	1.0	0.8	4.2
4.129	1.0	3.0	2.0	0.5	1.0	0.4	0.3	0.3	0.5	1.0	0.8	4.2
4.157	1.0	3.0	2.0	0.5	0.9	0.4	0.5	0.5	0.5	1.0	0.8	4.2
4.178	1.0	3.0	2.0	0.5	1.6	0.4	0.3	0.4	0.5	1.0	0.8	4.4
4.189	1.0	3.0	2.0	0.5	1.3	2.0	0.4	0.2	0.5	1.0	0.8	4.7
4.199	1.0	3.0	2.0	0.5	0.7	0.3	0.4	0.3	0.5	1.0	0.8	4.1
4.209	1.0	3.0	2.0	0.5	0.3	0.6	0.3	0.4	0.5	1.0	0.8	4.1
4.219	1.0	3.0	2.0	0.5	1.2	1.5	0.4	0.3	0.5	1.0	0.8	4.5
4.226	1.0	3.0	2.0	0.5	1.7	1.8	0.2	0.5	0.5	1.0	0.8	4.7
4.236	1.0	3.0	2.0	0.5	0.3	1.0	0.4	0.4	0.5	1.0	0.8	4.2
4.244	1.0	3.0	2.0	0.5	5.7	1.5	0.5	0.1	0.5	1.0	0.8	7.2
4.258	1.0	3.0	2.0	0.5	9.6	0.7	0.3	0.4	0.5	1.0	0.8	10.5
4.267	1.0	3.0	2.0	0.5	7.1	0.6	0.4	0.7	0.5	1.0	0.8	8.2
4.278	1.0	3.0	2.0	0.5	6.8	2.6	0.2	0.4	0.5	1.0	0.8	8.3
4.288	1.0	3.0	2.0	0.5	5.5	1.2	0.3	0.7	0.5	1.0	0.8	7.0
4.312	1.0	3.0	2.0	0.5	5.1	0.2	0.3	0.5	0.5	1.0	0.8	6.5
4.337	1.0	3.0	2.0	0.5	3.4	0.7	0.3	0.1	0.5	1.0	0.8	5.4
4.358	1.0	3.0	2.0	0.5	1.1	3.0	0.4	0.5	0.5	1.0	0.8	5.2
4.377	1.0	3.0	2.0	0.5	2.3	0.4	0.3	0.2	0.5	1.0	0.8	4.6
4.397	1.0	3.0	2.0	0.5	2.4	0.3	0.3	1.0	0.5	1.0	0.8	4.8
4.416	1.0	3.0	2.0	0.5	0.2	0.6	0.3	0.2	0.5	1.0	0.8	4.1
4.436	1.0	3.0	2.0	0.5	2.9	1.2	0.3	0.7	0.5	1.0	0.8	5.1
4.467	1.0	3.0	2.0	0.5	0.9	4.0	0.4	0.8	0.5	1.0	0.8	5.8
4.527	1.0	3.0	2.0	0.5	1.1	1.1	0.4	0.9	0.5	1.0	0.8	4.4
4.600	1.0	3.0	2.0	0.5	3.1	0.3	0.3	0.8	0.6	1.0	0.8	5.2
4.612	1.0	3.0	2.0	0.5	4.6	1.2	0.3	1.1	0.8	1.0	0.8	6.4
4.628	1.0	3.0	2.0	0.5	2.4	0.6	0.6	0.6	1.4	1.0	0.8	5.0
4.641	1.0	3.0	2.0	0.5	1.3	0.4	0.4	0.3	0.8	1.0	0.8	4.3
4.661	1.0	3.0	2.0	0.5	3.9		0.3	0.4	0.8	1.0	0.8	5.8
4.682	1.0	3.0	2.0	0.5	5.1	1.0	0.4	0.5	1.0	1.0	0.8	6.6
4.699	1.0	3.0	2.0	0.5	3.1	1.1	0.4	0.3	0.7	1.0	0.8	5.2
4.740	1.0	3.0	2.0	0.5	6.2	1.2	0.7	0.9	1.0	1.0	0.8	7.6
4.750	1.0	3.0	2.0	0.5	4.2	1.5	0.3	0.5	1.1	1.0	0.8	6.1
4.781	1.0	3.0	2.0	0.5	3.6	2.2	0.4	0.1	1.5	1.0	0.8	6.0
4.843	1.0	3.0	2.0	0.5	5.2	1.3	0.4	3.8	1.3	1.0	0.8	7.8
4.918	1.0	3.0	2.0	0.5	6.3	0.0	0.4	1.6	1.9	1.0	0.8	7.9
4.951	1.0	3.0	2.0	0.5	4.4	3.5	0.4	0.8	3.3	1.0	0.8	7.7

**Table 2**. The relative systematic uncertainties (in %) on the cross section measurement, which include luminosity (Lum), tracking efficiency (Trk),  $\Lambda$  reconstruction efficiency ( $\Lambda$  Rec), MC modeling (MC), fit to  $M_{p\pi^-}$  (Fit), 4C kinematic fit (KMfit),  $|\cos \theta_K| < 0.83$  ( $\cos \theta$ ), correction factor  $(1 + \delta)$ ,  $\Lambda$  peaking background (pBKG), and  $\mathcal{B}(\Lambda \to p\pi^-)$  branching fraction (BF).

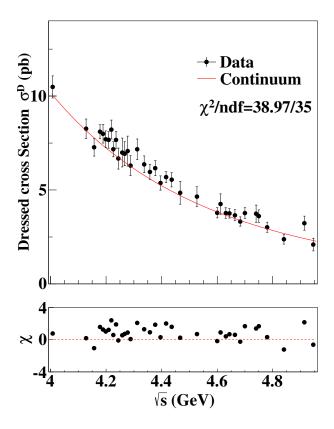


Figure 3. The energy-dependent dressed cross sections of the process  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$ . The points with error bars are the measured values including both statistical and systematic uncertainties. The red solid curve is the fit result only with the continuum contribution  $a/s^n$ . Due to the correlated systematic uncertainties, most of the  $\chi$  values are positive by minimizing eq. (6.1).

polynomial function. For each of these two aspects, the maximum difference between the signal yields obtained with nominal and alternative background shapes is taken as the corresponding systematic uncertainty. Adding these two items in quadrature, we obtain the relevant systematic uncertainty.

The systematic uncertainty related to the 4C kinematic fit is estimated by comparing the detection efficiencies with and without the helix parameter correction [40], taking the difference as the corresponding systematic uncertainty. The systematic uncertainty of the  $|\cos\theta_K| < 0.83$  requirement is estimated by varying the cut range by 0.01. The maximum difference between the cross sections obtained with nominal and alternative cut ranges is taken to be the corresponding systematic uncertainty. The systematic uncertainty related to the correction factor is considered in two aspects. The uncertainty due to the theoretical uncertainty of the vacuum polarization factor is assigned to be 0.5% [30]. The uncertainty due to the line shape used in the iteration is estimated by varying all free parameters of the line shape within their statistical uncertainties. The distribution of  $\sigma^B$  obtained with the alternative parameter sets is fitted with a Gaussian function  $(\mu_1, \sigma_1)$ . The uncertainty is assigned to be  $(|\mu_1 - \mu_0| + \sigma_1)/\mu_0 \times 100\%$ , where  $\mu_0$  is the nominal value. Adding these two items in quadrature gives the systematic uncertainty in the correction factor. The

systematic uncertainty due to the  $\Lambda$  peaking background is assigned as 1.0% since the fraction of this background from the inclusive MC sample is found to be less than 1.0%. The systematic uncertainty of the quoted branching fraction of  $\Lambda \to p\pi^-$  is 0.8%.

## 6 Fit to the cross sections of $e^+e^- \to pK^-\bar{\Lambda} + c.c.$

In order to search for possible charmless decays of charmonium (-like) states  $\psi \to pK^-\bar{\Lambda} + c.c.$ , we try two kinds of least chi-square fits to the dressed cross sections. The  $\chi^2$  is constructed as

$$\chi^2 = (\Delta \vec{\sigma})^T V^{-1} \Delta \vec{\sigma},\tag{6.1}$$

where  $\Delta \vec{\sigma}_i = \sigma_i - \sigma_i^{\text{fit}}(\vec{\theta})$  and V is the covariance matrix. The  $\sigma_i$  and  $\sigma_i^{\text{fit}}$  are the measured and fitted values for the cross section at the i-th energy point, respectively. The covariance matrix is constructed as  $V_{ii} = V_{\text{sta},i} + V_{\text{sys},i}$  for diagonal elements and  $V_{ij} = \sqrt{V_{\text{corr-sys},i} \times V_{\text{corr-sys},j}}$  for off-diagonal elements  $(i \neq j)$ . Here,  $V_{\text{corr-sys}}$  includes the systematic uncertainties of integrated luminosity, tracking,  $\Lambda$  reconstruction, and  $\mathcal{B}(\Lambda \to p\pi^-)$ .

In the first fit, the cross sections are assumed to result only from continuum production and to follow a relation of  $a/s^n$ . The fit result is shown in figure 3, with the goodness-of-fit of  $\chi^2/\text{ndf} = 39.05/35$ , where both statistical and systematic uncertainties are included and ndf denotes the number of degrees of freedom. In the second fit, the cross section is modeled as a coherent sum of continuum production and resonant amplitudes, e.g.,  $\sigma(\sqrt{s}) = |a/s^n + BW(\sqrt{s})e^{i\phi}|^2$ , where

$$BW\left(\sqrt{s}\right) = \frac{M}{\sqrt{s}} \frac{\sqrt{12\pi\Gamma_{\rm ee}\Gamma_{\rm tot}\mathcal{B}(\mathcal{R}\to pK^-\bar{\Lambda} + c.c.)}}{s - M^2 + iM\Gamma_{\rm tot}} \sqrt{\frac{PS\left(\sqrt{s}\right)}{PS(M)}}$$
(6.2)

is used to describe charmonium-(like) states. Here, M,  $\Gamma_{\rm tot}$ , and  $\Gamma_{\rm ee}$  are the mass, full width, and  $e^+e^-$  partial width of the resonance  $\mathcal{R}$ , respectively.  $\mathcal{B}(\mathcal{R} \to pK^-\bar{\Lambda} + c.c.)$  denotes the branching fraction of the decay  $\mathcal{R} \to pK^-\bar{\Lambda} + c.c.$ ,  $\phi$  is the relative phase between the continuum and resonance, and  $\frac{PS(\sqrt{s})}{PS(M)}$  is the three-body phase space factor. In the second case, the values of M and  $\Gamma_{\rm tot}$  are fixed to the PDG values [1]. As shown in figure 4, several well established charmonium-(like) states,  $\psi(4160)$ ,  $\psi(4230)$ ,  $\psi(4360)$ ,  $\psi(4415)$ , and  $\psi(4660)$ , are checked and no evidence for any  $\psi(Y) \to pK^-\bar{\Lambda} + c.c.$  decay is found. To set the upper limits of  $\Gamma_{\rm ee}\mathcal{B}(\mathcal{R} \to pK^-\bar{\Lambda} + c.c.)$ , the likelihood distributions are constructed as  $L(\Gamma_{\rm ee}\mathcal{B}) = e^{-0.5\chi^2}$ . The upper limits at the 90% confidence level (C.L.) is obtained by integrating  $L(\Gamma_{\rm ee}\mathcal{B})$  from zero to 90% of the total curve. The uncertainty associated with the quoted resonance parameters of  $\mathcal{R}$  is studied by sampling its parameters according to its uncertainty, repeating the estimation of upper limits, and taking the width of resulting distribution as this uncertainty. The upper limit is assigned as the nominal value plus the uncertainty due to quoted resonance parameters, as summarized in table 3

## 7 Summary

In summary, with the 21.7 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at  $\sqrt{s}$  ranging from 4.009 GeV to 4.951 GeV, the energy-dependent cross sections of  $e^+e^- \to pK^-\bar{\Lambda}$  are measured for the

Resonance	$M_{\mathcal{R}} \; (\mathrm{MeV}/c^2)$	$\Gamma_{\mathcal{R}} \text{ (MeV)}$	$\Gamma_{\rm ee}\mathcal{B}(\mathcal{R}\to pK^-\bar{\Lambda}+c.c.)~({\rm eV})$
$\psi(4160)$	$4191 \pm 5$	$70 \pm 10$	$< 3.0 \times 10^{-3}$
$\psi(4230)$	$4223 \pm 3$	$48 \pm 8$	$< 1.3 \times 10^{-3}$
$\psi(4360)$	$4374 \pm 4$	$118\pm12$	$< 4.7 \times 10^{-3}$
$\psi(4415)$	$4421 \pm 4$	$62 \pm 20$	$<3.4\times10^{-3}$
$\psi(4660)$	$4630 \pm 6$	$72 \pm 14$	$<2.8\times10^{-3}$

**Table 3.** Fixed masses  $(M_{\mathcal{R}})$  and widths  $(\Gamma_{\mathcal{R}})$  together with the upper limits on the product of the  $e^+e^-$  partial width and branching fraction of  $\mathcal{R} \to pK^-\bar{\Lambda} + c.c.$ ,  $\Gamma_{ee}\mathcal{B}(\mathcal{R} \to pK^-\bar{\Lambda} + c.c.)$  at the 90% C.L. for different charmonium(-like) state.

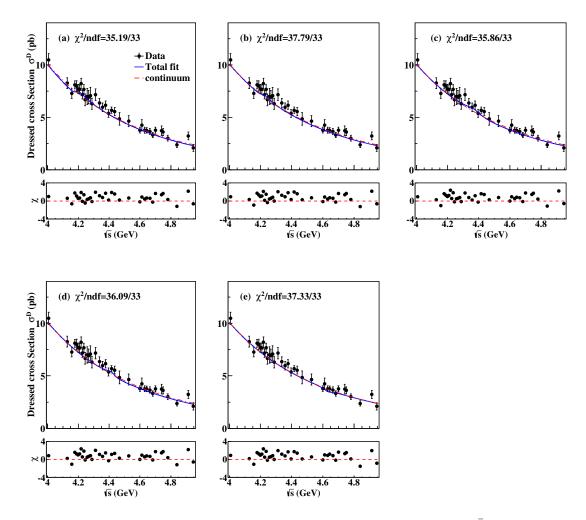


Figure 4. Fits to the energy-dependent dressed cross sections of  $e^+e^- \to pK^-\bar{\Lambda} + c.c.$  under the hypotheses of continuum production plus (a)  $\psi(4160)$ , (b)  $\psi(4230)$ , (c)  $\psi(4360)$ , (d)  $\psi(4415)$ , and (e)  $\psi(4660)$ . The points with error bars are the measured values including both statistical and systematic uncertainties. The blue solid curve is the total fit result and the red dash curve is the continuum contribution  $a/s^n$ .

first time. We fit the obtained cross sections under different hypotheses of charmonium(-like) states plus continuum production. No evidence for any decay of charmonium(-like) states is found and the upper limits of  $\Gamma_{\rm ee}\mathcal{B}(\mathcal{R}\to pK^-\bar{\Lambda}+c.c.)$  at the 90% C.L. are given.

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